

FEDERAL BUREAU OF INVESTIGATION

50

14 JUL 95 13 40Z

FEDERAL BUREAU OF INVESTIGATION

Dep. Dir.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Intell.	
Lab.	
Legal Coun.	
Off. Cong. & Public Affs.	
Rec. Mgmt.	
Tech. Servs.	b7C
Training	
Off. of Sec.	
Off. of Liaison & Int. Affs.	
Telephone Rm.	
Director's Sec'y	

0180 MRI 01553

RR RUCNFB

DE FBINY #0011 1941724

ZNR UUUUU

R 131723Z JUL 95

FM FBI NEW YORK (281A-NY-232860) (P) (C-16/BQRA)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: [REDACTED] RICO(A); OO:NY(BQ). b7C

RE NEW YORK TELETYPES TO THE BUREAU DATED NOVEMBER 3, 1993 AND MAY 18, 1993.

ON JULY 6, 1995, THE UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT AFFIRMED THE MAY 10, 1993 GUILTY FINDING FOR [REDACTED] THE GAMBINO LCN FAMILY IN NEW YORK. b7C

ON MAY 10, 1993, A JURY FOUND [REDACTED]

[REDACTED] OF THE GAMBINO LCN FAMILY, GUILTY

b7C

[REDACTED]

*Note please*

*3540-11-3-862-1*

*w*

*6-*

PAGE TWO DE FBINY 0011 UNCLAS

AFTER A TWO (2) WEEK TRIAL IN THE EASTERN DISTRICT OF NEW YORK (EDNY). [REDACTED] WAS CONVICTED ON TWO (2) COUNTS OF VIOLATION OF TITLE 18, UNITED STATES CODE (USC), SECTIONS 1962(C) (RICO) AND 1962(D) RICO CONSPIRACY.

ON OCTOBER 30, 1993, [REDACTED] WAS SENTENCED TO SIXTY (60) MONTHS CUSTODY OF THE ATTORNEY GENERAL, PLUS FIVE (5) YEARS OF SUPERVISED PROBATION AND A \$100,000 FINE. [REDACTED] HAS BEEN FREE PENDING THIS APPEAL. ASSISTANT UNITED STATES ATTORNEY (AUSA) LAURA WARD ADVISED THAT HER OFFICE WAS GOING TO FILE FOR HAVING [REDACTED] TO START SERVING THIS SENTENCE IMMEDIATELY AS HE HAS HAD SEVERAL YEARS TO TAKE CARE OF HIS BUSINESS AFFAIRS SINCE THE CONVICTION.

b7C

BT

#0011

NNNN

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 2

Page 3 ~ b7C

Page 4 ~ b7C

AIRTEL

UNCLAS

6/17/91

NI

Director, FBI (~~183-507~~) (163B-HQ-62124)

ADIC, New York (183A-NY-3507)

JOHN GOTTI;

RICO (A);

OO: NY (BQ) (C-16)

Reference NY teletype dated 10/9/90.

Enclosed is one copy of an Interpol Washington memorandum which forwards the response received from Interpol Athens concerning [REDACTED]

Enclosure

b2

b7C

MAILED 42

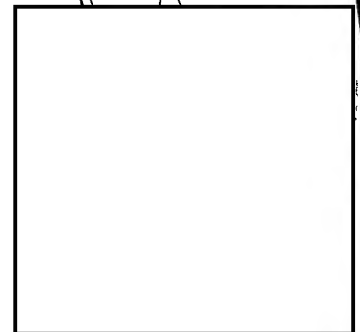
JUN 20 1991

Dep. Dir. \_\_\_\_\_  
ADD Adm. \_\_\_\_\_  
ADD Inv. \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
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Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
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Off. Liaison &  
Int. Affs. \_\_\_\_\_  
Off. of Public Affs. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

JLF:lgm (5)  
[Signature]  
[Signature]

MAIL ROOM ☐

281A-NY-233047-X  
REC'D MAIL ROOM  
JUN 18 15 15 1991





159

Dep. Dir.	
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Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

RECEIVED  
TELETYPE UNIT  
10 DEC 92 16 57Z  
FEDERAL BUREAU  
OF INVESTIGATION

0162 MRI 00898

RR RUCMFB

DE FBINY #0027 3451606

ZNY EEEEE

R 101605Z DEC 92

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS E F T O

CITE: //3540:3613//

PASS: HQ FOR ADMINISTRATIVE SERVICES DIVISION, ROOM 6863. b2

SUBJECT: JOHN GOTTI; ET AL; RICO(A); DO:NY(BQ); (C-16).

ON DECEMBER 8, 1992, A RENTAL VEHICLE (BUCAR  WAS  
TURNED IN, WITH NO REPLACEMENT AND NO DAMAGE, TO LEASING  
COMPANY, WITH TURN-IN MILEAGE OF 11,647:

1992 OLDSMOBILE CIERA, MAROON IN COLOR,

VIN: 1G3AL54N2N6371423.

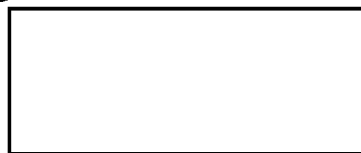
NEW YORK AUTOMOTIVE MANAGEMENT UNIT WAS FURNISHED  
APPROPRIATE DOCUMENTATION, DECEMBER 9, 1992. — ???

BT

#0027

\* Rental authority  
for above - listed  
vehicle expired  
9/30/92.

NNNN



b2  
b7C  
Rm.  
C-16

RECEIVED  
TELETYPE UNIT

10 DEC 92 16 46Z

FEDERAL BUREAU  
OF INVESTIGATION

Dep. Dir.	
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Off. of EEO	
Off. of Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0149 MRI 00875

PP RIUCNFB

DE FBINY #0019 3451554

ZNR UUUUU

P 101553Z DEC 92

FM FBI NEW YORK (281A-233047 SUB Q 31) (P) (C-16)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ ATTN: SSA [REDACTED] ORGANIZED CRIME AND SSA;  
[REDACTED] LABORATORY DIVISION AND DNA ANALYSIS UNIT.

SUBJECT: JOHN GOTTI; RICO (A); OO:NY(BQ) (C-16).

FOR INFORMATION OF FBIHQ, [REDACTED]

[REDACTED] HAD BEEN TESTIFYING, WITH FULL IMMUNITY BEFORE A  
FEDERAL GRAND JURY AT THE EASTERN DISTRICT OF NEW YORK (EDNY)  
DURING APRIL, 1989. [REDACTED] WAS COOPERATING AND TESTIFIED  
FREELY REGARDING JOHN GOTTI AND THE GAMBINO CRIME FAMILY.

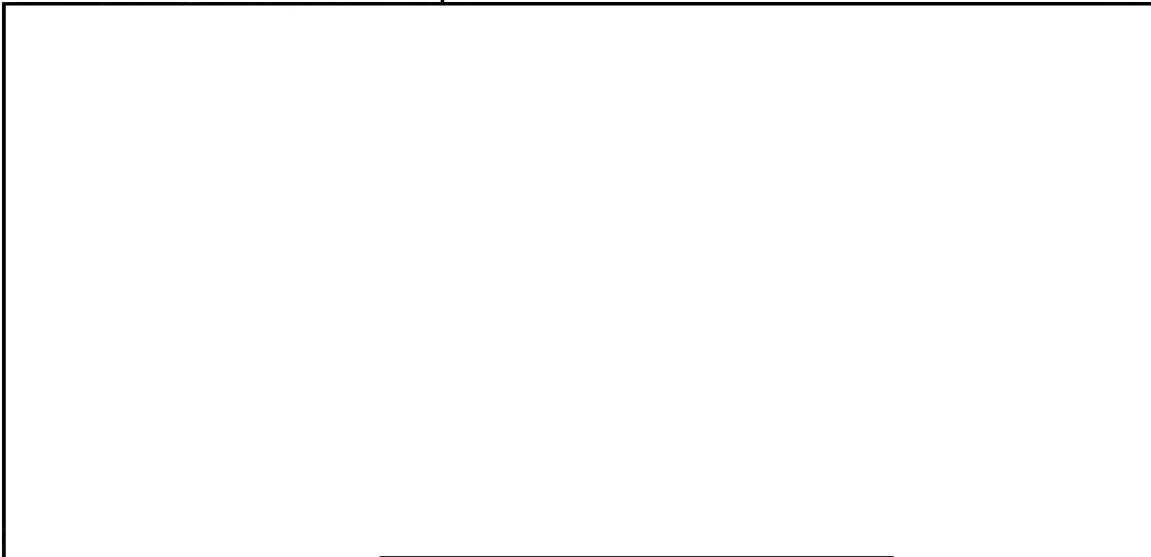
[REDACTED]  
[REDACTED] PROVIDED THE FBI WITH DETAILED INFORMATION

281A-NY-233047-12

6 Rm 3076

PAGE TWO DE FBINY 0019 UNCLAS

REGARDING THE MURDER OF [REDACTED]



b3  
b7C  
b7D

JOHN GOTTI AND [REDACTED] SET UP THE  
MURDER OF [REDACTED] GOTTI AND [REDACTED] MADE THE DECISION TO  
KILL [REDACTED] BEFORE HE GOT AN OPPORTUNITY TO GO BACK INTO THE  
EDNY - GRAND JURY.

ON DECEMBER 7, 1992, U.S. MAGISTRATE ZACHARY CARTER,  
AUTHORIZED THE SEARCH OF [REDACTED] FOR EVIDENCE OF  
[REDACTED] HOMICIDE. U.S. DISTRICT COURT JUDGE SIGNED AN  
ORDER AUTHORIZING FBI TO HAVE CUSTODY OF [REDACTED]



[REDACTED] NY SWAT WILL SUPPLEMENT THE U.S. MARSHALS

PAGE THREE DE FBINY 0019 UNCLAS

SERVICE (USMC) IN PROVIDING SECURITY OF [REDACTED]

[REDACTED] A DNA

SPECIALIST FROM FBIHQ WILL ASSIST THE SEARCH TEAM IN ACQUIRING  
EVIDENCE AT THE CRIME SCENE.

b7C

b7D

NY WILL APPRISE FBIHQ RESULTS OF SEARCH OF [REDACTED]

[REDACTED]  
BT

#0019

NNNN

## Memorandum

DEC 1992



To : FBI Laboratory Division  
Evidence Control Center  
Room 3230

Date 12/14/92

From : SSA [redacted]

Section &amp; Symbol SAS 1xx

Subject :

SDHA GDTT;  
RICO(A)  
(OO:NY) (3Q)

## TESTIMONY

b7C

Bufile # \_\_\_\_\_  
(circle one) Court Grand Jury Other - \_\_\_\_\_ Dates \_\_\_\_\_  
Supporting: (circle one) Bureau Federal Local Workdays \_\_\_\_\_  
City & State \_\_\_\_\_ Category 1 2 3 4  
Testified? ☐ Yes ☐ No If No, then circle Reason Below for Appearing in Court but not Testifying.  
01. Guilty Plea 03. Case Dismissed 05. Testimony not Needed  
02. Case Continued 04. Stipulation 06. Mistrial  
07. Other \_\_\_\_\_

Results of Trial \_\_\_\_\_ Judge \_\_\_\_\_  
Prosecuting Attorney \_\_\_\_\_ Defense Attorney \_\_\_\_\_

281A-NY-233047-3

## OTHER COMMITMENTS

Bufile # 281A-NY-233047 City & State NEW YORK CITY - BROOKLYN  
Agency FBI Dates 12/12-13/92  
(circle one) Bureau Federal Local Workdays 2

Purpose: (circle one)

- |                                      |                               |
|--------------------------------------|-------------------------------|
| 01. Lecture/Training (Others)        | 06. Research/Data Acquisition |
| 01A. Tours, Training, etc., at FBIHQ | 07. CRIME SCENE SEARCH        |
| 02. Training (Self)                  | 08. Investigative Support     |
| 03. Speech                           | 09. Communication Support     |
| 04. Pretrial Conference              | 10. Conference/Meeting        |
| 05. Field Examination of Evidence    | 11. Deposition                |

Details/Unusual or Interesting Circumstances

SSA [redacted] TRAVELED TO BROOKLYN, NY TO ASSIST THE NEW YORK DIVISION  
WITH A CRIME SCENE SEARCH.

SEVEN

## Memorandum

DEC 1992



To : FBI Laboratory Division  
Evidence Control Center  
Room 3200 241

Date: 12-14-92

From : SA [redacted]

Section &amp; Symbol SAS/B5

Subject : John GOTTI;  
RICOCA)  
OO: NY (BQ)

## TESTIMONY

b7C

Bufile # \_\_\_\_\_  
(circle one) Court Grand Jury Other - \_\_\_\_\_ Dates \_\_\_\_\_  
Supporting: (circle one) Bureau Federal Local Workdays \_\_\_\_\_  
City & State \_\_\_\_\_ Category 1 2 3 4  
Testified? ☐ Yes ☐ No If No, then circle Reason Below for Appearing in Court but not Testifying.  
01. Guilty Plea 03. Case Dismissed 05. Testimony not Needed  
02. Case Continued 04. Stipulation 06. Mistrial  
07. Other \_\_\_\_\_

Results of Trial \_\_\_\_\_ Judge \_\_\_\_\_  
Prosecuting Attorney \_\_\_\_\_ Defense Attorney \_\_\_\_\_

281A-NY-233047-4

## OTHER COMMITMENTS

Bufile # 281A-NY-233047 City & State New York City - Brooklyn  
Agency FBI Dates 12/12/92 - 12/13/92  
(circle one) Bureau Federal Local Workdays 2

Purpose: (circle one)

- |                                      |                               |
|--------------------------------------|-------------------------------|
| 01. Lecture/Training (Others)        | 06. Research/Data Acquisition |
| 01A. Tours, Training, etc., at FBIHQ | 07. Crime Scene Search        |
| 02. Training (Self)                  | 08. Investigative Support     |
| 03. Speech                           | 09. Communication Support     |
| 04. Pretrial Conference              | 10. Conference/Meeting        |
| 05. Field Examination of Evidence    | 11. Deposition                |

## Details/Unusual or Interesting Circumstances

SA [redacted] travelled to Brooklyn, NY to assist the New York Division with a Crime Scene Search.

SEVEN

FBI

## TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☒ AIRTEL

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 10/8/92

TO : DIRECTOR, FBI  
FROM : SAC, NEW HAVEN (281A-NH-26166) (P)  
SUBJECT : JOHN GOTTI;  
RICO (A);  
OO: NEW YORK  
(281A-NY-3507)

[REDACTED]  
RICO (B);  
OO: NEW HAVEN  
(281A-NH-26166)

RE: FBI Identification report to New York,  
captioned "JOHN GOTTI; RICO (A)," dated  
9/23/92.

REQUEST OF THE BUREAU:

The LFS is requested to compare the enclosed major case prints of subject [REDACTED] with the specimens previously submitted and in particular with the \$20 bill wherein the latent print of value was reportedly found. For the assistance of the LFS, re report carried file # as 281A-NY-3507 Sub 010, LFS case # C-96716, and subject, [REDACTED], FBI # as [REDACTED]

- 3-Bureau (Encs. 6)  
1-(Latent Fingerprint Sect.)  
2-New York  
(1-281A-NY-3507)  
(1-281A-NH-26166)  
3-New Haven  
(2-281A-NY-3507)  
(1-281A-NH-26166)

WFH/aj  
(8)

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ (Number) (Time) Per \_\_\_\_\_

NH 281A-NH-26166

Enclosed for the Bureau are ~~major case prints~~  
consisting of six (6) cards re subject, [REDACTED]

b7C

Referenced Identification Division report advised  
that one partial fingerprint of [REDACTED] was developed  
on a \$20 bill submitted for examination purposes.



RECEIVED  
TELETYPE UNIT

14 AUG 92 06 55

FEDERAL BUREAU  
OF INVESTIGATION

Cap. Dir.	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Serv.	
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Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0081 MRI 00282

RR RUCNFB FBINY

DE FBILV #0001 2270558

ZNR UUUUU

R 140554Z AUG 92

FM FBI LAS VEGAS (92-625) (P)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK (BQ)/ROUTINE/

BT

UNCLAS

CITE: //3380.SQ3//

PASS: BUREAU ATTENTION ORGANIZED CRIME SECTION; NEW YORK

ATTENTION SA [REDACTED] C-16.

SUBJECT: LCN INFLUENCE IN LAS VEGAS; JOHN GOTTI; RICO(A); OO:  
NEW YORK BQ.

RE NEW YORK TLETYPE TO DIRECTOR, 8/10/92.

BASED ON PROCEDURE ESTABLISHED BY NEW YORK FOR

[REDACTED] THE FOLLOWING IS BEING PROVIDED:

*[Handwritten signature]*  
b7C

b7D

*[Handwritten signature]*

*[Handwritten signature]*

*[Handwritten signature]*

*[Handwritten signature]*

PAGE TWO DE FBILV 0001 UNCLAS

QUESTIONS SHOULD BE ASKED OF [REDACTED]

[REDACTED]

b7C

b7D

[REDACTED] "A PLACE FOR RIBS" IN RHODE ISLAND AND JOHN GOTTI WAS

OBSERVED WITH [REDACTED]

[REDACTED]

PAGE THREE DE FBILV 0001 UNCLAS

b7C

b7D

[REDACTED] IS A GAMBINO SOLDIER. [REDACTED]

PAGE FOUR DE FBILV 0001 UNCLAS

[Redacted]

[Redacted]

CONFIRM

[Redacted]

LCN MEMBERSHIP?

[Redacted]

b7C

b7D

[Redacted]

[Redacted]

VERIFY HIS MEMBERSHIP?

[Redacted]

[Redacted]

PAGE FIVE DE FBILV 0001 UNCLAS

b7C

b7D

[REDACTED] ANY LCN CONTROL OR INFLUENCE IN ANY  
LAS VEGAS CASINO OR WITH ANY POLITICAL FIGURE IN LAS VEGAS?

BT

#0001

NNNN

## Memorandum

To : Director, FBI

Date 7/1/92

From : *J/AM* ADIC, NEW YORK (BQ 183A-3507)ATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject : CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name	Rank (if applicable)
JAMES ORENSTEIN	Assistant US Attb7C y
Residence Address (include Zip Code)	
US Attorney's Office, EDNY, 225 Cadman Plaza East, Bklyn, NY 11201	<i>Brooklyn</i>
Name of Agency	Name of Head of Agency
US Attorney's Office, EDNY	PER U.S.A. L.S.T.
Address of Agency (include Zip Code)	USA ANDREW J. MALONEY
225 Cadman Plaza East, Bklyn, NY 11201	

BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other \_\_\_\_\_

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

Special Instructions:

Personal letters to JAMES ORENSTEIN to be sent through SSA [ ] BQMRA, C-16.

JOHN <sup>①</sup>GOTTI;  
RICO (A);  
(OO: NY/BQ)  
BQ 183A-3507  
BUREAU FILE 183A-9043

- ② - Bureau  
1 - New York (67-1777)  
1 - New York (BQ 183A-3507)  
JBM:dam (4)

Enc.

*ack 7/21/92**281A-NY-233047-SX1**Signed 7/31/92 <sup>WPS</sup> <sub>cam</sub>*

Mr. James Orenstein, an Assistant United States Attorney in the Eastern District of NY, was assigned to assist John Gleeson, and the trial team during the pre-trial motion stage of the investigation. Mr. Orenstein brought with him his aggressive talents and extraordinary command of the law in assisting the team in preparing motions and response briefs.

Mr. Orenstein's tireless research and ingenuity in preparing and responding to all motions, kept the defense team off balance throughout. To say that Mr. Orenstein's talents imposed a tremendous amount of frustration and embarrassment to the defense team as well as the defendants is an understatement. In a forum where the defendants as well as their counsel utilized the extensive media coverage for their own gain, this proved a prominent weapon for the government who were prohibited from speaking directly to the press. In short Mr. Orenstein's work "put our money where our mouth was".

Additionally, Mr. Orenstein has assumed the prosecutorial responsibilities for several related investigative matters which emerged from the Gotti Title III. Mr. Orenstein's proven talents and abilities in the Gotti trial have established him as a force to be reckoned with in the ensuing trials. Mr. Orenstein continues to be an inspiration and an asset in the Bureau's efforts against organized crime.



FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D. C. 20535

1/21/93

YOUR FILE NO. 281A-NH-26166 (P)  
FBI FILE NO.  
LATENT CASE NO. L-272

TO: SAC, New Haven

*John Gotti*

b7C

RE:   
RICO (B)

REFERENCE: Communication 10/8/92  
EXAMINATION REQUESTED BY: New Haven  
SPECIMENS: Major case prints of

To date, no specimens have been received in the captioned case for a latent print examination.

The previously reported latent prints in file #281-NY-3507 LC #C-96716 are not the fingerprints or palm prints of

The specimens are enclosed.

Enc. (6)

1 - New York (281A-NY-3507)  
① - Bufile (281A-NY-3507)

PJB:kmf (6)

*281A-NY-233047-6*

ORIGINAL FILED IN



OPERATOR MAT

FEDERAL BUREAU OF INVESTIGATION  
LATENT FINGERPRINT SECTION WORK SHEET

PAGE 1  
REF# 0147035

FBI FILE NO....: ~~281A-NH-26166~~ <sup>281A-NH-233047-6</sup>  
LABORATORY NO.: ~~281-3507~~ LATENT CASE NO: C-96716

REFERENCE NO: 281A-NY-3507 (P)

RECORDED.....: 10-16-92 MAT RECEIVED.....: 10-15-92

EXAMINATION BY:

EVIDENCE NOTED BY:

ANSWER TO.....: SAC, NEW HAVEN {281A-NH-26166} {P}

b7C

RE.....: JOHN GOTTI;  
RICO {A}

COMMUNICATION: AIRTEL 10-08-92

IN METHODS....: BUREAU MAIL

EXAM REQ BY...: ADDRESSEE

COPY TO.....:  
ASSOC REF NOS: LC# L-272

SPECIMENS.....: NAMED SUSPECT:

RESULT OF EXAMINATION:

— 1-12-93/bb —

— pulled pres ents for LC# C-96716

— Reg CI search

— The pres reported al pto (12 al fpts + 3 al PP's) in this case are not the fpts or PP's of

ansd  
121-03  
JPB:Krk

EXAMINATION COMPLETED 12:30 PM 1-13-93 DICTATED 1-13-93/bb

FBI

## TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☒ AIRTEL

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 11/23/92

TO : DIRECTOR, FBI (ATTN: FBI, LABORATORY, FIREARMS & TOOLMARK UNIT)

FROM : ADIC, NEW YORK (~~281A-3507 Sub Q6~~) *281 NY-233047 correct # per NY office call on 12-24-92*

SUBJECT : JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY

*21127006*

ReNYtelcall to the FBI Lab on 11/23/92.

b7C

Enclosed for the Bureau is one .32 caliber, Smith & Wesson, Model 732, with no serial number. Submitted under separate cover and shipped by USPS.

On 7/14/92, BARRY NICHILLO, male, white, born 9/15/45, was arrested by Bureau Agents along with others and charged with violation of Title 18, United States Code (USC), Section 1959(a)(5) and 3551. This was for the conspiracy to murder GAETANO "CORKY" VASTOLA, a made member of the DECAVALCANTE LCN Family in New Jersey.

③ - Bureau (1 - FBI Lab, LFS)  
(1 - Firearms and Toolmark Unit)  
1 - New York (281A-3507 Sub Q6)  
MJD:dam  
(5)

*281 NY-233047-7*  
*amw*  
*1229-92*  
*FBI ml*

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ (Number) (Time) Per \_\_\_\_\_

281A-3507 Sub Q6

Request of the Laboratory, Firearms & Toolmarks Unit

It is requested that tests be done to determine if the serial number has been removed and if possible to identify the serial number so a trace may be conducted for this weapon.

Request of the Identification Division, Latent Fingerprint Section

It is requested that the enclosed weapon be processed for any latent fingerprints and if obtained, compared with those previously submitted fingerprints of BARRY NICHILLO, male, white, DOB 9/15/45, at Brooklyn, NY. SSAN  Arrested by FBI on 7/14/92.

b7C



FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D. C. 20535

YOUR FILE NO.  
FBI FILE NO.  
LATENT CASE NO.

281-NY-233047  
C-56716

12/20/92

TO:

ADIC, New York

RE:

JOHN GOTTI  
GC/DI - LCN - GAMBINO FAMILY

REFERENCE:  
EXAMINATION REQUESTED BY: Communication 11/23/92  
SPECIMENS: New York  
One revolver, RI

The result of the other examination and the disposition  
of the specimen will be furnished in a separate report.

The requested latent print examination was conducted,  
but no latent prints of value were detected.

PJB:kmf (4) *kmf*

281A-NY-233047-8

MAILED 32

DEC 30 1992

FBI

MAIL ROOM ☒

THIS REPORT IS FURNISHED FOR OFFICIAL USE ONLY

RECORDED  
12/7/92  
mst

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE

Laboratory Work Sheet  
ja3

Recorded 12/8/92

Received 12/

11/27/92

b7C

EXTRA  
LATENT

Date:

To: ADIC, New York

FBI File No. ~~183A-NY-3507~~

Lab No. 21127006 S TL

Reference: AIRTEL dated November 23, 1992

b7C

Your No. ~~281A-3507 Sub Q6~~

LC# C-96716

Examiner:

Re: JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY

Noted by:

K1 to LFPs for exam  
Ref to LAB - Firearms  
12-07-92

Specimens received: November 27, 1992

Specimens:

K1 (.32 S&W caliber Harrington and Richardson) revolver,  
(model 732, serial number obliterated)

Named for comparison purposes:

BARRY NICHILLO, W/M, DOB: 9/15/45, SS#

12-10-92/26

- processed spec lower - no hits of val
- processed spec (SG) - no hits of val
- processed spec (RAM) - no hits of val
- processed spec (BP) - no hits of val

- The result of the other exam and the drops of the spec will be furnished in a separate report.

Examination Completed 8:00 am

Time

12-22-92

Date

Dictated 12-22-92

Date

ask  
12-29-92  
WBK mf

WAB  
CB

## Memorandum



310  
1321

To : Director, FBI

Date 1/5/93

ATT.: Research-Correspondence/Tours Section  
Public Affairs Office

From : ADIC, NEW YORK

*Imf*  
Subject: CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

b7C

Name <div style="border: 1px solid black; width: 150px; height: 20px;"></div>	Rank (if applicable) Detective, Major Case Squad
Residence Address (include Zip Code)	

Name of Agency New York City Police Department	Name of Head of Agency <div style="border: 1px solid black; width: 150px; height: 20px;"></div>
Address of Agency (include Zip Code) ONE Police Plaza, NY, NY 10038 ✓	

## BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other \_\_\_\_\_

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

Assistance rendered in successful prosecution.

JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY  
(281A-NY-233047)

281A-NY-233047-9

2 - Bureau  
1 - New York (67-1777)  
1 - New York (281A-NY-233047)  
BFW:dam  
(4)

LCN/EOC/Labor Unit  
Concurs

3076

Let to Pereda  
1/26/93  
ESH:jw  
Enc.

67-  
BFW:dak

- 1 -

SALVATORE GRAVANO, also known as (aka) "Sammy Bull", former Underboss of the GAMBINO LA COSA NOSTRA (LCN) Family, cooperated with the FEDERAL BUREAU OF INVESTIGATION (FBI) and testified at the trial of "UNITED STATES VERSUS JOHN GOTTI and FRANK LOCASCIO". GOTTI, the Boss of the GAMBINO LCN, which is the most powerful family in the United States, was subsequently convicted in 1992 on every count brought against him, and is currently serving a life sentence.

Detective (Det.) [REDACTED] is a member of the NEW YORK CITY POLICE DEPARTMENT (NYCPD) assigned to the Major Case Squad. Det. [REDACTED] is no stranger to the FBI investigations, having previously been assigned to a Federal Task Force which successfully prosecuted the members of the infamous ROY DE MEO crew for in excess of fifty (50) gangland slayings.

b7C

b7D

With an exemplary reputation as an experienced, dedicated and trustworthy police officer, Det. [REDACTED] was brought into the GOTTI investigation with the FBI agents who were debriefing GRAVANO. [REDACTED]

It can certainly be said that Det. [REDACTED] efforts were absolutely essential to the investigation, and will have a rippling effect in the future, in that many of the homicides will be used as evidence in prosecutions relating to other members of the family.

Det. [REDACTED] tireless and unselfish efforts on behalf of the FBI certainly deserve recognition.

4698

RECEIVED  
TELETYPE UNIT  
27 JAN 93 142  
FEDERAL BUREAU  
OF INVESTIGATION

Asst. Dir.:	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Info. Syst.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Cong. Affs. Off.	
Off. of ECO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0227 MRI 00830

FEDERAL BUREAU  
OF INVESTIGATION

PP RUCNFB

DE FBINY #0031 0271515

ZNR UUUUU

P 271512Z JAN 93

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

SECTION ONE OF TWO SECTIONS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; OC-DI GAMBINO LCN FAMILY; OO:NY(BQ);  
(C-16).

[REDACTED]

b7C

b7D

*[Handwritten signature]*

281A-NY-233047-10  
-6

*[Handwritten signature]*  
3076



PAGE TWO DE FBINY 0031 UNCLAS



THAT BECAUSE THE PURSES ARE SO IMMENSE,

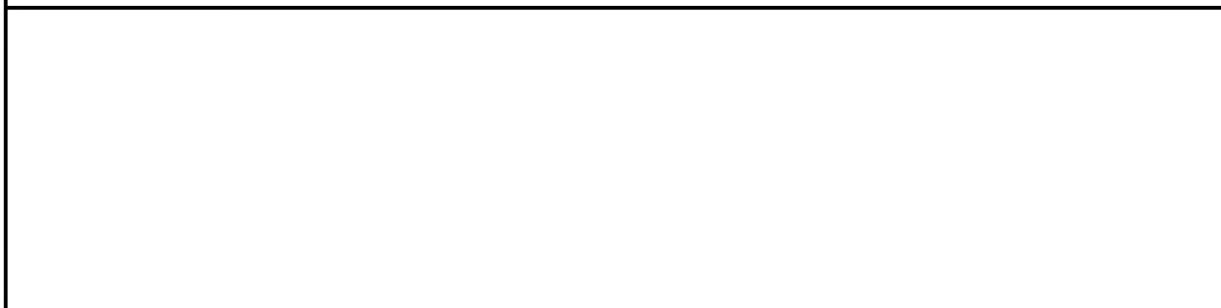
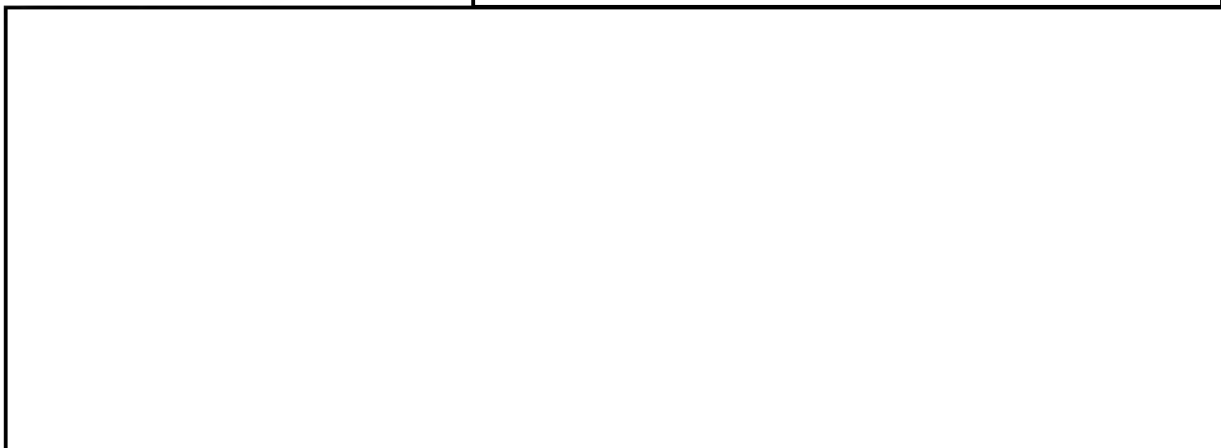
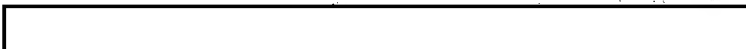
THERE IS VERY FEW FIGHTS WHICH ARE FIXED BY ORGANIZED CRIME.

b7C

MANY BOOKIES WON'T EVEN TAKE BETS ON CERTAIN FIGHTS BECAUSE OF

b7D

THE SIZE OF THE PURSES.



PAGE THREE DE FBINY 0031 UNCLAS

[REDACTED]

[REDACTED] ALSO NEVER MET WITH JOHN GOTTI. [REDACTED]

[REDACTED]

[REDACTED] HAS NO CONNECTION TO ANY ORGANIZED CRIME

FAMILY TO [REDACTED]

[REDACTED]

b7C

b7D

b7C

b7D

PAGE FOUR DE FBINY 0031 UNCLAS

FEBRUARY 4, 1993:

UPWARD DEPARTURE SENTENCING HEARING AT EDNY FOR GENOVESE  
UNDERBOSS "BENNY EGGS" MANGANO.

FEBRUARY 16, 1993:

RICO TRIAL AT EDNY INVOLVING DECAVALCANTE BOSS JOHN  
RIGGI, DECAVALCANTE SOLDIER VIRGIL ALESSI, AND GENOVESE  
SOLDIER BARRY NICHILLO.

MARCH 1, 1993:

EDNY RICO TRIAL INVOLVING GAMBINO CAPO JOSEPH CORRAO,  
GAMBINO ASSOCIATE GEORGE HELBIG AND FORMER NEW YORK CITY

PAGE FIVE DE FBINY 0031 UNCLAS

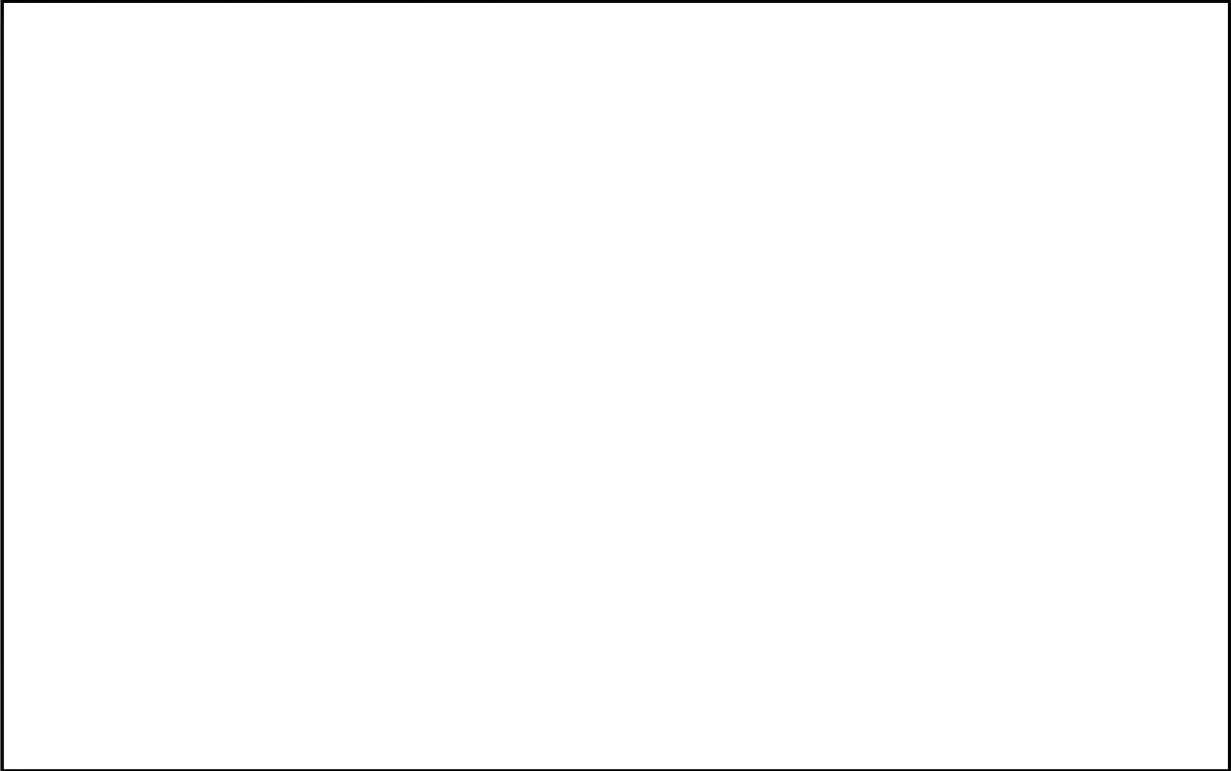
POLICE DEPARTMENT (NYCPD) DETECTIVE WILLIAM PEIST.

MARCH 22, 1993:

EDNY RICO TRIAL INVOLVING GAMBINO CAPO THOMAS GAMBINO,  
SOLDIER JOSEPH GAMBINO AND SOLDIER PHILIP LOSCALZO.

MID APRIL, 1993:

SOUTHERN DISTRICT OF NEW YORK (SDNY) TRIAL INVOLVING  
GAMBINO CAPO JOHN GAMBINO, SOLDIER JOSEPH GAMBINO, AND  
ASSOCIATE LORENZO MANNINO.



b7C

b7D

PAGE SIX DE FBINY 0031 UNCLAS

[REDACTED]  
[REDACTED] AUSA JOHN GLEESON, THE SPONSORING  
ATTORNEY FROM THE EDNY [REDACTED], STRONGLY ENDORSES THIS  
VIEW. [REDACTED]

b7C

b7D

BT

#0031

NNNN

0228 MRI 00831

PP RUCNFB

DE FBINY #0032 0271516

ZNR UUUUU

P 271512Z JAN 93

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

SECTION TWO OF TWO SECTIONS

b7C

CITE: //3540:3613//

b7D

PASS: HQ FOR SSA  ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; DC-DI GAMBINO LCN FAMILY; OO:NY(BQ);

(C-16).

TEXT CONTINUES:



PAGE TWO DE FBINY 0032 UNCLAS

b7C

b7D

BT

#0032

NNNN

February 3, 1993

*John Gotti*

Mr. Paul E. Coffey  
Chief  
Organized Crime and Racketeering Section  
U.S. Department of Justice  
Washington, D.C.

Dear Mr. Coffey:

Pursuant to your request for additional information for the Permanent Subcommittee on Investigations (PSI) of the Committee on Governmental Affairs, United States Senate, to interview [redacted]

[redacted] the following is provided for your review and whatever action you deem appropriate.

[redacted]

b7C

b7D

The USAO, EDNY, is currently presenting evidence to a Federal Grand Jury (FGJ), EDNY, with respect to this specific allegation and other information as it relates to the alleged criminal activities of Gambino LCN Family Acting Capo [redacted]

b7C

[redacted]

Dep. Dir. \_\_\_\_\_  
ADD Adm. \_\_\_\_\_  
ADD Inv. \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Info. Mgnt. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Cong. Affs. Off. \_\_\_\_\_  
Off. of EEO \_\_\_\_\_  
Off. Liaison & Int. Affs. \_\_\_\_\_  
Off. of Public Affs. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

1 - Mr. Potts (INFO)

1 - [redacted]

JLB:jlb:sgw (9)

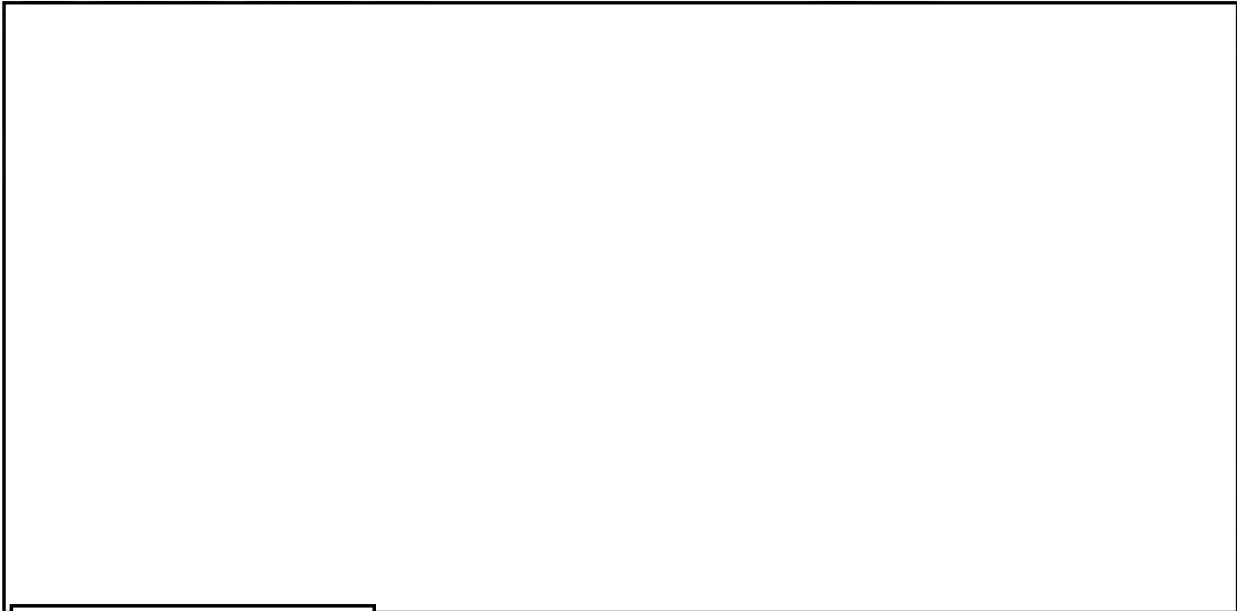
1 - [redacted]  
1 - [redacted]  
1 - [redacted]  
1 - [redacted]

281A-NY-233647-11

MAIL ROOM ☒



Mr. Paul E. Coffey



b7C

b7D

[redacted] The Organized Crime/Drug Operations  
Section #2 strongly supports this position.

The FBI respectfully requests that your office support  
this Bureau's attempt, through liaison with PSI, to oppose the  
public testimony and appearance of [redacted]  
[redacted] before the Senate PSI, prior to the  
completion of [redacted] testimony and the ongoing FGJ  
regarding Gambino LCN Family Acting Capo, [redacted]

Sincerely yours,

Jim E. Moody  
Chief  
Organized Crime/Drug Operations  
Section #2  
Criminal Investigative Division

2123  
476

FBI

TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☒ AIRTEL

PRECEDENCE:

☐ Immediate  
☐ Priority  
☐ Routine

CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 2/22/93

TO : DIRECTOR, FBI (ATTN: [redacted] AUDIO-VIDEO b3  
PROCESSING PROGRAM)

FROM : ADIC, NEW YORK (281A-NY-233047 Sub Q21) (P) (C-1b7C

SUBJECT : JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY 30223015 E QZ

Drugs Tape

Enclosed for Audio Video Processing is an original  
reel to reel recording of a conversation recorded [redacted]

On 4/2/92, JOHN GOTTI and FRANK LOCASCIO were  
convicted in EDNY of multiple RICO charges relating to their  
positions as Boss and Consigliere of the GAMBINO LCN Family.  
Testifying against these men was former GAMBINO Underboss  
SALVATORE GRAVANO. Title III recordings made [redacted]  
[redacted] were also instrumental in  
the successful prosecution.

ORIGINAL  
Copy & Specs Detached

[redacted]

b7A

The enclosed tape is a recording made [redacted]

b3

[redacted]

2 - Bureau (Enc. 1)  
1 - New York (281A-NY-233047 Sub Q21)  
JI:dsm  
(4)

2-23-93

FX  
5827039621  
1 Envelope

INDEX ECG

Approved: DMF

Transmitted

(Number) (Time)

Per

281A-NY-233047 Sub Q21

Request of the Bureau

The enclosed tape is being submitted to the Bureau to be enhanced so as to improve its audibility. The conversation that NY wishes to have enhanced runs from counter number 1340 through 1725 on a Revox reel to reel at 3 3/4 speed. If there are any questions, please contact SA [redacted] b7C [redacted] at the BQMRA - NY Division. It is hoped to have an enhanced version of this conversation as soon as possible, as this evidence is a key part of the case and the target indictment date is 4/15/93.



**Property Type Codes\*****Code  
No Description**

1. Cash (U.S. and foreign currency)
2. Stock, Bonds or Negotiable instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3. General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4. Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5. Heavy Machinery & Equipment (heavy equipment, computers, etc)
6. Aircraft
7. Jewelry (including unset precious and semiprecious stones)
8. Vessels
9. Art, Antiques or Rare Collections
11. Real Property
20. All Other Recoveries (not falling in any category above)

**Potential Economic Loss Prevented (PELP) Type Codes\*****Code  
No Description**

22. Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23. Counterfeit or Pirated Sound Recordings or Motion Pictures
24. Bank Theft Scheme Aborted
25. Ransom, Extortion or Bribe Demand Aborted
26. Theft from, or Fraud Against, Government Scheme Aborted
27. Commercial or Industrial Theft Scheme Aborted
30. All Other Potential Economic Loss Prevented (not falling in any category above)

\*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is \$1 million or more, or if the PELP is \$5 million or more.

**Subject Description Codes\*****- Enter Description Code Only When Reporting a Conviction -****Organized Crime Subjects (Include Family Name Or Group):**

- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN
- 1E Not a Member or Associate of LCN Family or OC Organization

**Union Members:**

- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

**Government Officials Or Employees:**

- |   | <b>Federal</b>              | <b>State</b>               | <b>Local</b>               |
|---|-----------------------------|----------------------------|----------------------------|
| <b>Known Criminals (Other Than OC Members):</b> |                             |                            |                            |
| 2A Top Ten or I.O. Fugitive                     | 6A Presidential Appointee   | 6J Governor                | 6R Mayor                   |
| 2B Top Thief                                    | 6B U.S. Senator             | 6K Lt. Governor            |                            |
| 2C Top Con Man                                  | 6C U.S. Representative      | 6L Legislator              | 6S Legislator              |
|   | 6D Judge                    | 6M Judge                   | 6T Judge                   |
| <b>Foreign Nationals:</b>                       | 6E Prosecutor               | 6N Prosecutor              | 6U Prosecutor              |
| 3A Legal Alien                                  | 6F Law Enforcement Officer  | 6P Law Enforcement Officer | 6V Law Enforcement Officer |
| 3B Illegal Alien                                | 6G Fed Empl - GS 13 & above | 6Q All Others - State      | 6W All Others - Local      |
| 3C Foreign Official Without Diplomatic Immunity | 6H Fed Empl - GS 12 & below |                            |                            |
| 3D U.N. Employee Without Diplomatic Immunity    |                             |                            |                            |
| 3E Foreign Students                             |                             |                            |                            |
| 3F All Others                                   |                             |                            |                            |

**Bank Officers or Employees:**

- 7A Bank Officer
- 7B Bank Employee

**All Others:**

- 8A All Other Subjects (not fitting above categories)
- 8B Company / Corporation

**Terrorists:**

- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

\*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

**Instructions****Subject Priorities for FBI Arrest or Locates:**

- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of \$25,000 or convicted of such crimes in the past five years.
- C - All others

**Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:**

It is permissible to claim a local arrest, summons, recovery or conviction if the FBI significantly contributed to the accomplishment. A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

**Reporting Convictions:**

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

**Rule 20 Situations:**

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, not the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

**Investigative Assistance or Techniques (IA/Ts) Used:**

- Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
- The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

**Race Codes:**

C = Chinese; I = Indian/American; J = Japanese; B = Black; O = All other; U = Unknown; W = White

# Supplemental Page to the Accomplishment Report (FD-515) for Organized Crime Program (OCP) Matters Only

This supplemental page is required with the FD-515 reporting an indictment and/or conviction of a subject of an OCP case. A separate page is required for each subject indicted and/or convicted. The completion of Section A - D is mandatory. The other sections should be completed when applicable.

**A. Name of Subject** MICHAEL FRANCIS COIRO

**B. Field Office** NY **Field Office File No.** 281A-NY-233047

**C. Criminal Activity** - Indicate the primary criminal activity which resulted in the reported indictment and/or conviction. (Indicate only one activity.)

- ☐ Labor Racketeering (LRK) (See Section E and G if applicable)      ☐ Extortion (EXT)  
☒ Corruption (COR) (See Section F if applicable)                      ☐ Loansharking (LNS)  
☐ Illegal Gambling (IGM)    ☐ Drugs (DRS)  
☐ Other (OT), specify \_\_\_\_\_

**D. Organized Criminal Group**

1. LCN: ☐ Member (MEM) ☒ Associate (ASO)

- |                             |   |   |  |   |
|-----------------------------|---|---|--|---|
| <input type="checkbox"/> BU | <input type="checkbox"/> KC                           | <input type="checkbox"/> NO                           | <input type="checkbox"/> NY - Luchese (LU)       | <input type="checkbox"/> RC - Rochester |
| <input type="checkbox"/> CG | <input type="checkbox"/> LA                           | <input type="checkbox"/> NY - Bonanno (BO)            | <input type="checkbox"/> PH                      | <input type="checkbox"/> SF             |
| <input type="checkbox"/> CV | <input type="checkbox"/> MI                           | <input type="checkbox"/> NY - Colombo (CO)            | <input type="checkbox"/> PX                      | <input type="checkbox"/> SO - San Jose  |
| <input type="checkbox"/> DN | <input type="checkbox"/> NK - De Cavalcante           | <input checked="" type="checkbox"/> NY - Gambino (GA) | <input type="checkbox"/> PG                      | <input type="checkbox"/> SL             |
| <input type="checkbox"/> DE | <input type="checkbox"/> NE - New England - Patriarca | <input type="checkbox"/> NY - Genovese (GE)           | <input type="checkbox"/> PI - Pittson - Bufalino | <input type="checkbox"/> TP             |

Position:

- ☐ Boss                                      ☐ Consigliere (CNS)                      ☐ Capo (CPO)  
☐ Underboss (UBS)                      ☐ Acting Boss (ABS)                      ☐ Soldier (SOL)

2. Other Non-LCN OC Groups, specify \_\_\_\_\_ ☐ Member (MEM) ☐ Associate (ASO)

**E. Business Influenced/Affected (If applicable)** Indicate below if the subject's criminal activity influenced or affected a particular trade or industry:

- |   |   |  |  |
|---|---|--|--|
| <input type="checkbox"/> Toxic Waste (TW)         | <input type="checkbox"/> Building Trades (BT)   | <input type="checkbox"/> Entertainment (ET)  | <input type="checkbox"/> Hotel/Restaurant (HR) |
| <input type="checkbox"/> Carting (CR)             | <input type="checkbox"/> Meat/Poultry/Fish (MT) | <input type="checkbox"/> Garment (GR)        |  |
| <input type="checkbox"/> Vending (VN)             | <input type="checkbox"/> Shipping (SH)          | <input type="checkbox"/> Trucking/Trans (TT) |  |
| <input type="checkbox"/> Other (OT) Specify _____ |   |  |  |

Name of company subject connected with \_\_\_\_\_

**F. Elected/Appointed Public Officials** - Complete if subject was a public official at time of indictment and/or conviction. Indicate one from each category.

Level - ☐ Federal (FD) ☐ State (ST) ☐ Local (LO)

Branch - ☐ Executive (EX) ☐ Legislative (LE) ☐ Judicial (JD)

Position/Title:

- |  |   |   |   |   |
|--|---|---|---|---|
| <input type="checkbox"/> Governor (GV)             | <input type="checkbox"/> Mayor (MY)       | <input type="checkbox"/> City               | <input type="checkbox"/> House of Rep/ Staff (HR) | <input type="checkbox"/> Prosecutor (PR)              |
| <input type="checkbox"/> Lt. Governor (LG)         | <input type="checkbox"/> County Comm (CC) | <input type="checkbox"/> Senator/Staff (SE) | <input type="checkbox"/> Judge/Magistrate (JM)    | <input type="checkbox"/> Law Enforcement Officer (LE) |
| <input type="checkbox"/> Other (OT), specify _____ |   |   |   |   |

**G. Union Members or Officials** - If the subject was a Union member or official at the time of indictment and/or conviction, indicate the highest position the subject held/holds in the Union and the Union's name.

Name of Union \_\_\_\_\_

Union Affiliation:

- |   |  |   |   |
|---|--|---|---|
| <input type="checkbox"/> Teamsters            | <input type="checkbox"/> Hotel and Restaurant Employee | <input type="checkbox"/> Laborers International | <input type="checkbox"/> Longshoremen Association |
| <input type="checkbox"/> Other, specify _____ |  |   |   |

Level - ☐ International ☐ Conference ☐ Council ☐ Local - Local No. \_\_\_\_\_

Position:

- |  |   |                                       |                                       |   |                                       |
|--|---|---------------------------------------|---------------------------------------|---|---------------------------------------|
| <input type="checkbox"/> Pres (PR)                 | <input type="checkbox"/> Sec/Treas (ST)   | <input type="checkbox"/> Repr (RP)    | <input type="checkbox"/> Fin Sec (FS) | <input type="checkbox"/> Clerk (CL)     | <input type="checkbox"/> Trustee (TT) |
| <input type="checkbox"/> Vice Pres (VP)            | <input type="checkbox"/> Ex Brd Memb (EB) | <input type="checkbox"/> Orgzr (OR)   | <input type="checkbox"/> Rec Sec (RS) | <input type="checkbox"/> Shop Stew (SS) |                                       |
| <input type="checkbox"/> Tres (TR)                 | <input type="checkbox"/> Bus Agt (BA)     | <input type="checkbox"/> Bus Mgr (BM) | <input type="checkbox"/> Off Mgr (OM) | <input type="checkbox"/> Memb (ME)      |                                       |
| <input type="checkbox"/> Other (OT), specify _____ |   |                                       |                                       |   |                                       |

Date 11/23/92

Bureau File Number
NY 281A-3507
Field Office File Number
0026
Squad or RA Number
Agent's Social Security No.

SUBJECT:  
JOHN GOTTI;  
OC/DI-LCN-GAMBINO FAMILY;  
OO: NEW YORK

Rate each Investigative Assistance or Technique used in connection with the accomplishment being claimed.

1 = Used, but did not help      3 = Helped, substantially  
2 = Helped, but only minimally      4 = Absolutely essential

1. Acctg Tech Assistance	Rating	8. Eng. Sect. Tape Exams	Rating	15. Photographic Coverage	Rating	22. Telephone Toll Recs	Rating
2. Aircraft Assistance		9. Hypnosis Assistance		16. Polygraph Assistance		23. UCO Group I	
3. Computer Assistance		10. Ident Div Assistance		17. Search Warrants Executed		24. UCO Group II	
4. Consensual Monitoring		11. Informant Information		18. Show Money Usage		25. UCO Other	
5. ELSUR FISC		12. Lab Div Exams		19. Surveil Sqd (SOG) Asst		26. NCAYC/VI-CAP	
6. ELSUR Title III		13. Lab Div Field Support		20. SWAT Team Action		27. Visual Invest - Analysis (VIA)	
7. Eng. Sect. Field Support		14. Pen Registers		21. Tech Agt. or Tech Equip			

☐ X if a joint operation with:

(identity of other agency)

☐ X if case involves corruption of a public official. (Federal, State or Local).

<b>A. Preliminary Judicial Process</b> (Number of subjects)		<b>Complaints</b>		<b>Informations</b>		<b>Indictments</b>		<b>D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP)</b>		<b>(Explain valuation in remarks)</b>														
								Property Type Code*		Potential Economic Loss Prevented														
<b>B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subjects)</b>																								
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="3">Subject Priority*</th> <th rowspan="4">Subpoenas Served <span style="float: right;">1</span></th> </tr> <tr> <th>A</th> <th>B</th> <th>C</th> </tr> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> </table>								Subject Priority*			Subpoenas Served <span style="float: right;">1</span>	A	B	C										
								Subject Priority*				Subpoenas Served <span style="float: right;">1</span>												
								A	B	C														
FBI Arrests -																								
FBI Locates -																								
Local Arrests -																								
FBI Subj. Resisted:      Armed:																								
<b>C. Release of Hostages or Children Located: (Number of Hostages or Children Located)</b>																								
Hostages Held By Terrorists:      All Other Hostage Situations:																								
Missing or Kidnaped Children Located:																								
<b>G. Final Judicial Process:</b> Judicial District:      District:      State:								Conviction or Pretrial Div. Date		Sentence Date														
Subject 1      Subject Description Code* -								Subject 2      Subject Description Code* -																
<input type="checkbox"/> Felony      Conviction      Combined Sentence								<input type="checkbox"/> Felony      Conviction      Combined Sentence																
<input type="checkbox"/> Misdemeanor      Title      Section      Counts      In-Jail Yrs.      Mos.      Suspended Yrs.      Mos.      Probation Yrs.      Mos.								<input type="checkbox"/> Misdemeanor      Title      Section      Counts      In-Jail Yrs.      Mos.      Suspended Yrs.      Mos.      Probation Yrs.      Mos.																
<input type="checkbox"/> Parole Revocation								<input type="checkbox"/> Parole Revocation																
<input type="checkbox"/> Probation Revocation      Total Fines \$								<input type="checkbox"/> Probation Revocation      Total Fines \$																
<input type="checkbox"/> Plea      Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs. - 8 yrs susp. = 2 yrs. In-Jail.								<input type="checkbox"/> Plea      Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs. - 8 yrs susp. = 2 yrs. In-Jail.																
<input type="checkbox"/> Trial								<input type="checkbox"/> Trial																
<input type="checkbox"/> Pretrial Diversion								<input type="checkbox"/> Pretrial Diversion																
Attach additional forms if reporting final judicial process on more than two subjects, and submit a final disposition form (R-94) for each subject.																								
<b>H. Identifying Data:</b> For every subject reported in Sections A, B, E, F, or G above, provide the following:      Attach additional forms for more than four subjects.																								
Name				Date of Birth		Race* Sex		Place of birth (if available)			Social Security Number (if available)													

**Explanation of accomplishment claimed:**

2-Bureau

1-New York (Info)

3-Newark (NY 281A-3507) T

(1-Statistics in Process Folder)

(1-SA [redacted] Accomplishment Record)

HMP/n:b

2 - Bureau (6)

2 - Field Office

\* See codes on reverse side.

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b7C

Rm 427C

Property Type Codes*	
Code No	Description
1.	Cash (U.S. and foreign currency)
2.	Stock, Bonds or Negotiable instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3.	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4.	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5.	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6.	Aircraft
7.	Jewelry (including unset precious and semiprecious stones)
8.	Vessels
9.	Art, Antiques or Rare Collections
11.	Real Property
20.	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*	
Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

\* Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is \$1 million or more, or if the PELP is \$5 million or more.

#### Subject Description Codes\*

- Enter Description Code Only When Reporting a Conviction -

##### Organized Crime Subjects (Include Family Name Or Group):

- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN
- 1E Not a Member or Associate of LCN Family or OC Organization

##### Union Members:

- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

##### Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

##### Known Criminals (Other Than OC Members):

- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

##### Foreign Nationals:

- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

##### Bank Officers or Employees:

- 7A Bank Officer
- 7B Bank Employee

##### All Others:

- 8A All Other Subjects (not fitting above categories)
- 8B Company / Corporation

##### Terrorists:

- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

\* If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

#### Instructions

##### Subject Priorities for FBI Arrest or Locates:

- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of \$25,000 or convicted of such crimes in the past five years.
- C - All others

##### Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction if the FBI significantly contributed to the accomplishment. A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

##### Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

- 1. The subject becomes a fugitive after conviction but prior to sentencing.
- 2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

##### Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, not the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

##### Investigative Assistance or Techniques (IA/Ts) Used:

- Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
- The IA/T used must be rated each time an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

##### Race Codes:

C = Chinese; I = Indian/American; J = Japanese; B = Black; O = All other; U = Unknown; W = White



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6 MAR 93 14 46Z

FEDERAL BUREAU  
OF INVESTIGATION

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FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] CC/CID.

b7C

SUBJECT: JOHN GOTTI; DC/DI; GAMING LCN FAMILY; OO:NY(BQ);  
(C-16).

FOR THE INFORMATION OF FBIHQ, THE SCHEDULED TRIAL OF JOE  
"BUTCH" CORRAO, WILLIAM PEIST AND GEORGE HELBIG HAS BEEN  
POSTPONED, AS A RESULT OF THE FOLLOWING:

ON FEBRUARY 24, 1993, PETER MAVIS APPEARED BEFORE UNITED  
STATES DISTRICT COURT JUDGE (USDCJ) I. LEO GLASSER, EASTERN  
DISTRICT OF NEW YORK (EDNY), AND PLED GUILTY TO ONE COUNT OF  
CONSPIRACY TO OBSTRUCT JUSTICE, PURSUANT TO TITLE 18, UNITED

281A-NY-233047-15



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STATES CODE, SECTION 3517. MAVIS IS TO BE SENTENCED ON MAY 25, 1993.

ON FEBRUARY 26, 1993, PURSUANT TO A PLEA AGREEMENT, WILLIAM PEIST APPEARED BEFORE USDCJ I. LEO GLASSER AND ENTERED A PLEA OF GUILTY TO ONE COUNT OF RICO. PEIST'S PLEA INVOLVED HIS ALLOCUTION TO SEVENTEEN (17) DIFFERENT FEDERAL AND STATE OC INVESTIGATIONS THAT HE COMPROMISED.

PURSUANT TO THE PLEA AGREEMENT, PEIST RENOUNCED HIS PENSION, WITH A PRESENT VALUE OF \$600,000.00 AND SURRENDERED CERTAIN WEAPONS VALUED AT \$12,000.00. PEIST IS TO BE SENTENCED BY USDCJ GLASSER ON MAY 26, 1993.

ON MARCH 3, 1993, GEORGE HELBIG ENTERED INTO A PLEA AGREEMENT WITH THE EDNY, WHEREBY HE AGREES TO PLEAD GUILTY TO ONE COUNT OF CONSPIRACY TO OBSTRUCT JUSTICE. HELBIG IS SCHEDULED TO APPEAR BEFORE USDCJ GLASSER ON FRIDAY, MARCH 5, 1993 AND ENTER HIS PLEA.

THE ONLY REMAINING DEFENDANT, JOSEPH CORRAO, IS TENTATIVELY SCHEDULED FOR MAY 24, 1993.

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FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/PRIORITY/

FBI BOSTON (281A-BE-661111)/PRIORITY/

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CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] DC/CID; BS FOR SSA [REDACTED]

SUBJECT: JOHN GOTTI; OC/DI - GAMBINO LCN FAMILY; OO:NY(BQ);  
(C-16).

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REFERENCE BOSTON TELETYPE DATED JANUARY 29, 1993.

ON NOVEMBER 30, 1991, [REDACTED]

[REDACTED] WAS DEBRIEFED BY SUPERVISORY SPECIAL AGENT (SSA) [REDACTED]

[REDACTED] REGARDING NEW ENGLAND LCN FIGURES. AT THIS

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DEBRIEFING SESSION, HE PROVIDED INFORMATION ABOUT [REDACTED]

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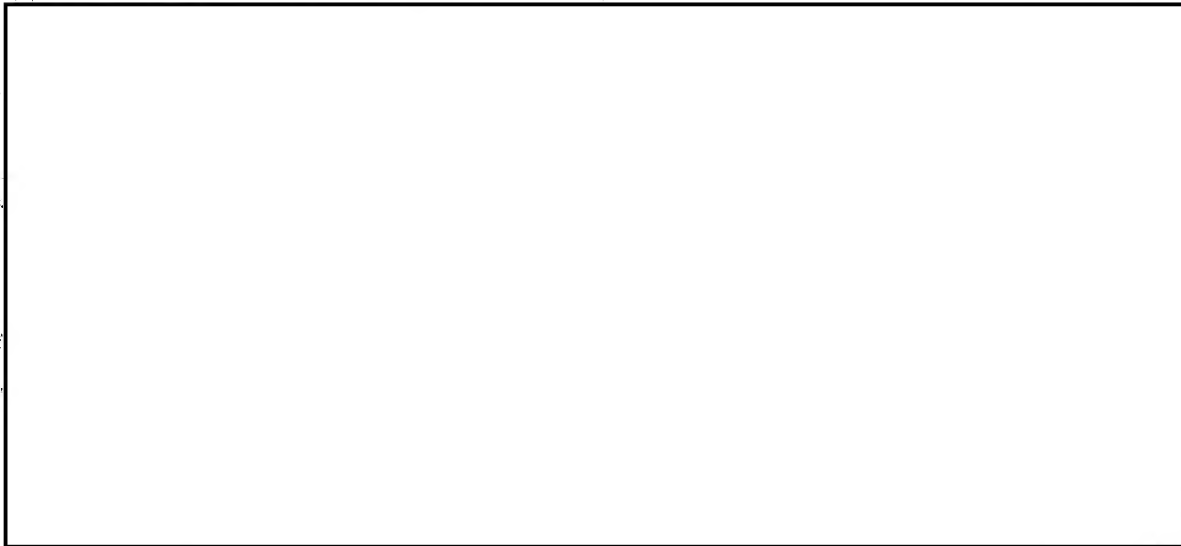
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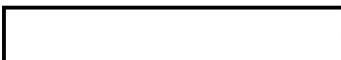
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ON MARCH 3, 1993,



DEBRIEFED BY SSA



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FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

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UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] DCU.

SUBJECT: JOHN GOTTI, ET AL; RICO (A); OO:NY(BQ) (C-16).

ON FEBRUARY 18, 1993, FORMER JOHN GOTTI JUROR GEORGE PAPE WAS SENTENCED AT THE EASTERN DISTRICT OF NEW YORK (EDNY) IN CONNECTION WITH HIS CONVICTION ON OBSTRUCTION OF JUSTICE (OOJ) (UNITED STATES CODE 1503) AND CONSPIRACY (UNITED STATES CODE 371) CHARGES. PAPE RECEIVED THREE (3) YEARS INCARCERATION ON EACH OF THE TWO (2) CHARGES TO RUN CONCURRENTLY. HE WAS IMMEDIATELY REMANDED TO THE CUSTODY OF THE UNITED STATES MARSHALS.

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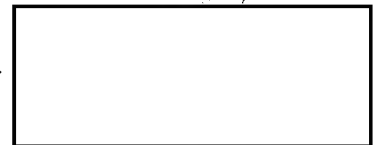
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THE FOLLOWING SYNOPSIS IS PROVIDED:

ON MARCH 13, 1987, GAMBINO LCN BOSS JOHN GOTTI AND SIX  
(6) OTHER DEFENDANTS WERE ACQUITTED OF FEDERAL RACKETEERING  
CHARGES IN THE EDNY. FOLLOWING THE VERDICT, NEW YORK SOURCES  
INDICATED THAT ONE (1) OF THE JURORS HAD BEEN COMPROMISED.

[REDACTED] b7C

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[REDACTED] STATED THAT ONE (1) OF THE JURORS SEATED ON THE  
ABOVE TRIAL HAD BEEN BRIBED. [REDACTED]

[REDACTED]

BASED ON THIS INFORMATION, NEW YORK DIVISION INITIATED AN  
OOJ INVESTIGATION WITH RESPECT TO ANONYMOUS JUROR NUMBER  
ELEVEN (11), GEORGE PAPE, [REDACTED]

[REDACTED]

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PAGE THREE DE FBINY 0047 UNCLAS

[REDACTED]

GEORGE PAPE WAS INDICTED IN THE EDNY ON FEBRUARY 7, 1992, ON CHARGES OF OOJ AND CONSPIRACY IN VIOLATION OF TITLE 18, USC, SECTIONS 1503, 371 AND 2. AN ARREST WARRANT WAS ISSUED BY THE UNITED STATES DISTRICT COURT IN THE EDNY. ON FEBRUARY 23, 1992, GEORGE PAPE WAS ARRESTED WITHOUT INCIDENT BY FEDERAL BUREAU OF INVESTIGATION (FBI) AGENTS AT HIS HOME IN EAST NORWICH, LONG ISLAND, NEW YORK.

RADONJICH WAS ALSO INDICTED ON SIMILAR CHARGES AND IS CURRENTLY A FUGITIVE RESIDING IN YUGOSLAVIA.

ON FEBRUARY 24, 1992, GEORGE PAPE, WITH THE ASSISTANCE OF ASSIGNED COUNSEL, PLED "NOT GUILTY" AT HIS ARRAIGNMENT BEFORE JUDGE I. LEO GLASSER. EVENTUALLY, GEORGE PAPE WAS RELEASED FOLLOWING THE EXECUTION OF A SURETY, PLEDGING HIS PERSONAL RESIDENCY AS COLLATERAL. GEORGE PAPE'S TRIAL BEGAN ON NOVEMBER 2, 1992, WITH ASSISTANT UNITED STATES ATTORNEY (AUSA) GEOFFREY S. MEARNS PROSECUTING ON BEHALF OF THE GOVERNMENT. THE GOVERNMENT'S CASE BEGAN WITH AUSA JOHN GLEESON PROVIDING BACKGROUND ON THE 1986 - 1987 GOTTI TRIAL, IN WHICH GLEESON WAS A MEMBER OF THE PROSECUTIVE TEAM. SPECIAL AGENTS (SAS)

PAGE FOUR DE FBINY 0047 UNCLAS

GEORGE D. GABRIEL AND SCOTT E. BEHAR TESTIFIED IN RESPECT TO THE INVESTIGATION AND INTERVIEWS CONDUCTED OF GEORGE PAPE.

THE GOVERNMENT'S KEY WITNESS WAS SALVATORE GRAVANO, WHO RECOUNTED THE FACTS HE HAD PREVIOUSLY PROVIDED DURING DEBRIEFING, INCLUDING THE CONVERSATIONS HE HAD WITH RADONJICH AND THE PAYMENT OF MONEY FROM GOTTI TO THE JUROR. GEORGE PAPE DID NOT TESTIFY OR CALL ANY WITNESSES IN HIS DEFENSE.

THE JURY BEGAN DELIBERATIONS AT 1:15 PM ON NOVEMBER 6, 1992 AND RETURNED A VERDICT AT 4:20 PM ON THE SAME DAY. THE UNANIMOUS JURY FOUND PAPE GUILTY AS TO BOTH COUNTS.

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FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

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CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] OC/CID.

b7C

SUBJECT: <sup>D</sup>JOHN GOTTI; RICO (A); OO:NY(BQ) (C-16).

FOR THE INFORMATION OF FBIHQ, THE SECOND CIRCUIT COURT OF APPEALS RECENTLY EXTENDED THE SCHEDULED DATE FOR SUBMISSION OF THE GOVERNMENT'S BRIEF REGARDING THE LOCASCIO - GOTTI APPEAL FROM FEBRUARY 16, 1993 TO MARCH 8, 1993. ONE REASON FOR THE EXTENSION INVOLVED THE FILING OF A SEPARATE APPEAL ON BEHALF OF THE DEFENDANTS REGARDING THE LOWER COURTS DENIAL OF A MOTION FOR RETRIAL DUE TO THE NON-DISCLOSURE OF CERTAIN DARCO 302'S. THE SECOND CIRCUIT HAS COMBINED THIS ISSUE WITH THE

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PREVIOUSLY FILED ISSUES, AND WILL CONSIDER ALL AT THE SAME  
TIME.

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FM FBI NEW YORK (281A-NY-233047 SUB Q6) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

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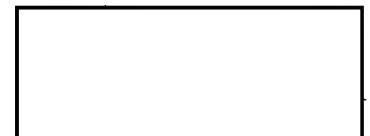
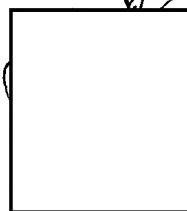
CITE: //3540:3613//

SUBJECT: JOHN GOTTI; RICO (A); OO:NY(BQ) (C-16).

REFERENCE NEW YORK TELETYPE TO FBIHQ, DATED JULY 22, 1992  
AND NEW YORK AIRTEL TO FBIHQ, DATED NOVEMBER 23, 1992.

THE TRIAL FOR JOHN RIGGI, BOSS OF THE DECAVALCANTE LCN  
FAMILY, VIRGIL ALESSI, SOLDIER IN THIS SAME FAMILY AND FOR  
BARRY NICHILLO, ASSOCIATE, GENOVESE LCN FAMILY HAS BEEN  
RESCHEDULED TO APRIL 26, 1993.

RIGGI HAS SINCE BEEN TRANSFERRED TO THE METROPOLITAN  
CORRECTIONAL CENTER (MCC) IN MANHATTAN, UNTIL THE DURATION OF



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THE TRIAL.

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FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

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UNCLAS

CITE: //3540:3613//

SUBJECT: <sup>①</sup>JOHN GOTTI; RICO (A); OO:NY(BQ) (C-16).

ON JANUARY 7, 1992, A HEARING WAS HELD IN THE EASTERN DISTRICT OF NEW YORK (EDNY) BEFORE JUDGE PLATT TO HEAR ARGUMENTS IN THE CASE OF UNITED STATES VERSUS BRUCE CUTLER. CUTLER IS CHARGED WITH CONTEMPT OF COURT FOR HIS ACTIONS BEFORE AND DURING THE TRIAL OF JOHN GOTTI. SPECIFICALLY, CUTLER IS CHARGED WITH VIOLATING THE SECOND CIRCUIT RULE 7 WHICH PROHIBITS AN ATTORNEY FROM COMMENTING TO THE MEDIA ABOUT THE EVIDENCE IN A CASE.

THE HEARING WAS FOR ARGUMENTS IN SUPPORT OF BRIEFS

Bib: E-mail note pls

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to [redacted]  
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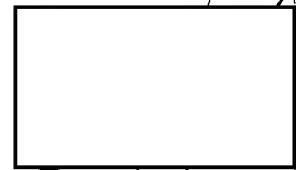
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PREVIOUSLY SUBMITTED TO THE COURT. DEFENSE ATTORNEY FREDERICK HAFETZ ARGUED THAT THE CHARGES AGAINST HIS CLIENT SHOULD BE DISMISSED ON CONSTITUTIONAL GROUNDS AND BECAUSE THE STATEMENTS DID NOT NECESSARILY VIOLATE THE RULE. SPECIAL PROSECUTOR JOHN GALLAGHER ARGUED THAT THE STATEMENTS MADE WERE A VIOLATIONS OF THE RULE AND THE JUDGE'S ORDER, AND THAT THE JUDGE'S ORDER WAS NOT A VIOLATION OF THE CONSTITUTION.

JUDGE PLATT SAID A RULING ON THE MOTION TO DISMISS WOULD BE MADE WITHIN THE NEXT THIRTY (30) DAYS AND DENIED A REQUEST TO SET A HYPOTHETICAL TRIAL DATE.

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FM FBI NEW YORK (281A-NY-2<sup>3</sup>3047 SUB Q10) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

FBI NEW HAVEN/ROUTINE/

FBI PHILADELPHIA/ROUTINE/

FBI WMFO/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED]

b7C

SUBJECT: <sup>①</sup>JOHN GOTTI; OC/DI - LCN - GAMBINO FAMILY; OO:NY(BQ);  
(C-16).

REFERENCE NEW YORK TELETYPE TO FBIHQ AND OTHER DIVISIONS  
ON MARCH 8, 1993.

ON MARCH 12, 1993, THE TRIAL FOR THOMAS GAMBINO, CAPO AND  
JOSEPH GAMBINO, SOLDIER, GAMBINO LCN FAMILY, WHICH WAS [REDACTED]

281A-NY-3-211-21 [REDACTED]

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SCHEDULED TO BEGIN ON MARCH 22, 1993, WAS POSTPONED UNTIL  
APRIL 14, 1993.

ASSISTANT UNITED STATES ATTORNEY (AUSA) LAURA WARD, ONE  
OF THE AUSAS WHO WILL BE TRYING THIS CASE, CONTACTED THE  
WITNESSES ON THAT DATE AND WILL BE RECONTACTING THE  
BROOKLYN/QUEENS OFFICE WHEN THE NEXT MEETING IS SCHEDULED FOR  
REVIEWING THEIR TESTIMONY. AUSA WARD CAN BE CONTACTED AT  
TELEPHONE NUMBER (718) 330-7286 OR 7463.

BT

#0016

NNNN



TO: Director, FBI

FROM: SAC, NEW YORK

SUBJECT:

JOHN GOTTI  
LOC/DI - LCN - GAMBINO  
FAMILY

Bureau File Number
281A-233047
Field Office File Number
C-16
Squad or RA Number
Agent's Social Security No.

☐ X if a joint operation with:

(Identity of other agency)

☐ X if case involves corruption of a public official (Federal, State or Local).

Date 2/18/93

### Investigative Assistance or Technique Used

Rate each Investigative Assistance or Technique used in connection with the accomplishment being claimed.

1 = Used, but did not help 3 = Helped, substantially  
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance	Rating	2. Eng. Sect. Tape Exams	Rating	15. Photographic Coverage	Rating	22. Telephone Toll Recs	Rating
2. Aircraft Assistance		3. Hypnosis Assistance		16. Polygraph Assistance		23. UCO Group I	
3. Computer Assistance		4. Ident Div Assistance		17. Search Warr Executed		24. UCO Group II	
4. Consensual Monitoring		5. Informant Information		18. Show Money Usage		25. UCO Other	
5. ELSUR FISC		6. Lab Div Exams		19. Surveil Sqd (SOG) Asst		26. NCAVC/VI-CAP	
6. ELSUR Title III		7. Lab Div Field Support		20. SWAT Team Action		27. Visual Invest Analysis (VIA)	
7. Eng. Sect. Field Support		8. Pen Registers		21. Tech. Agt. or Tech Equip			

<b>A. Preliminary Judicial Process</b> (Number of subjects)		Complaints	Informations	Indictments	<b>D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP)</b> (Explain valuation in remarks)				
					Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
<b>B. Arrests, Locates, Summonses or Subpoenas Served</b> (No. of Subjects)						\$	\$		\$ b7C
						\$	\$		\$ b7E
						\$	\$		\$
FBI Arrests -		Subject Priority*							
		A B C							
FBI Locates -		Subpoenas Served							
Local Arrests -		Criminal Summonses							
FBI Subj. Resisted		Local Crim. Summonses							
		Armed							
<b>C. Release of Hostages or Children Located:</b> (Number of Hostages or Children Located)					<b>E. Civil Matters</b>				
Hostages Held By Terrorists: All Other Hostage Situations:					RICO - Civil Convictions				
Missing or Kidnaped Children Located:					Civil Suits Amount of Suit				
					Settlement of Award				
					No. of Subj.				
					Enter AFA Payment Here				
					<b>F. Administrative Sanctions</b>				
					Subject 1 Subject Description Code* -				
					<input type="checkbox"/> Suspension <input type="checkbox"/> Debarment Time Frame - Years: Months: <input type="checkbox"/> Permanent				
<b>G. Final Judicial Process:</b> Judicial District ED, NY					11/6/92 2/18/93				
					Conviction or Pretrial Div. Date Sentence Date No. of Subjects Acquired Dismissed				
Subject 1 Subject Description Code* - 1c					Subject 2 Subject Description Code* -				
<input checked="" type="checkbox"/> Felony					<input type="checkbox"/> Felony				
<input type="checkbox"/> Misdemeanor					<input type="checkbox"/> Misdemeanor				
<input type="checkbox"/> Parole Revocation					<input type="checkbox"/> Parole Revocation				
<input type="checkbox"/> Probation Revocation					<input type="checkbox"/> Probation Revocation				
<input type="checkbox"/> Plea					<input type="checkbox"/> Plea				
<input checked="" type="checkbox"/> Trial					<input type="checkbox"/> Trial				
<input type="checkbox"/> Pretrial Diversion					<input type="checkbox"/> Pretrial Diversion				
Title Section Counts In-Jail Yrs. Mos. Suspended Yrs. Mos. Probation Yrs. Mos.					Title Section Counts In-Jail Yrs. Mos. Suspended Yrs. Mos. Probation Yrs. Mos.				
18 371 1 3									
18 1503 1									
Total Fines \$					Total Fines \$				
Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.- 8 yrs susp. = 2 yrs. In-Jail.					Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.- 8 yrs susp. = 2 yrs. In-Jail.				
Attach additional forms if reporting final judicial process on more than two subjects, and submit a final disposition form (F-84) for each subject.									
<b>H. Identifying Data:</b> For every subject reported in Sections A, B, E, F, or G above, provide the following: Attach additional forms for more than four subjects.									
Name Date of Birth Race* Sex Place of birth (if available) Social Security Number (if available)									
GEORGE PAPE 8/12/38 W M New York, NY									
281A-NY-233047-22									

Explanation of accomplishment claimed:

On 2/18/93, USDCJ I. LEO GLASSER sentenced GEORGE PAPE to three years incarceration on Count 1 (Title 18, Section 371 - Conspiracy to Obstruct Justice) and three years on Count 2 (Title 18, Section 1503 - Obstruction of Justice). The sentences are to run concurrently. GEORGE PAPE was also immediately remanded by Judge GLASSER. PAPE was convicted on 11/6/92 following a jury trial.

1 - CSSA BRIAN TAYLOR  
1 - (66-8479)  
1 - New York (281A-233047)  
PQ:dam  
(7)

1 - SSA (C-16)  
1 - (66-8492)

12202A  
1427C

**Property Type Codes\***

Code No	Description
1.	Cash (U.S. and foreign currency)
2.	Stock, Bonds or Negotiable instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3.	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4.	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5.	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6.	Aircraft
7.	Jewelry (including unset precious and semiprecious stones)
8.	Vessels
9.	Art, Antiques or Rare Collections
11.	Real Property
20.	All Other Recoveries (not falling in any category above)

**Potential Economic Loss Prevented (PELP) Type Codes\***

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

\*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is \$1 million or more, or if the PELP is \$5 million or more.

**Subject Description Codes\***

- Enter Description Code Only When Reporting a Conviction -

**Organized Crime Subjects (Include Family Name Or Group):**

- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN
- 1E Not a Member or Associate of LCN Family or OC Organization

**Union Members:**

- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

**Government Officials Or Employees:**

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

**Known Criminals (Other Than OC Members):**

- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

**Foreign Nationals:**

- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

**Bank Officers or Employees:**

- 7A Bank Officer
- 7B Bank Employee

**Terrorists:**

- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

**All Others:**

- 8A All Other Subjects (not fitting above categories)
- 8B Company / Corporation

\*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

**Instructions**

**Subject Priorities for FBI Arrest or Locates:**

- A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.
- B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of \$25,000 or convicted of such crimes in the past five years.
- C - All others

**Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:**

It is permissible to claim a local arrest, summons, recovery or conviction if the FBI significantly contributed to the accomplishment. A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

**Reporting Convictions:**

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

- 1. The subject becomes a fugitive after conviction but prior to sentencing.
- 2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

**Rule 20 Situations:**

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, not the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

**Investigative Assistance or Techniques (IA/Ts) Used:**

- Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
- The IA/T used must be rated each time an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

**Race Codes:**

C = Chinese; I = Indian/American; J = Japanese; B = Black; O = All other; U = Unknown; W = White

# **Supplemental Page to the Accomplishment Report (FD-515) for Organized Crime Program (OCP) Matters Only**

This supplemental page is required with the FD-515 reporting an indictment and/or conviction of a subject of an OCP case. A separate page is required for each subject indicted and/or convicted. The completion of Section A - D is mandatory. The other sections should be completed when applicable.

**A. Name of Subject** GEORGE PAPE

**B. Field Office** NY **Field Office File No.** 281A-233047

**C. Criminal Activity** - Indicate the primary criminal activity which resulted in the reported indictment and/or conviction. (Indicate only one activity.)

- |   |   |
|---|---|
| <input type="checkbox"/> Labor Racketeering (LRK) (See Section E and G if applicable) | <input type="checkbox"/> Extortion (EXT)    |
| <input checked="" type="checkbox"/> Corruption (COR) (See Section F if applicable)    | <input type="checkbox"/> Loansharking (LNS) |
| <input type="checkbox"/> Illegal Gambling (IGM)                                       | <input type="checkbox"/> Drugs (DRS)        |
| <input type="checkbox"/> Other (OT), specify _____                                    |   |

**D. Organized Criminal Group**

1. LCN: ☐ Member (MEM) ☒ Associate (ASO)
- |                             |   |   |  |   |
|-----------------------------|---|---|--|---|
| <input type="checkbox"/> BU | <input type="checkbox"/> KC                           | <input type="checkbox"/> NO                           | <input type="checkbox"/> NY - Luchese (LU)       | <input type="checkbox"/> RC - Rochester |
| <input type="checkbox"/> CG | <input type="checkbox"/> LA                           | <input type="checkbox"/> NY - Bonanno (BO)            | <input type="checkbox"/> PH                      | <input type="checkbox"/> SF             |
| <input type="checkbox"/> CV | <input type="checkbox"/> MI                           | <input type="checkbox"/> NY - Colombo (CO)            | <input type="checkbox"/> PX                      | <input type="checkbox"/> SO - San Jose  |
| <input type="checkbox"/> DN | <input type="checkbox"/> NK - De Cavalcante           | <input checked="" type="checkbox"/> NY - Gambino (GA) | <input type="checkbox"/> PG                      | <input type="checkbox"/> SL             |
| <input type="checkbox"/> DE | <input type="checkbox"/> NE - New England - Patriarca | <input type="checkbox"/> NY - Genovese (GE)           | <input type="checkbox"/> PI - Pittson - Bufalino | <input type="checkbox"/> TP             |
- Position:
- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Boss            | <input type="checkbox"/> Consigliere (CNS) | <input type="checkbox"/> Capo (CPO)    |
| <input type="checkbox"/> Underboss (UBS) | <input type="checkbox"/> Acting Boss (ABS) | <input type="checkbox"/> Soldier (SOL) |

2. Other Non-LCN OC Groups, specify \_\_\_\_\_ ☐ Member (MEM) ☐ Associate (ASO)

**E. Business Influenced/Affected (If applicable)** Indicate below if the subject's criminal activity influenced or affected a particular trade or industry:

- |   |   |  |  |
|---|---|--|--|
| <input type="checkbox"/> Toxic Waste (TW)         | <input type="checkbox"/> Building Trades (BT)   | <input type="checkbox"/> Entertainment (ET)  | <input type="checkbox"/> Hotel/Restaurant (HR) |
| <input type="checkbox"/> Carting (CR)             | <input type="checkbox"/> Meat/Poultry/Fish (MT) | <input type="checkbox"/> Garment (GR)        |  |
| <input type="checkbox"/> Vending (VN)             | <input type="checkbox"/> Shipping (SH)          | <input type="checkbox"/> Trucking/Trans (TT) |  |
| <input type="checkbox"/> Other (OT) Specify _____ |   |  |  |

Name of company subject connected with \_\_\_\_\_

**F. Elected/Appointed Public Officials** - Complete if subject was a public official at time of indictment and/or conviction. Indicate one from each category.

Level - ☐ Federal (FD) ☐ State (ST) ☐ Local (LO)  
Branch - ☐ Executive (EX) ☐ Legislative (LE) ☐ Judicial (JD)

Position/Title:

<input type="checkbox"/> Governor (GV)	<input type="checkbox"/> Mayor (MY)	<input type="checkbox"/> City	<input type="checkbox"/> House of Rep/ Staff (HR)	<input type="checkbox"/> Prosecutor (PR)
<input type="checkbox"/> Lt. Governor (LG)	<input type="checkbox"/> County Comm (CC)	<input type="checkbox"/> Senator/Staff (SE)	<input type="checkbox"/> Judge/Magistrate (JM)	<input type="checkbox"/> Law Enforcement Officer (LE)
<input type="checkbox"/> Other (OT), specify _____				

**G. Union Members or Officials** - If the subject was a Union member or official at the time of indictment and/or conviction, indicate the highest position the subject held/holds in the Union and the Union's name.

Name of Union \_\_\_\_\_

Union Affiliation:

<input type="checkbox"/> Teamsters	<input type="checkbox"/> Hotel and Restaurant Employee	<input type="checkbox"/> Laborers International	<input type="checkbox"/> Longshoremen's Association
<input type="checkbox"/> Other, specify _____			

Level - ☐ International ☐ Conference ☐ Council ☐ Local - Local No. \_\_\_\_\_

Position:

<input type="checkbox"/> Pres (PR)	<input type="checkbox"/> Sec/Treas (ST)	<input type="checkbox"/> Repr (RP)	<input type="checkbox"/> Fin Sec (FS)	<input type="checkbox"/> Clerk (CL)	<input type="checkbox"/> Trustee (TT)
<input type="checkbox"/> Vice Pres (VP)	<input type="checkbox"/> Ex Brd Memb (EB)	<input type="checkbox"/> Orgzr (OR)	<input type="checkbox"/> Rec Sec (RS)	<input type="checkbox"/> Shop Stew (SS)	
<input type="checkbox"/> Tres (TR)	<input type="checkbox"/> Bus Agt (BA)	<input type="checkbox"/> Bus Mgr (BM)	<input type="checkbox"/> Off Mgr (OM)	<input type="checkbox"/> Memb (ME)	
<input type="checkbox"/> Other (OT), specify _____					

REC'D  
TELETYPE UNIT

10 MAR 93 0 17 02

FEDERAL BUREAU  
OF INVESTIGATION

0429 MRI 01619

RR RUCNFB FBINH FBIPH FBIWMFO

DE FBINY #0043 0682132

ZNR UUUUU

R 092131Z MAR 93

FM FBI NEW YORK (281A-NY-223047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

FBI NEW HAVEN/ROUTINE/

FBI NEW HAVEN/ROUTINE/

FBI PHILADELPHIA/ROUTINE/

FBI WMFO/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA

SUBJECT: JOHN GOTTI, OC/DI - LCN - GAMBINO FAMILY.

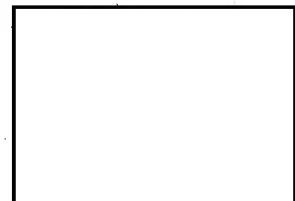
RE NYTELCALLS TO BUREAU AND OTHER DIVISIONS ON MARCH 4,  
1993.

FOR INFORMATION OF RECEIVING OFFICES, THE RICO TRIAL FOR  
THOMAS GAMBINO CAPO, AND JOSEPH GABINO, SOLDIER, GAMBINO LCN

Sec. Dir.	
Asst. Dir.:	
Adm. Serv.	
Ident.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Plan. & Insp.	
Rec. Mgmt.	
Tech. Serv.	
Training	
Off. of Cong. & Public Affs.	
Director's Office	

b7C

3/15/93



281A-NY-223047-23

111-3542

Burgett

PAGE TWO DE FBINY 0043 UNCLAS

FAMILY, IS TO BEGIN U.S. FEDERAL COURT, EDNY, ON MARCH 22, 1993. TRIAL EXPECTED TO LAST APPROXIMATELY TWO (2) WEEKS.

b7C

DURING THE COURSE OF THIS TRIAL, SEVERAL SA'S INVOLVED IN PREVIOUS GAMBINO SURVEILLANCES IN THE NEW HAVEN DIVISION WILL BE CALLED TO TESTIFY. THESE ARE

THESE AGENTS SHOULD ARRANGE TO MEET WITH AUSA'S LAURA WARD AND GEOFFREY MEARNES TO REVIEW THEIR TESTIMONY. AUSA'S CAN BE CONTACTED AT (718) 330-7286 OR 7463. NEW YORK COST CODE IS 3540.

BT

#0043

NNNN

[Faint, illegible text, possibly a stamp or administrative note]

TRANSMIT VIA: AIRTEL

CLASSIFICATION: \_\_\_\_\_

DATE: 3/24/93

FROM: Director, FBI

TO: ADIC, New York (281A-NY-233047)

JOHN GOTTI; OC/DI - GAMBINO LCN FAMILY

OO: NEW YORK (NY)

Re New York (NY) teletype to FBIHQ dated 3/18/93.

NY is authorized to continue to rent one vehicle for a six month period not to exceed 9/30/93 in connection with the "JOHN GOTTI" investigation. This vehicle is assigned Bucar Number [ ] and should be rented in accordance with the guidelines as set forth in the Manual of Administrative Operations and Procedures (MAOP), Part II, Section 6-7.10, Page 474.04. Funds for the rental of this vehicle, as well as all repairs (including accidents), should be obtained from the authorized case account. NY is to ensure this vehicle is rented and maintained in a covert manner.

b2

b7C

The Case Agent should ensure that the above-listed vehicle is properly serviced and all costs should be forwarded to the NY Automotive Clerk.

This matter has been coordinated with SSA [ ]  
Organized Crime Section, OC/DOS #2.

MAILED 36

MAR 26 1993

FBI

APPROVED: [ ]

Adm. Serv.	Inspection	Off. of EEO Affs.
Crim. Inv.	Ident.	Off. of Liaison
Crim. Inv. Lia.	Laboratory	& Int. Affs.
Serv.	Legal Coun.	Off. of Public
Ident.	Rec. Serv.	& Cong. Affs.
ADD-Inv.	Info. Mgmt.	TOM Off.
	Training	

Dep. Dir. \_\_\_\_\_  
ADD-Adm. \_\_\_\_\_  
ADD-Inv. \_\_\_\_\_  
Asst. Dir.: \_\_\_\_\_  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Insp. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Rec. Mgmt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Cong. Affs. Off. \_\_\_\_\_  
Off. of EEO \_\_\_\_\_  
Off. of Liaison & \_\_\_\_\_  
Int. Affs. \_\_\_\_\_  
Off. of Public Affs. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

[ ] Room 3076  
[ ] Room 6863  
[ ] Confidential Voucher Unit, Room 1388.

BAU/mcy (6)

MAIL ROOM

SLP/jk

RECEIVED  
TELETYPE UNIT

30 MAR 93 06 23z

FEDERAL BUREAU  
OF INVESTIGATION

489  
0398

Mr. Tolson	
Mr. DeLoach	
Mr. Mohr	
Mr. Bishop	
Mr. Casper	
Mr. Callahan	
Mr. Conrad	
Mr. Felt	
Mr. Gale	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Director's Sec'y	

0114 MRI 00310

PP RUCNFB FBINY

DE FBILA #0019 0890514

ZNY EEEEE

P 300451Z MAR 93

FM FBI LOS ANGELES (183A-3507) (RUC)/PRIORITY/

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

UNCLAS E F T O

CITE: //3410: CE-6//

PASS: SA [REDACTED] NEW YORK.

b7C

113940

SUBJECT: JOHN GOTTI: RICO(A); OO: NEW YORK(BQ).

RE LOS ANGELES TELEPHONE CALL OF SA [REDACTED] TO  
NEW YORK SA [REDACTED] DATED 3/26/93, AND NEW YORK TELETYPE  
TO LOS ANGELES DATED 6/8/92.

281A-NY-233047-25

ABOVE REFERENCED TELETYPE SET OUT LEADS TO APPREHEND  
FUGITIVE [REDACTED] ALL CURRENT LEADS HAVE BEEN EXHAUSTED.  
LOS ANGELES THEREFORE CONSIDERS THIS MATTER RUC.

b7C

BT

#0019

NNNN

W. J. [Signature]  
B. [Signature]

RECEIVED  
TELETYPE UNIT  
18 MAR 93 22 57Z  
FEDERAL BUREAU  
OF INVESTIGATION

0244 MRI 01185

RR RUCNFB

DE FBINY #0019 0771823

ZNR UUUUU

R 181820Z MAR 93

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

SECTION ONE OF TWO SECTIONS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; DC/DI-GAMBINO LCN FAMILY.

RE NEW YORK TELETYPE DATED SEPTEMBER 18, 1992.

b7C

THE FOLLOWING ACCOMPLISHMENTS HAVE BEEN REALIZED IN  
CAPTIONED CASE SINCE RE TELETYPE:

1. FORMER JUROR GEORGE PAPE WAS CONVICTED IN THE EDNY

AFTER A JURY TRIAL ON NOVEMBER 6, 1992 ON ONE (1) COUNT  
OF OBSTRUCTING JUSTICE AND ONE (1) COUNT OF CONSPIRACY TO  
OBSTRUCT JUSTICE. HE RECEIVED A THREE (3) YEAR SENTENCE

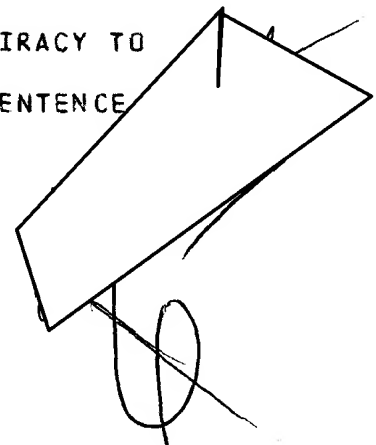
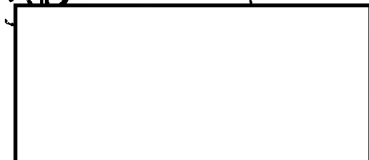
CAR Rental Approval  
JSA [REDACTED]

NYO POC  
JSA [REDACTED]

LEAD Unit Rm 3076  
EXT. 5715

Asst. Dir.:	
Adm. Serv.	
Ident.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Cong. Affs. Off.	
Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

3/18/93





PAGE TWO DE FBINY 0019 UNCLAS

ON FEBRUARY 18, 1993 BY JUDGE GLASSER. PAPE WAS A JUROR IN THE INFAMOUS JOHN GOTTI TRIAL WHO WAS BRIBED IN THE AMOUNT \$60,000.

2. FORMER MOB ATTORNEY MICHAEL COIRO RECEIVED A TWENTY-SEVEN (27) MONTH SENTENCE ON NOVEMBER 24, 1992 FOR PERJURY.
3. THREE (3) OF THE MAIN DEFENDANTS IN THE JOSEPH CORRAO DOJ CASE PLED GUILTY IN THE LAST WEEK OF FEBRUARY 1993. THE MAIN DEFENDANT, FORMER DETECTIVE WILLIAM PIEST OF THE NYPD INTELLIGENCE DIVISION, PLED GUILTY BEFORE JUDGE GLASSER TO COUNT ONE (1) OF THE INDICTMENT; RICO-DOJ. JUDGE GLASSER FORCED HIM TO ELOCUTE HIS PLEA AT WHICH TIME HE SUBSTANTIATED THE FACT THAT HE COMPROMISED AT LEAST SEVENTEEN (17) FEDERAL AND STATE INVESTIGATIONS. PIEST'S EXPOSURE IS FROM SEVENTY (70) TO EIGHTY FOUR (84) MONTHS AND HE ALSO AGREED TO FORFEIT HIS NYPD RETIREMENT, WHICH WAS ESTIMATED TO BE APPROXIMATELY \$600,000.
4. GAMBINO CAPO PAT CONTE, ACTING CAPO PAUL GRAZIANO, AND SOLDIER TONY VINCIULLO WERE INDICTED JANUARY 28, 1993 FOR CONSPIRACY TO MURDER LOUIS DI BONO. ALL THREE (3) WERE

PAGE THREE DE FBINY 0019 UNCLAS

ARRESTED, WITHOUT INCIDENT, ON FEBRUARY 5, 1993.

SET FORTH BELOW IS THE FOLLOWING TRIAL SCHEDULE FOR PROSECUTIONS EMANATING FROM CAPTIONED CASE.

1. MARCH 22, 1993 - EDNY TRIAL FOR GAMBINO CAPO THOMAS GAMBINO AND HIS COUSIN, SOLDIER JOSEPH GAMBINO.
2. APRIL 22, 1993 - EDNY TRIAL FOR DE CAVALCANTE BOSS JOHN RIGGI, SOLDIER VIRGIL ALESSI AND GENOVESE ASSOCIATE BARRY NICHILLO.
3. MAY 22, 1993 - EDNY TRIAL FOR GAMBINO CAPO JOSEPH CARRAO, AKA "JOE BUTCH".
4. JUNE 7, 1993 - EDNY TRIAL FOR GAMBINO CAPO PAT CONTE, ACTING CAPO PAUL GRAZZIANO AND SOLDIER TONY VINCIULLO.

THERE ARE CURRENTLY A MULTITUDE OF INVESTIGATIONS INTO THE ILLEGAL ACTIVITIES OF THE GAMBINO ORGANIZED CRIME FAMILY. SEVERAL INDICTMENTS OF MAJOR GAMBINO FIGURES WILL BE RETURNED IN THE NEXT FEW MONTHS. THE MOST IMPORTANT CASE INVOLVES THE CONSPIRACY TO MURDER FORMER GAMBINO SOLDIER THOMAS SPENILLI. IN THIS REGARD INDICTMENTS WILL BE RETURNED IN THE NEXT TWO

PAGE FOUR DE FBINY 0019 UNCLAS

(2) WEEKS AGAINST [REDACTED]

b7C

FURTHER, A PROSECUTIVE MEMO PROPOSING THE INDICTMENTS OF  
[REDACTED] IS BEING REVIEWED BY THE EDNY. THIS INDICTMENT  
WILL BE RETURNED IN APPROXIMATELY TWO (2) WEEKS AND WILL

THE GAMBINO SQUAD, IN CONJUNCTION WITH IRS, IS DEVELOPING  
A STRONG TAX CASE AGAINST [REDACTED]

b7A

PAGE FIVE DE FBINY 0019 UNCLAS

[REDACTED]

[REDACTED] A PROSECUTIVE MEMO IS BEING FINALIZED AND  
INDICTMENTS SHOULD BE RETURNED IN THE NEXT SIXTY (60) DAYS.

CURRENTLY, ONE (1) RENTAL VEHICLE IS IN USE FOR THESE  
MATTERS, NO. [REDACTED] AUTHORIZATION FOR THIS VEHICLE EXPIRES  
ON MARCH 31, 1993. IT IS ANTICIPATED THAT THIS VEHICLE WILL  
BE NEEDED FOR ADDITIONAL SIX MONTHS TO FURTHER THE  
INVESTIGATIONS AND PROSECUTIONS SET FORTH ABOVE. THE VEHICLE  
WILL BE USED TO TRANSPORT BOTH COOPERATING AND CIVILIAN  
WITNESSES AND ALSO TO CONDUCT INTERVIEWS AND SURVEILLANCES.

b2

b7C


b7D

IN PARTICULAR THIS VEHICLE IS ALSO BEING UTILIZED TO  
CONDUCT SURVEILLANCES AGAINST [REDACTED]  
OTHER MEMBERS OF THE GAMBINO FAMILY. THIS IS A FIXED "TYPE"  
SURVEILLANCE DIRECTED AGAINST [REDACTED]

[REDACTED]

PAGE SIX DE FBINY 0019 UNCLAS




FOR THE INFORMATION OF THE BUREAU, 

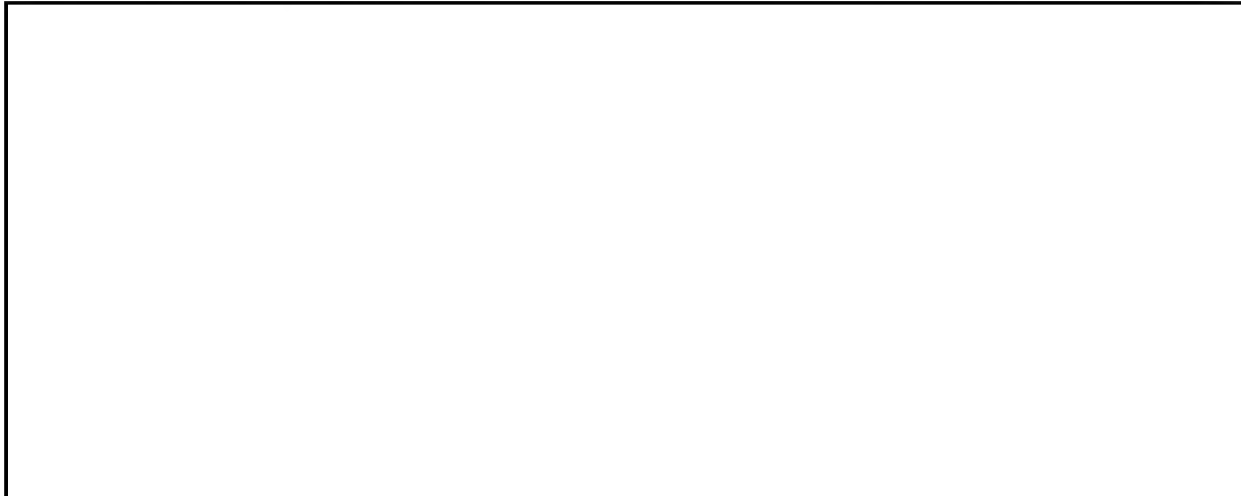
b7C

b7D

b3

 AND OTHER MEMBERS OF THE GAMBINO

FAMILY HAVE BEEN BECOMING EXTREMELY SURVEILLANCE CONSCIOUS.



BT

#0019

NNNN

0245 MRI 01186

RR RUCNFB

DE FBINY #0020 0771824

ZNR UUUUU

R 181820Z MAR 93

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

b3

SECTION TWO OF TWO SECTIONS

b7C

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; OC/DI-GAMBINO LCN FAMILY.

TEXT CONTINUES:



IT IS REQUESTED FOR THE AUTHORITY TO RENT ONE (1) VEHICLE

PAGE TWO DE FBINY 0020 UNCLAS

BE GRANTED FOR ADDITIONAL SIX (6) MONTHS THROUGH SEPTEMBER 30,  
1993. DADIC NEW YORK CONCURS IN THIS REQUEST.

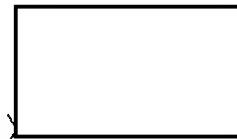
BT

#0020

NNNN

REPORT OF THE  
TECHNICAL SERVICES DIVISION  
FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D. C. 20535

1 -  
1 -  
1 -



May 7, 1993

To: ADIC, New York (C-16)

322

FBI File No. 281A-NY-233047

Lab No. 30223015 E QZ

Reference: Airtel dated February 22, 1993


b7C

Your No.

b3

Re: JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY

Specimens received: February 23, 1993

Q1 One Scotch 7-inch reel of 0.25-inch-wide audio tape  
marked in part  on a label on side 1

Result of examination:

Three electronically enhanced copies were prepared of  
the designated portion of specimen Q1, with two copies on audio  
cassettes and one copy on a 7-inch-reel of audio tape at a  
recording speed of 3 3/4 inches per second.

The enhancement procedures made only a slight  
improvement in voice intelligibility

SSA  conducted the enhancement  
examination.

281A NY-233047-27

Specimen Q1 and the enhanced copies were forwarded to  
your office on April 13, 1993, via Federal Express.

MAILED 3

MAY 10 1993

BEK/ML (6)

FBI

MAIL ROOM ☒

This Report Is Furnished For Official Use Only



RECORDED  
3/2/93  
trl

FEDERAL BUREAU OF INVESTIGATION) Enhancement  
UNITED STATES DEPARTMENT OF JUSTICE [redacted]

Work Sheet

To: ADIC, New York (Sub Q21)

FBI File No. 281A-NY-233047-27

Lab No. 30223015 E QZ

Reference: Airtel dated February 22, 1993

b3

b7C

Your No.

Re: JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY

Specimens received: February 23, 1993

Q1 ~~One Audio cassette Tape~~

*rec by me 3/3/93 11:25A, ggc*

Q1 One Scotch 7" reel of 1/4" wide audio tape wound  
in part [redacted] on a label on side 1. In a cardboard  
tape box.

LAB #30223015EQZ

TAPE (Q/R)

Q1

SIDE

1

## TAPE DESCRIPTION

FORMAT:  $\frac{1}{4}$  Open Reel, 7" TRACK CONFIG:  $\frac{1}{2}$  track, mono AZIMUTH:   
 PLAYBACK SPEED:  $3\frac{3}{4}$  IPS 60 HZ HARMONICS: NO SPEED CORRECTION: NO   
 SIGNAL/NOISE: poor FREQUENCY RESPONSE:  $\sim 5$  KHz   
 DISTORTION: Reverberation WOW & FLUTTER: NEAR/FAR PARTY:

WORK REQUESTED: ENHANCE VOICE INTELLIGIBILITY

# OF COPIES: 3 TYPE: MONO/STEREO CASSETTE/REEL OTHERS:

TAPE CONTENT  
SIDE:

SIDE:

RUN TIME: 25 min 25 sec REMAINDER:

RUN TIME: REMAINDER:

TIME DESCRIPTION

TIME DESCRIPTION

INITIAL problems: extreme reverberation, multiple people talking in the background, ambient acoustic noise, and frequently over driven signal

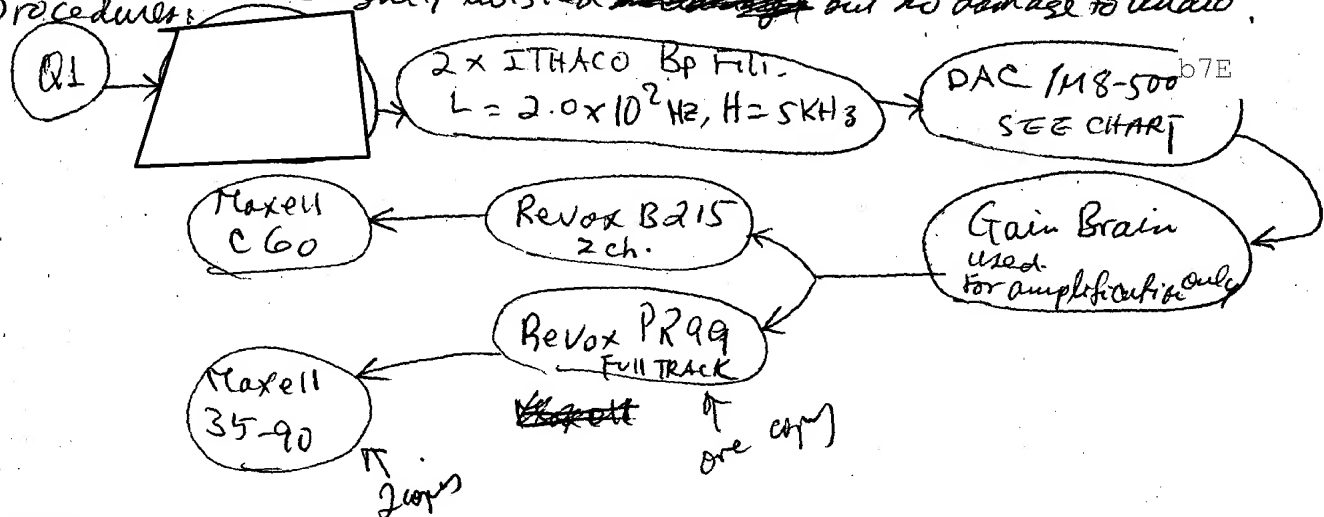
Requested pertinent segment: From 1340 to 1725 on

Counter (Revox). 1340 was also cued by the yellow scrap paper attached to the evidence tape.

Counter readings of 1340 and 1725 were verified by the Lab's Revox B77 MK II, and found 1340 = 56 min 27 sec, 1725 = 56 min 27 sec + 25 minutes into the Q1 Tape.

\* Approximately 1 minute and 7 sec from 1340 Counter measure, tape has been slightly twisted ~~but~~ but no damage to audio.

Procedures:



RESULTS:

Slight Enhancement

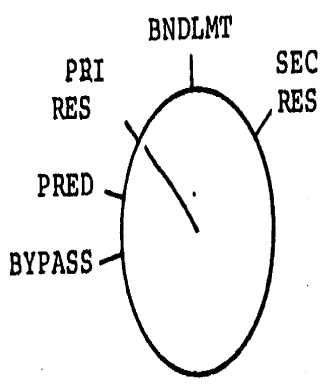
LAB #: 30223015 EQZ

Q1

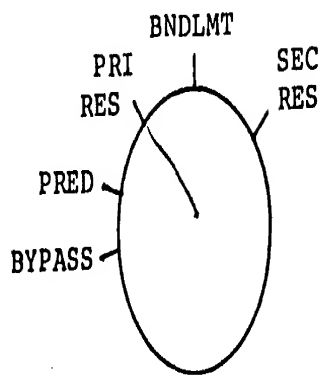
Q #:

DAC/M 8-5000

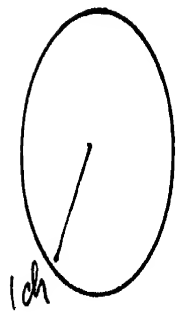
LINE



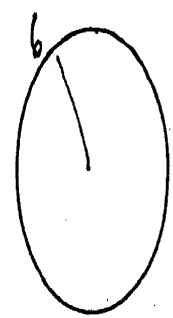
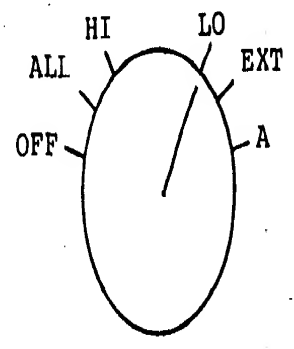
MONITOR



MODE

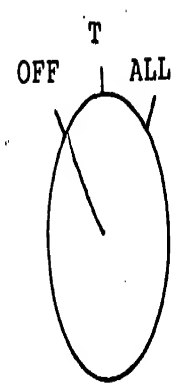


RATE

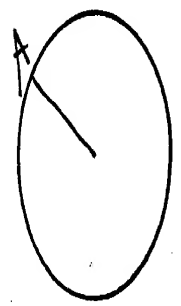


LAV  
☒ LMS  
☐

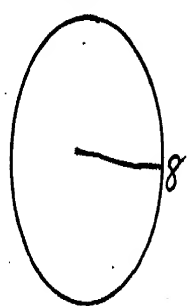
RATE



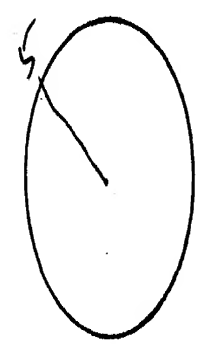
COEF MEMORY



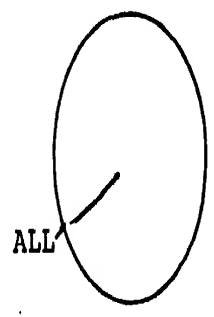
THRESHOLD



FILTER SIZE



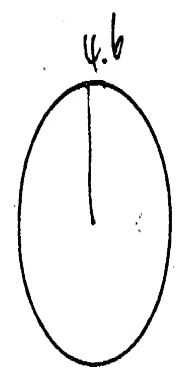
COEF DIS



PRI IN  
PRI OUT  
AUX IN  
AUX OUT



LPF  
☒  
☐



200 HZ  
HPF

INPUT OUTPUT  
IN IN  
☒ ☒



QUESTIONS? CALL 800-238-5355 TOLL FREE.

2207N

5827039621

Date

2/22/93

2GAAG47

AIRBILL

PACKAGE

TRACKING NUMBER

5827039621

Received in a sealed package  
2-23-93

RECIPIENT'S COPY

From (Your Name) Please Print SA [Redacted] Company F B I		Your Phone Number (Very Important) [Redacted]		To (Recipient's Name) Please Print FBI Engineering Research Company		Recipient's Phone Number (Very Important) 6407800 Department/Floor No.	
Street Address 9525 QUEENS BLVD 6TH FL City REDO PARK State NY				Exact Street Address (We Cannot Deliver to P.O. Boxes or P.O. Zip Codes.) BUILDING 27958-A City QUANTICO State VA ZIP Required 22135			
YOUR INTERNAL BILLING REFERENCE INFORMATION (optional) (First 24 characters will appear on invoice.)							
PAYMENT 1 <input checked="" type="checkbox"/> Bill Sender 2 <input type="checkbox"/> Bill Recipient's FedEx Acct. No. 3 <input type="checkbox"/> Bill 3rd Party FedEx Acct. No. 4 <input type="checkbox"/> Bill Credit Card 5 <input type="checkbox"/> Cash/Check				IF HOLD FOR PICK-UP, Print FEDEX Address Here Street Address City State ZIP Required			
SERVICES (Check only one box)		DELIVERY AND SPECIAL HANDLING (Check services required)		PACKAGES WEIGHT YOUR DECLARED VALUE		Emp. No. Date Federal Express Use	
11 <input type="checkbox"/> OTHER PACKAGING 12 <input type="checkbox"/> FEDEX PAK 13 <input type="checkbox"/> FEDEX BOX 14 <input type="checkbox"/> FEDEX TUBE 30 <input type="checkbox"/> ECONOMY 70 <input type="checkbox"/> OVERNIGHT FREIGHT** 80 <input type="checkbox"/> TWO-DAY FREIGHT**		51 <input type="checkbox"/> OTHER PACKAGING 52 <input checked="" type="checkbox"/> FEDEX LETTER* 53 <input type="checkbox"/> FEDEX PAK 54 <input type="checkbox"/> FEDEX BOX 55 <input type="checkbox"/> FEDEX TUBE 46 <input type="checkbox"/> GOVT LETTER 47 <input type="checkbox"/> GOVT PACKAGE 48 <input type="checkbox"/> GOVT LETTER 49 <input type="checkbox"/> GOVT PACKAGE 6 <input type="checkbox"/> DRY ICE 7 <input type="checkbox"/> OTHER SPECIAL SERVICE 9 <input type="checkbox"/> SATURDAY PICK-UP 12 <input type="checkbox"/> HOLIDAY DELIVERY (if offered)		1 <input type="checkbox"/> WEEKDAY 2 <input type="checkbox"/> SATURDAY 3 <input type="checkbox"/> SATURDAY (Extra charge) 4 <input type="checkbox"/> DANGEROUS GOODS (Extra charge) 5 <input type="checkbox"/> 6 <input type="checkbox"/> DRY ICE 7 <input type="checkbox"/> OTHER SPECIAL SERVICE 9 <input type="checkbox"/> SATURDAY PICK-UP 12 <input type="checkbox"/> HOLIDAY DELIVERY (if offered)		Emp. No. Date Federal Express Use Charges Declared Value Charge Other 1 Other 2 Total Charges Revision Date 6/92 PART #137204 N6 REC 0/92 FORMAT #136 136 1991-92 FEDEX PRINTED IN U.S.A.	

Evidence Shipping

FEDERAL BUREAU OF INVESTIGATION

BLDG 27958A

QUANTICO, VA. 22135

Date: April 13, 1993

To: ADIC, New York (C-16)

Re: JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY

Invoice of Contents

Description of Contents:

1. Specimen Q1 (one 7-inch reel of audio tape)
2. Three enhanced copies of the designated portion of specimen Q1 (two audio cassettes and one 7-inch reel of audio tape)

Note: The enhancement procedures made only a slight improvement in voice intelligibility.

FBI File# 281A-NY-233047

LAB# 30223015 E 02

Your#

Return to

Engineering Section

Ext. (703) 630-6669

Shipping# 7521068091

EVIDENCE EVIDENCE EVIDENCE  
PRIORITY HANDLING REQUESTED.  
UPON RECEIPT, DELIVER TO  
CASE AGENT, OR CASE SUPVR.

Shipping Methods

☐ Registered Mail/Return Receipt Requested

☒ Overnight Security Signature Service

☐ Other

Packaged by

Signature

☐ Hand Delivered

Date

All items listed above are contained in this package.

Signature Written

E - 36

RECEIVED  
TELETYPE UNIT  
26 APR 93 11 07  
FEDERAL BUREAU OF INVESTIGATION

Dep. Dir.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Cong. Affs. Off.	
Off. of Sec.	
Off. of Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

3025

0139 MRI 00492

RR RUCNFB

DE FBINY #0010 1161800

ZNR UUUUU

R 261711Z APR 93

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

b7C

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; OC/DI; OO:NY(BQ) (C-16).

b7C

ON MARCH 31, 1993, LEWIS KASMAN WAS INDICTED IN THE EASTERN DISTRICT OF NEW YORK (EDNY) ON SIX (6) COUNTS OF PERJURY AND ONE (1) COUNT OF OBSTRUCTION OF JUSTICE (OOJ). KASMAN WAS THEN ARRESTED WITHOUT INCIDENT ON APRIL 20, 1993, AND APPEARED BEFORE UNITED STATES MAGISTRATE (USM) GO OF THE EDNY.

281A-NY-233047, 28

[REDACTED]

*[Handwritten signatures]*

PAGE TWO DE FBINY 0010 UNCLAS

b7C

b3

KASMAN WAS RELEASED ON \$1 MILLION BAIL. NO TRIAL DATE  
HAS BEEN SET IN THIS MATTER.

BT

#0010

NNNN

RECEIVED  
TELETYPE UNIT

18 MAY 93 22 48

FEDERAL BUREAU  
OF INVESTIGATION

Dep. Dir.	
Asst. Dir.:	
Adm. Serv.	
Ident.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Cong. Affs. Off.	
Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

b7C

0232 MRI 01245

RR RUCNFB FBINH FBIPH FBIWMFO

DE FBINY #0023 1381754

ZNR UUUUU

R 181754Z MAY 93

FM FBI NEW YORK (281A-NY-223047 SUB Q10) (P) (C-13)

TO DIRECTOR FBI/ROUTINE/

INFO FBI NEW HAVEN/ROUTINE/

FBI PHILADELPHIA/ROUTINE/

FBI WMFO/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

SUBJECT: <sup>①</sup>JOHN GOTTI; OC/DI - LCN - GAMBINO FAMILY; OO:NY(BQ);  
(C-16).

REFERENCE NEW YORK TELETYPE TO FBIHQ AND OTHER DIVISIONS,  
DATED MARCH 16, 1993.

ON MAY 9, 1993, THE TRIAL FOR THOMAS GAMBINO, CAPD AND  
JOSEPH GAMBINO, SOLDIER IN THE GAMBINO LCN FAMILY ENDED AT THE  
EASTERN DISTRICT OF NEW YORK (EDNY) FEDERAL COURTHOUSE IN

117-114-03-1-29

*[Handwritten signatures and initials]*



PAGE TWO DE FBINY 0023 UNCLAS

BROOKLYN, NEW YORK. ON MAY 10, 1993, THE JURY RETURNED A  
GUILTY OF ALL COUNTS ON THOMAS GAMBINO AND NOT GUILTY ON HIS  
COUSIN, JOSEPH GAMBINO.

THOMAS GAMBINO, SON OF THE LATE CARLO GAMBINO, THE  
ORIGINAL HEAD OF THIS FAMILY, HAD BEEN CHARGED WITH TWO (2)  
COUNTS OF VIOLATION OF TITLE 18, UNITED STATES CODE (USC)  
SECTION 1962(C) (RICO) AND 1962(D) (RICO) CONSPIRACY).

SENTENCING FOR THOMAS GAMBINO IS SCHEDULED FOR JULY 22,  
1993.

BT

#0023

NNNN

26 APR 93 527

Dep. Dir.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Off. of Cong. & Public Affs.	
Off. of Int. Affs.	
Telephone Rm.	
Director's Office	

0144 MRI 00505

RR RUCNFB

DE FBINY #0011 1161800

ZNR UUUUU

R 261723Z APR 93

FM FBI NEW YORK (281A-NY-233047 Q31) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

b7C

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; OC/DI; DO:NY(BQ) (C-16).

FOR INFORMATION OF FBIHQ, ON APRIL 19 - 20, 1993,  
GAMBINO LCN FAMILY CAPTAIN JAMES JOHN FAILLA;  
GAMBINO LCN FAMILY CAPTAIN DANIEL JOSEPH MARINO;  
GAMBINO LCN FAMILY ACTING CAPTAIN LOUIS ASTUTO;  
GAMBINO LCN FAMILY SOLDIER PHILLIP MAZZARA;  
GAMBINO LCN FAMILY SOLDIER DOMINICK BORGHESE; AND  
GAMBINO LCN FAMILY ASSOCIATE JOSEPH WATTS  
WERE ARRESTED WITHOUT INCIDENT.

281A-NY-233047 30  
[Signature]  
[Signature] (Info)  
[Signature]

PAGE TWO DE FBINY 0011 UNCLAS

ALL OF THE ABOVE INDIVIDUALS WERE BROUGHT BEFORE UNITED STATES DISTRICT COURT JUDGE (USDCJ) CHARLES P. SIFTON, EASTERN DISTRICT OF NEW YORK (EDNY), BROOKLYN, NEW YORK. JUDGE SIFTON SET BAIL FOR EACH OF THE INDIVIDUALS AT ONE MILLION DOLLARS, SURRENDER OF PASSPORTS, TRAVEL RESTRICTION LIMITED TO THE EASTERN AND SOUTHERN DISTRICTS OF NEW YORK, AND RESTRICTIONS ON THEIR ASSOCIATIONS WITH OTHER GAMBINO MEMBERS/CLUBS.

FAILLA, MARINO, ASTUTO, MAZZARA, BORGHESE AND WATTS WERE INDICTED ON THE FOLLOWING COUNTS, UNLESS OTHERWISE NOTED:

I (1) COUNT ONE - RACKETEERING

(A) CONSPIRACY TO MURDER - (THOMAS SPINELLI) -

VIOLATION OF NEW YORK PENAL LAW SECTIONS 125.25 AND 105.15.

(B) MURDER - (THOMAS SPINELLI) - VIOLATION OF NEW YORK PENAL LAW SECTIONS 125.25 AND 20.00.

(2) RACKETEERING

(A) TAMPERING WITH A WITNESS - VIOLATION OF TITLE 18, UNITED STATES CODE (USC), SECTIONS 1512(A), 1512(B) AND 2.

(3) RACKETEERING (SOLELY JOSEPH WATTS)

(A) CONSPIRACY TO MURDER - (PAUL CASTELLANO AND

PAGE THREE DE FBINY 0011 UNCLAS

THOMAS BILOTTI) - VIOLATION OF NEW YORK PENAL  
LAW SECTIONS 125.25 AND 105.15.

(B) MURDER - (CASTELLANO) - VIOLATION OF NEW YORK  
PENAL LAW SECTIONS 125.25 AND 20.00.

(C) MURDER - (BILOTTI) - VIOLATION OF NEW YORK  
PENAL LAW SECTIONS 125.25 AND 20.00.

(4) RACKETEERING (SOLELY JAMES FAILLA)

(A) EXTORTION CONSPIRACY - VIOLATION OF TITLE 18,  
USC, SECTION 1951.

II COUNT TWO

(A) CONSPIRACY TO MURDER THOMAS SPINELLI - VIOLATION OF  
TITLE 18, USC, SECTION 1959(A)(5) AND 3551.

III COUNT THREE

(A) MURDER OF THOMAS SPINELLI - VIOLATION OF TITLE 18,  
USC, SECTION 1959(A)(1), 2 AND 3551.

IV COUNT FOUR

(A) CONSPIRACY TO TAMPER WITH A WITNESS - VIOLATION OF  
TITLE 18, USC, SECTIONS 1512(A) AND 1512(B).

V COUNT FIVE

(A) TAMPERING WITH A WITNESS - VIOLATION OF TITLE 18,

PAGE FOUR DE FBINY 0011 UNCLAS

USC, SECTIONS 1512(A), 1512(B), 2 AND 3551.

JUDGE SIFTON SCHEDULED A STATUS HEARING FOR THE ABOVE  
DEFENDANTS AT THE EDNY ON APRIL 26, 1993.

NEW YORK WILL KEEP FBIHQ APPRISED OF FUTURE DEVELOPMENTS  
OF CAPTIONED CASE.

BT

#0011

NNNN

RECEIVED  
TELETYPE UNIT  
9 APR 93 10 29Z  
FEDERAL BUREAU  
OF INVESTIGATION

Dep. Dir.	_____
ADD Adm.	_____
ADD Inv.	_____
Asst. Dir.:	_____
Adm. Serv.	_____
Crim. Inv.	_____
Ident.	_____
Info. Mgmt.	_____
Insp.	_____
Intell.	_____
Lab.	_____
Legal Coun.	_____
Tech. Serv.	_____
Training	_____
Cong. Affs. Off.	_____
Off. of EEO	_____
Off. Liaison & Int. Affs.	_____
Off. of Public Affs.	_____
Telephone Rm.	_____
Director's Office	_____

0187 MRI 00860

RR RUCNFB

DE FBINY #0019 0991614

ZNR UUUUU

R 091612Z APR 93

FM FBI NEW YORK (281A-NY-233047 Q21) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

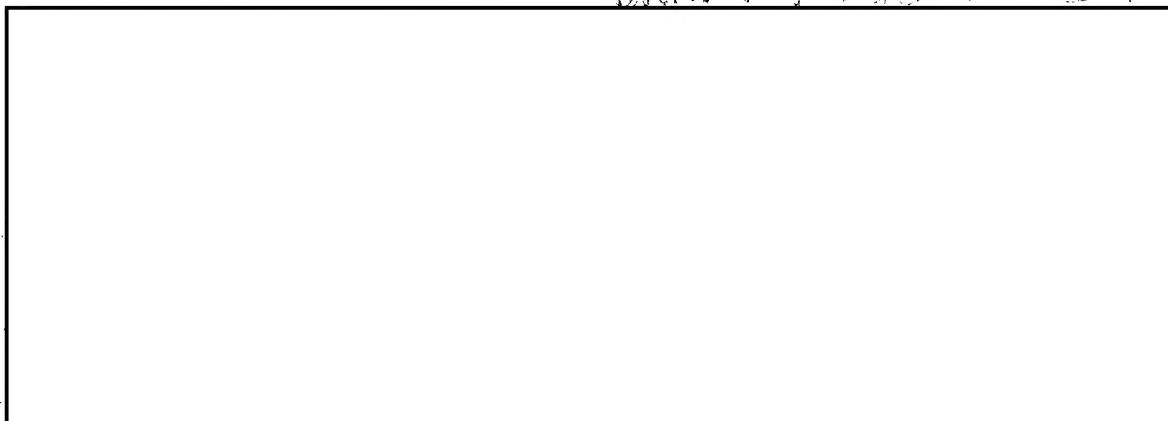
UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; OC/DI - LCN - GAMBINO FAMILY; OO:NY(BQ).

STATUS OF INVESTIGATION:.



4/22 SSA [REDACTED] advised civil case is not expected to be initiated in the near future. He will advise CR4 of developments - cc sent to WMPD via airtel



6-

PAGE THREE DE FBINY 0019 UNCLAS

(3) DOLLARS FOR EVERY YARD OF CONCRETE POURED.

THE FIVE (5) TARGETS OF THIS INVESTIGATION ARE AS  
FOLLOWS:

b7C

REPORT OF THE  
TECHNICAL SERVICES DIVISION  
FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D. C. 20535

1 -  
1 -

b7C

June 4, 1993

To: ADIC, New York (SO6)

322

FBI File No. 183A-NY-3507

Lab No. 21020033 E YH

Reference: Airtel dated October 7, 1992

Your No.

Re: JOHN GOTTI; ET AL  
RICO (A)

b7C

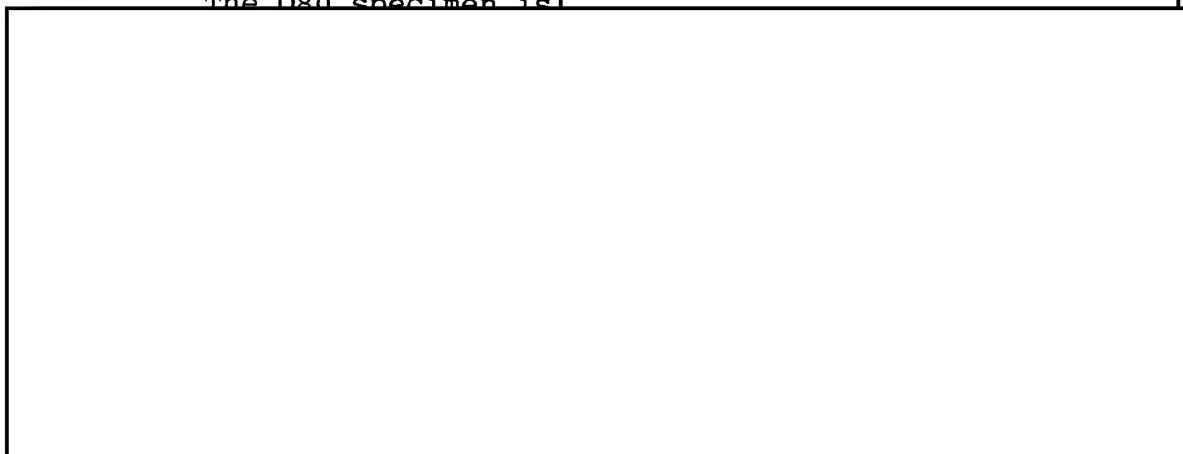
Specimens received: October 20, 1992

Q80

Result of examination:

The Q80 specimen is

281A-IV-232A(17)-32

Page One  
JPH/ajp (5)

(Continued - Over)

MAIL ROOM ☒

This Report Is Furnished For Official Use Only

JUN 14 1993



b7C

b7C

The Q80 specimen will be returned to the New York Office under separate cover.

RECORDED  
10/29/92  
trl

FEDERAL BUREAU OF INVESTIGATION electr b7C : device  
UNITED STATES DEPARTMENT OF JUSTICE

Work Sheet

To: ADIC, New York (S06)

FBI File No.

181A-NY-233047-32  
183A-NY-3507

Lab No.

21020033 E YH

Reference: Airtel dated October 7, 1992

Your No.

Re: JOHN GOTTI; ET AL  
RICO (A)

EVIDENCE TO LFU  
11-11-92  
VIA COURIER

Specimens received: October 20, 1992

Q80

[Redacted Box]

b7C  
See 805 10013 E4  
Q34B

FBI

## TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☒ AIRTEL

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 10/7/92

TO : DIRECTOR, FBI  
 (ATTN: UNIT CHIEF [REDACTED] TSD  
 ERF, NEWINGTON)

FROM <sup>mg</sup><sub>JH</sub>: ADIC, NEW YORK (SO6)

SUBJECT : JOHN GOTTI; ETAL  
 RICO (A)  
 OO:NY (BQ)  
 183A-3507

446 +  
 691

21020033  
 E  
 YH

b7C

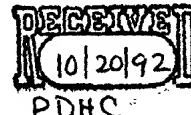
b7D

Re: New York airtel, dated 2/26/92.

The NYO case squad, C-16 BQMRA, requests the device be processed for latent fingerprints inasmuch as the case squad wishes to peruse investigative action against developed subjects.

②-BUREAU (Enclosure)  
 1-NEW YORK  
 JF:pm  
 (3)

Hand Carried  
 TTA. SO6



b7C

1 Bag

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ Per \_\_\_\_\_  
 (Number) (Time)

REQUEST OF THE BUREAU



b7C

NYO requests latent Fingerprint Section to process the enclosed device (outside cover as well as inside device) for latent fingerprints.

RECEIVED  
TELETYPE UNIT  
10 MAY 93 (23) 400  
FEDERAL BUREAU  
OF INVESTIGATION

Dep. Dir.	_____
Asst. Dir.:	_____
Adm. Servs.	_____
Crim. Inv.	_____
Ident.	_____
Info. Mgmt.	_____
Insp.	_____
Intell.	_____
Lab.	_____
Legal Coun.	_____
Tech. Servs.	_____
Training	_____
Cong. Affs. Off.	_____
Off. of EEO	_____
Off. Liaison & Int. Affs.	_____
Off. of Public Affs.	_____
Telephone Rm.	_____
Director's Office	_____

0186 MRI 01027

RR RUCNFB FBINK

DE FBINY #0023 1301857

ZNR UUUUU

R 101855Z MAY 93

FM FBI NEW YORK (281A-NY-233047 SUB Q32) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

FBI NEWARK/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED], ORGANIZED CRIME UNIT; NK FOR;  
SA [REDACTED] ORGANIZED CRIME SQUAD.

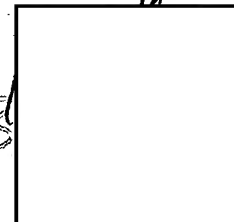
b7C

SUBJECT: JOHN GOTTI, ET AL; RICO (A); OO:NY(BQ) (C-16).

ON APRIL 29, 1993, A FEDERAL GRAND JURY (FGJ) IN THE  
EASTERN DISTRICT OF NEW YORK (EDNY) INDICTED GAMBINO CAPO  
ROBERT BISACCIA, ALSO KNOWN AS (AKA) BOBBY CABERT, AND GAMBINO  
SOLDIER DRAZIO SANTINI, AKA "OZZIE", FOR CONSPIRACY TO MURDER  
FRANCESCO OLIVERI.

THE MAJORITY OF THE EVIDENCE IN THIS INDICTMENT WAS BASED

281A-NY-233047-33



Handwritten signature or initials.

PAGE TWO DE FBINY 0023 UNCLAS

IN FEBRUARY 1988, A GIUSEPPE GAMBINO WAS MURDERED AT HIS  
RESIDENCE AT 41-15 35TH AVENUE, ASTORIA, NEW YORK, BY  
FRANCESCO OLIVERI AS A RESULT OF A LENGTHY FAMILY DISPUTE.  
GIUSEPPE GAMBINO WAS A SOLDIER IN THE GAMBINO LCN FAMILY IN  
THE CREW OF

APPROACHED JOHN GOTTI AND REQUESTED HIS ASSISTANCE.

GOTTI AGREED TO ASSIST

b3

b7C

b7C

PAGE THREE DE FBINY 0023 UNCLAS

AFTER A SERIES OF SURVEILLANCES AND "DRY RUNS", FRANCESCO OLIVERI WAS SHOT TO DEATH IN FRONT OF HIS APARTMENT BUILDING AT APPROXIMATELY 6:00 AM ON MAY 3, 1988. [REDACTED]

b7C

[REDACTED] NEW YORK FBI, SQUAD

C-16 HAS BEEN ASSISTING IN PROVIDING WITNESSES, MEDICAL EXAMINER'S REPORTS, PHOTOGRAPHS, NEW YORK CITY POLICE REPORTS, ETC. TO THE UNITED STATES SDNY PROSECUTION TEAM.

[REDACTED]

FBIHQ WILL BE ADVISED OF THE RESULTS.

PAGE FOUR DE FBINY 0023 UNCLAS

FD 515'S WILL FOLLOW.

BT

#0023

NNNN



## Memorandum



310  
✓324  
106

To : Director, FBI

Date 5/7/93

From : ADIC, NEW YORK (66-8712)

ATT.: Correspondence Unit  
Office of Public AffairsSubject : CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

b7C

Name to whom letter should be addressed

Rank/Title

[Redacted]

[Redacted]

Agency Address (include Zip Code) (Home Address if Appropriate, i.e., Retired)

FIRE DEPARTMENT, CITY OF NEW YORK

250 LIVINGSTON STREET, BROOKLYN, NEW YORK 11201

ZIP OR PER  
ZIP DIR.

Person being commended or thanked (include rank/title and address, if different than above)

[Redacted]

b7C

## BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Retirement (Date of function if being presented)
- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor or newspaperman to be written with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other

**REMARKS:** (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number. Note if copies should be designated or sent to supervisor or subordinates and provide complete address. Indicate if letter is to be presented by SAC and/or if there is a deadline involved.)

JOHN GOTTI

RICO (A)

(OO:NY)

NYFILE 183A-3507

1cc detached in  
Carries Unit

2-Bureau

1-New York

JAF:km

(3)

Enc.

Ale G Rivera  
6-16-93  
Psttm: bhm

281A-NY-233047-34  
LCN/Inv/Labor Unit  
5/17/93

OACA - Btm  
FBI/DOJ

NY 66-8712

For information of FBI Headquarters, for many years, members of the New York Office Special Operations Branch have enjoyed a very close relationship with [REDACTED]

Most recently, [REDACTED] has been assisting one of the NYO Technical Squads (SO-6) in an extremely sensitive [REDACTED]

b7C

b7E

It is requested that [REDACTED] be recognized and commended by the Director for his overall tireless efforts and assistance to the FBI, particularly in connection with captioned case.

Memorandum



Subject

*THOMAS*  
United States v. Gambino, et al  
90 CR 1051 (S-3)

Date

May 20, 1993

To

*[Signature]* John Schimann  
Special Agent  
Federal Bureau of Investigation

From

*LAW*  
Laura Ward  
Assistant U. S. Attorney  
Eastern District of New York

This memorandum will serve to confirm that you appeared in the Eastern District of New York for a pre-trial conference on May 3, 1993, and testified in the above trial on May 4, 1993.

*[Signature]*  
ENCLOSURE

281A-NY-233047-35

*[Signature]*

U.S. Department of Justice  
Justice Management Division

Request for Person to Testify as Government Witness

To: F B I	File No. _____
Attn:	Confirmation of Telephone Call On May 3, 1993
	By Harry White
	Tel. No. 202-301-6702

Witness Identification (Name, Address, Etc.) SA John Schimann FBI Rm 5368 JEH Bldg	Witness Identification (Name, Address, Etc.)   
Title of Case US v Gambino et al 90 CR 1051 (S-3)	Place of Trial Eastern District of New York

Witness To Report To:			
Name	Place	Date	Time
AUSA Laura Ward 718-330-7463	US Attorneys Office US Courthouse 225 Cadman Plaza Brooklyn, NY 11201	May 3, 1993 two days	1800

Witness will be entitled to round trip travel expenses, and per diem in lieu of subsistence, in accordance with Standardized Government Travel Regulation of Joint Travel Regulations, as applicable, for the time needed as witness and for reasonable travel between his headquarters and place of testimony and return.

- ☒ Witness will be testifying to matters involving his official duties; therefore, his expenses are payable by your Department in accordance with 28 CFR 21, and Section 5751 of Title 5, United States Code.
- ☐ Your Department will be reimbursed the witness' expenses from the appropriation "Fees and Expenses of Witness, Department of Justice" upon submission of a SF 1080 or SF 1081 supported by a paid Travel Voucher to this office.
- ☒ Please advance this witness per diem for a reasonable period of time that he is absent from his headquarters.
- ☒ Other Remarks: NOTE TO ATTORNEY: Please provide witness with a statement of attendance.  
DO NOT USE FORM OBD-3, FACT WITNESS VOUCHER.

Date 5/3/93 Harry White

Formerly OBD-55

281A-NY-223047-35  
ENCLOSURE

RECEIVED  
TELETYPE UNIT

7 JUL 93 18 56z

FEDERAL BUREAU  
OF INVESTIGATION

489

Dep. Dir.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Off. of Cong. & Public Affs.	
Director's Office	

0164 MRI 00876

RR RUCNFB

DE FBINY #0006 1881518

ZNR UUUUU

R 071518Z JUL 93

FM FBI NEW YORK (281A-NY-233047 Q21) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; PC/DI - LCN - GAMBINO FAMILY; OO:NY(BQ);  
(C-16).

REFERENCE NEW YORK TELETYPE TO FBIHQ, DATED APRIL 9,  
1993.

ON JULY 1, 1993, THE FEDERAL BUREAU OF INVESTIGATION  
(FBI) ARRESTED FIVE (5) PRESENT AND FORMER OFFICIALS OF  
TEAMSTERS UNION LOCAL 282, PURSUANT TO AN INDICTMENT HANDED  
DOWN IN THE EASTERN DISTRICT OF NEW YORK (EDNY). THE  
INDIVIDUALS ARRESTED WERE:

281A-NY-233047-20



PAGE TWO DE FBINY 0006 UNCLAS

ROBERT SASSO, DATE OF BIRTH (DOB) MARCH 4, 1934, FORMER  
PRESIDENT OF LOCAL 282;

MICHAEL CARBONE, DOB MAY 13, 1940, FORMER SECRETARY-  
TREASURER;

MICHAEL BOURGAL, DOB JULY 5, 1942, CURRENT PRESIDENT;

JOHN PROBEYAHN, DOB JANUARY 1, 1932, CURRENT SECRETARY-  
TREASURER; AND

JOSEPH MATARAZZO, DOB SEPTEMBER 5, 1922, FORMER BUSINESS  
AGENT.

EACH OF THESE INDIVIDUALS WAS ARRESTED WITHOUT INCIDENT.  
THE SUBJECTS WERE ARRAIGNED BEFORE JUDGE THOMAS C. PLATT IN  
UNITED STATES DISTRICT COURT, UNIONDALE, NEW YORK. A HEARING  
WAS SET FOR JULY 6, 1993 AT 9:30 AM TO DETERMINE BAIL  
ARRANGEMENTS.

THE SEALED INDICTMENT, WHICH WAS HANDED DOWN ON JUNE 24,  
1993, WAS UNSEALED ON JULY 1, 1993. IT CHARGES EACH OF THESE  
MEN WITH ENGAGING IN A RACKETEERING ENTERPRISE, TITLE 18,  
UNITED STATES CODE (USC), SECTION 1962(C), NAMELY, THAT LOCAL  
282 WAS OPERATED AS A CRIMINAL ENTERPRISE FOR THE BENEFIT OF  
THE DEFENDANTS AND THE GAMBINO LCN FAMILY. JOHN GOTTI AND  
SALVATORE GRAVANO ARE NAMED IN THE INDICTMENT AS UNINDICTED

PAGE THREE DE FBINY 0006 UNCLAS

CO-CONSPIRATORS. THE RACKETEERING CHARGES INCLUDE TAFT-HARTLEY PAYOFFS AND HOBBS ACT EXTORTIONS. THE INDICTMENT ALSO CHARGES FIFTEEN (15) SUBSTANTIVE VIOLATIONS OF TAFT-HARTLEY OR HOBBS ACT, AS WELL AS FORFEITURE COUNT.

NEW YORK HAS LEARNED THAT

b7C

NEW YORK WILL CONTINUE TO APPRISE FBIHQ OF SIGNIFICANT EVENTS IN THIS MATTER.

BT

#0006

NNNN

## Memorandum



310

To : Director, FBI

Date 6/2/93

From: *[Signature]* ADIC, NEW YORK (281A-NY-233047 Sub Q10)ATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject: CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name <u>GEOFFREY S. MEARNS</u>	Rank (if applicable) AUSA
Residence Address (include Zip Code) <u>United States Courthouse</u>	
Name of Agency Department of Justice, United States Attorney's Office, Eastern District of New York	Name of Head of Agency
Address of Agency (include Zip Code) 225 Cadman Plaza East, Brooklyn, NY 11201	

## BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☐ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☒ Other Successful prosecution of major organized crime case

b7C

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

<sup>①</sup>  
THOMAS GAMBINO  
OC/DI - LCN - GAMBINO FAMILY

- <sup>②</sup> - Bureau  
1 - New York (67-1777)  
1 - New York (281A-NY-233047 Sub Q10)  
MJD:dam  
(4)

1262	Voucher
<u>8570</u>	<u>[Redacted]</u>
[Redacted]	

Let to MEARNS  
7-15-93  
Imm:fu  
Enc.

281A-NY-233047-38

Rm *[Signature]*  
6/2/93



In December, 1990, THOMAS GAMBINO, Capo, GAMBINO LCN Family, was indicted on two counts alleging violations of Title 18, United States Code (USC), Section 1962(c) (RICO) and 1962(d) (RICO Conspiracy). He was then arrested with JOHN GOTTI, the Boss of the GAMBINO LCN Family, SAMMY "THE BULL" GRAVANO, the Underboss and FRANK LOCASCIO the Consigliere. Subsequently by motion of the government, the trial against THOMAS GAMBINO was severed from the other subjects.

On August 4, 1992, a superseding indictment was filed against THOMAS GAMBINO and two others. At this time, Assistant United States Attorney (AUSA) LAURA A. WARD of the EASTERN DISTRICT OF NEW YORK (EDNY) was handling the prosecution case for the government. This case concerned the Connecticut faction of the GAMBINO Family which was controlled by THOMAS GAMBINO and his crew. The GAMBINO's controlled almost all of the major gambling, bookmaking, numbers and loansharking activity in Southern Connecticut.

In December of 1989, ANTHONY MEGALE, a soldier in the GAMBINO LCN Family was arrested along with 21 of his associates for gambling and loansharking in Connecticut. They subsequently all pled guilty of these charges. The investigation in NY of THOMAS GAMBINO and others proved his control of MEGALE and his crew that ran those activities in Connecticut.

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Because of the volume of the evidence, Title III tapes [redacted] the gambling tapes provided by the CONNECTICUT STATE POLICE and numerous tapes which [redacted]

b7D

Ms. WARD was assisted by AUSA GEOFFREY S. MEARNS.

The prosecutors reviewed a voluminous amount of material which had been obtained through the Connecticut investigation which included material from the FEDERAL BUREAU OF INVESTIGATION (FBI) along with work done with the CONNECTICUT STATE POLICE. In addition, they worked with several Special Agents (SAs) from Connecticut, along with other witnesses to develop their testimony for the trial. Because of problems with [redacted] Ms. WARD had to develop part of the extortion part of the case through other witnesses and one of the SAs.

THOMAS GAMBINO, the son of CARLO GAMBINO, the original head of the GAMBINO LCN Family, had several of the most prominent and expensive trial and appeal attorneys working for him. In addition to this legal talent, the GAMBINO defense team hired several prominent investigators and also their own technical experts to work on this case.

The trial began on April 26, 1993 and ended on May 11. The jury after seven hours of deliberation returned a guilty verdict against THOMAS GAMBINO on all counts. GAMBINO is to be sentenced on July 22, 1993.

Prior to the trial and during the direct testimony of the government witnesses, MEARNs was outstanding in presenting the government's evidence. At the end of the trial, the summation argument that he presented was complimented on not only by the others on the prosecution team, but was praised by several of those from the numerically superior defense team.

As with Ms. WARD, MEARNs was overseeing several other prosecutions at the time and despite the fact that he joined the prosecution of this case later than Ms. WARD, his contribution to the success of the prosecution was crucial.

## Memorandum

To : Director, FBI

Date 6/2/93

From: *JM* ADIC, NEW YORK (281A-NY-233047 Sub Q10)ATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject: CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name <u>LAURA A. WARD</u>	Rank (if applicable) <u>AUSA</u>
Residence Address (include Zip Code) <u>United States Courthouse</u>	
Name of Agency Department of Justice, United States Attorney's Office, Eastern District of New York	Name of Head of Agency
Address of Agency (include Zip Code) <u>225 Cadman Plaza East, Brooklyn, NY 11201</u> ✓ <i>per RR card</i>	

BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman; to be written to, with address and Zip Code.)
- ☐ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."

☒ Other Successful Prosecution of major organization off *ptly to the*

REMARKS: (Set forth below a clear, concise, justification specific, omit generalities. If applicable, in

THOMAS GAMBINO

OC/DI - LCN - GAMBINO FAMILY

② - Bureau

1 - New York (67-1777)

1 - New York (281A-NY-233047 Sub Q10)

MJD:dam

(4)

281A-NY-233047-39

*Let to Ward  
7/15/93  
Jmm:fu*

Enc.

In December, 1990, THOMAS GAMBINO, Capo, GAMBINO LCN Family, was indicted on two counts alleging violations of Title 18, United States Code (USC), Section 1962(c) (RICO) and 1962(d) (RICO Conspiracy). He was then arrested with JOHN GOTTI, the Boss of the GAMBINO LCN Family, SAMMY "THE BULL" GRAVANO, the Underboss and FRANK LOCASCIO the Consigliere. Subsequently by motion of the government, the trial against THOMAS GAMBINO was severed from the other subjects.

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In December of 1989, ANTHONY MEGALE, a soldier in the GAMBINO LCN Family was arrested along with 21 of his associates for gambling and loansharking in Connecticut. They subsequently all pled guilty to these charges. The investigation in New York (NY) of THOMAS GAMBINO and others proved his control of MEGALE and his crew that ran those activities in Connecticut.

Ms. WARD reviewed a voluminous amount of material which had been obtained through the Connecticut investigation which included material from the FEDERAL BUREAU OF INVESTIGATION (FBI), along with work done with the CONNECTICUT STATE POLICE. In addition, Ms. WARD worked with several Special Agents (SAs) from Connecticut, along with other witnesses to develop their testimony for the trial.

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Because of problems with [REDACTED] Ms. WARD had to develop part of the extortion part of the case through other witnesses and one of the SAs.

Because of the volume of the evidence, Title III tapes [REDACTED] the gambling tapes provided by the CONNECTICUT STATE POLICE and numerous tapes which [REDACTED]

Ms. WARD was assisted by AUSA GEOFFREY S. MEARNES.

THOMAS GAMBINO, the son of CARLO GAMBINO, the original head of the GAMBINO LCN Family had several of the most prominent and expensive trial and appeal attorneys working for him. In addition to this legal talent, the GAMBINO defense team hired several prominent investigators and also their own technical experts to work on this case.

The trial began on April 26, 1993, and ended May 11. The jury, after seven hours of deliberation, returned a guilty verdict against THOMAS GAMBINO on all counts. GAMBINO is to be sentenced on July 22, 1993.

During the course of this investigation and the trial, Ms. WARD exhibited great tenacity in dealing with the witnesses, evidence and the defense team who were constantly making requests of the government. In addition, Ms. WARD was also overseeing several parallel investigations against other members of the GAMBINO LCN Family.

RECEIVED  
TELETYPE UNIT

25 JUN 93 22 30 Z

0212 MRI 01362

FEDERAL BUREAU  
OF INVESTIGATION

PP RUCNFB

DE FBINY #0026 1761902

ZNR UUUUUU

P 251901Z JUN 93

FM FBI NEW YORK (281A-NY-233047 SUB Q4) (P) (C-16)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; OC/DI - LCN - GAMBINO FAMILY.

CAPTIONED INVESTIGATION WAS INITIATED AS A RESULT OF A

[REDACTED]

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7-40

[REDACTED]

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show 4  
[signature]

217

Dep. Dir.	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Cong. Affs. Off.	
Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

PAGE TWO DE FBINY 0026 UNCLAS

CONNECTION, AT A HIGH LEVEL, SOMEWHERE IN LAW ENFORCEMENT.

DURING THE INVESTIGATION, IT WAS ASCERTAINED THAT THE GAMBINO LCN FAMILY'S CONTACT IN LAW ENFORCEMENT WAS A WILLIAM PEIST.

PEIST WAS A DETECTIVE WHO WAS ASSIGNED TO THE ELITE NEW YORK CITY POLICE DEPARTMENT'S INTELLIGENCE DIVISION. PEIST WOULD PASS ON CONFIDENTIAL INFORMATION TO HIS COUSIN, PETER MAVIS, AND MAVIS, WOULD, IN TURN, RELAY THE MESSAGES TO HIS CLOSE

FRIEND, GEORGE HELBIG. HELBIG WOULD THEN PASS IT ON TO GAMBINO CAPD JOE CORRAO. ON MANY OCCASIONS, BOTH CORRAO AND HELBIG WOULD BRIEF THE ADMINISTRATION OF THE GAMBINO LCN FAMILY WITH REGARD TO THE ILLEGALLY OBTAINED INFORMATION.

THIS CONSPIRACY STARTED IN APPROXIMATELY JANUARY 1967 AND WAS IN EFFECT UNTIL LATE NOVEMBER 1991. SET FORTH BELOW IS A PARTIAL LIST OF FEDERAL AND STATE INVESTIGATIONS WHICH WERE COMPROMISED:

- 1 SOUTHERN DISTRICT OF NEW YORK (SDNY) GRAND JURY INVESTIGATING THE MURDER OF PAUL CASTELLANO AND TOMMY BILOTTI;
- 2 EASTERN DISTRICT OF NEW YORK (EDNY) GRAND JURY INVESTIGATION OF THE GAMBINO LCN FAMILY TO INCLUDE JOHN GOTTI;

PAGE THREE DE FBINY 0026 UNCLAS

3 FEDERAL WIRETAP ON [REDACTED]

4 FEDERAL/STATE INVESTIGATION INTO THE CRIMINAL ACTIVITIES  
OF [REDACTED]

5 STATE INVESTIGATION INTO THE CRIMINAL ACTIVITIES OF [REDACTED]  
[REDACTED]

6 STATE INVESTIGATION INTO THE CRIMINAL ACTIVITIES OF [REDACTED]  
[REDACTED]

7 FEDERAL/STATE INVESTIGATION INTO THE WINDOW INDUSTRY;

8 A STATE INVESTIGATION INTO CRIMINAL ACTIVITIES OF  
[REDACTED]

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ON DECEMBER 12, 1991, JOSEPH CORRAD, GEORGE HELBIG AND  
WILLIAM PEIST WERE INDICTED BY A FEDERAL GRAND JURY (FGJ) AT  
THE EDNY FOR RICO/DOJ (OBSTRUCTION OF JUSTICE) VIOLATIONS.

[REDACTED]

[REDACTED] A SUPERSEDING

INDICTMENT WAS RETURNED ON JUNE 30, 1992 AGAINST SUBJECT PETER  
MAVIS. IT SHOULD BE NOTED THAT JOHN GOTTI AND FRANK LOCASCIO  
WERE CONVICTED ON THE PEIST DOJ PREDICATE ON APRIL 2, 1992 AT



PAGE FOUR DE FBINY 0026 UNCLAS


THE EDNY.

IN MARCH 1993, SUBJECTS HELBIG, MAVIS AND WILLIAM PEIST ALL PLED GUILTY IN THE EDNY TO VARIOUS CHARGES IN THE INDICTMENT. ALL ARE OUT ON BAIL AND AWAITING SENTENCING.

ON JUNE 24, 1993, GAMBINO CAPO JOSEPH CORRAO APPEARED BEFORE JUDGE I. LEO GLASSER AT THE EDNY AND PLED GUILTY TO COUNT ONE (TITLE 18, UNITED STATES CODE (USC), SECTION 1962(C) OF THE SUPERSEDING INDICTMENT. COUNT ONE CHARGED CORRAO WITH BEING A CAPO IN THE GAMBINO LCN FAMILY, WHO PASSED ON INFORMATION TO THE ADMINISTRATION OF THE FAMILY FROM WILLIAM PEIST THROUGH GEORGE HELBIG AND PETER MAVIS. IN THE PLEA AGREEMENT, CORRAO ALSO PLED GUILTY TO RACKETEERING ACTS 6 AND 8 OF COUNT ONE IN THE INDICTMENT. ACT 6 CHARGED CORRAO WITH THE BRIBERY OF WILLIAM PEIST. ACT 8 CHARGED CORRAO WITH OBSTRUCTING JUSTICE IN THE SDNY GRAND JURY INVESTIGATION OF THE CASTELLANO/BILOTTI HOMICIDES. A SENTENCING DATE OF SEPTEMBER 8, 1993 WAS ESTABLISHED FOR CORRAO. CORRAO'S EXPOSURE IN THIS MATTER IS FROM 70 TO 87 MONTHS. THE GOVERNMENT AGREED NOT TO APPLY FOR AN UPWARD DEPARTURE. HOWEVER, CORRAO AND HIS ATTORNEY, JAMES LA ROSA, DO PLAN TO APPLY FOR A DOWNWARD DEPARTURE BASED ON CORRAO'S POOR HEALTH.

PAGE FIVE DE FBINY 0026 UNCLAS

IT SHOULD BE NOTED THAT CORRAO UNDERWENT A KIDNEY TRANSPLANT A YEAR AGO AND IS FORCED TO UNDERGO DIALYSIS ON A WEEKLY BASIS. THE GOVERNMENT AND CORRAO ALSO AGREED THAT A SUITABLE PRISON FACILITY WOULD BE LOCATED FOR CORRAO WHICH WOULD BE CONVENIENT TO A HOSPITAL WHICH SPECIALIZES IN THE TREATMENT OF KIDNEYS.



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23 JUN 93 20 31Z

FEDERAL BUREAU  
OF INVESTIGATION

Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Intell.	
Lab.	
Legal Coun.	
Plan. & Insp.	
Rec. Mgmt.	
Tech. Serv.	
Training	
Off. of Cong. & Public Affs.	
Off. of EEO	
Off. of Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0209 MRI 01196

RR RUCNFB

DE FBINY #0013 1741839

ZNR UUUUU

R 231838Z JUN 93

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

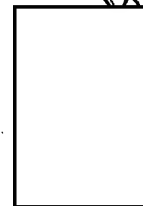
PASS: HQ FOR SSA [REDACTED] OC/CID.

SUBJECT: JOHN GOTTI, GAMBINO LCN; RICO(A); OO:NY(BQ).

b7C

ON JUNE 17, 1993, ORAL ARGUMENTS WERE HEARD IN THE APPEAL OF THE CONVICTIONS OF JOHN GOTTI AND FRANK LOCASCIO, BEFORE A PANEL IN THE SECOND CIRCUIT, SOUTHERN DISTRICT OF NEW YORK (SDNY). APPELLATE ATTORNEY MICHAEL E. TIGAR PRESENTED THE ORAL ARGUMENTS ON BEHALF OF LOCASCIO, AND ATTORNEY CHARLES OGELTREE PRESENTED THE ARGUMENTS ON BEHALF OF GOTTI.

THE FOLLOWING ARGUMENTS WERE THOSE CITED BY BOTH DEFENDANTS IN THEIR REQUEST FOR A REVERSAL OF THEIR



*281A-NY-233047-41*  
*no rate on this*

PAGE TWO DE FBINY 0013 UNCLAS

CONVICTIONS:

1. IMPROPER USE OF EXPERT TESTIMONY.
2. THE ADMISSION OF NUMEROUS UNCHARGED OFFENSES AS COMMITTED BY GRAVANO.
3. DEFENDANTS WERE DENIED THEIR SIXTH AMENDMENT RIGHT TO CONFRONTATION DUE TO COURT LIMITING THE CROSS-EXAMINATION OF GRAVANO.
4. PROSECUTORIAL MISCONDUCT.
5. BRADY ISSUES.
6. THE JURY INSTRUCTIONS WERE INCORRECT.
7. THE DISQUALIFICATION OF DEFENSE COUNSEL WAS IMPROPER.
8. (LOCASCIO'S) CONVICTIONS WERE NOT SUPPORTED BY SUFFICIENT EVIDENCE.

WITH RESPECT TO THE ORAL ARGUMENTS, OGELTREE SPENT MOST OF HIS ARGUMENT IN THE ISSUE OF BRUCE CUTLER HAVING BEEN REMOVED AS GOTTI'S ATTORNEY. TIGAR EMPHASIZED THE LACK OF VERBAL INPUT BY LOCASCIO IN MANY OF THE CRIMES. UPON THE CONCLUSION OF ORAL ARGUMENTS, THE TRIAL JUDGE PANEL RESERVED THEIR DECISION.

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Dep. Dir.	
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Intell.	
Lab.	
Legal Coun.	
Tech. Serv.	
Training	
Off. of Cong. & Public Affairs	
Off. of Int. Affairs	
Off. of Liaison & Int. Affs.	
Off. of Records & Com. Systems	
Off. of Safety & Security	
Off. of Special Inv.	
Off. of the Inspector General	

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RR RUCNFB FBINK

DE FBINY #0014 1811846

ZNR UUUUU

R 301845Z JUN 93

FM FBI NEW YORK (281A-NY-233047 SUB Q6) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

FBI NEWARK/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED], ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; RICO (A); OO:NY(BQ) (C-16).

REFERENCE NEW YORK TELETYPE TO FBIHQ AND NEWARK, DATED JUNE 17, 1993.

ON JUNE 28, 1993, JOHN RIGGI, BOSS OF THE DECAVALCANTE LCN FAMILY OF NEW JERSEY, VIRGIL ALESSI, SOLDIER, DECAVALCANTE LCN FAMILY AND BARRY NICHILLO, ASSOCIATE, GENOVESE LCN FAMILY, ALL PLED GUILTY TO VIOLATION OF TITLE 18, SECTION 1959, "VIOLENT CRIMES IN AID OF RACKETEERING ACTIVITY" IN

281A-NY-233047-42

[REDACTED]

to

PAGE TWO DE FBINY 0014 UNCLAS

ADDITION, NICHIO PLED GUILTY TO ONE (1) COUNT OF EXTORTION WHICH HAD RECENTLY BEEN FILED AGAINST HIM IN A SUPERSEDING INDICTMENT.

SUBJECTS ALL PLED BEFORE JUDGE I. LEO GLASSER, IN THE UNITED STATES FEDERAL COURTHOUSE AT THE EASTERN DISTRICT OF NEW YORK (EDNY) AND SENTENCING IS SET FOR SEPTEMBER 13, 1993.

INVESTIGATION CONTINUING.

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**AIRTEL**

To: Director, FBI  
 Att: Criminal Investigative Division  
 Fugitive Unit

Date: 7/1/93

**INSTRUCTIONS - Reverse Side**

NOTE: Priority "A" and "B" Fugitives - With initial submission, set forth a synopsis of crime on reverse side.

From: SAC, NEW YORK (281A-233047 Sub Q6)

Subject:

JOHN GOTTI  
 OC/DI - LCN - GAMBINO FAMILY

- ☐ III Inquiry  
☐ Initial Submission  
☐ Initial Submission - Parental Kidnaping  
☒ Supplements FD-65 dated 12/10/92

Indicate Fugitive Priority

- ☒ A  
☐ B  
☐ C  
☐ D (Parental Kidnaping)

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Caution <input checked="" type="checkbox"/>	MKE	Name		NAM	Sex	SEX	Race	RAC
		JOHN D'AMATO			M		W	
Place of Birth	POB	Birth Date	DOB	Date of Emancipation	DOE	Height	HGT	Weight
						5'7"		160
Eye Color	EYE	Hair Color	HAI	FBI No.	FBI	Skin Tone	SKN	
Brown		Brown/grey				Medium		
Scars, Marks, Tattoos, and Other Characteristics								SMT
NCIC Fingerprint Classification	FPC	Other Identifying Number		MNU	Social Security #		SOC	
Operator's License Number	OLN	Operator's License State		OLS	Year Expires		OLY	
None								
Offense Charged <u>Conspire to Murder</u>								OFF
U. S. Code, Title and Section <u>Title 18, USC, 1959 (A) (5)</u>								
Warrant Issued By <u>USDC, EDNY</u>				on <u>12/31/91</u>		DOW	F.O. File #	OCA
							281A-233047	
Miscellaneous Including Bond Recommended								
<div style="text-align: right; font-weight: bold; font-size: 1.2em;">STOP CARDS AUTOMATED</div>								
<b>LICENSE PLATE AND VEHICLE INFORMATION</b>								
License Plate Number	LIC	State	LIS	Year Expires	LIY	License Plate Type	LIT	
Vehicle Identification #	VIN	Year	VYR	Make	VMA	Model	VMO	Style
								VST
								Color
								VCO
Aliases				Additional Identifiers				
				b7C				
NCIC #				NIC				

Warrant for JOHN D'AMATO is still active.

### INSTRUCTIONS

1. **Caution (MKE)** - Insert "C" in block if caution statement indicated. Basis for caution statement must appear in Miscellaneous block, e.g., armed and dangerous.
2. **Name (NAM)** - Place name in this block. Aliases are not to be entered in this block but are to be placed in Aliases block.
3. **Sex (SEX)** - Sex will be designated by one letter, M (male) or F (female).
4. **Race (RAC)** - Race will be described by one letter, A (Asian or Pacific Islander), I (American Indian or Alaskan Native), B (Black), W (White), U (Unknown).
5. **Place of Birth (POB)** - Indicate city and state or, if foreign born, city and country. Where multiple birthplaces are reported, list verified birthplace or that which appears most logical in this block.
6. **Birth Date (DOB)** - Enter as month, day and year. Where multiple birth dates are reported, enter verified birth date or that which appears most logical in this block. Place other dates of birth in Additional Identifiers block.
7. **Date of Emancipation (DOE)** - Must be filled in if individual is a juvenile. Otherwise leave blank.
8. **Height (HGT)** - Express in feet and inches, e.g., 6'0". Round off fractions to nearest inch.
9. **Weight (WGT)** - Express in pounds. Omit fractions.
10. **Eye Color (EYE)** - Use appropriate three-character symbol.
11. **Hair Color (HAI)** - Use appropriate three-character symbol.
12. **Skin Tone (SKN)** - Use appropriate three-character symbol.
13. **Scars, Marks, Tattoos, (SMT), and Other Characteristics** - Place in this block only appropriate NCIC coding for scars, marks, tattoos, birthmarks, deformities, missing body parts and artificial body parts as defined in NCIC Code Manual. If more than one SMT is to be entered, use Additional Identifiers block for additional appropriately coded items. Use Miscellaneous block to describe all scars, marks, tattoos, and other characteristics, which are not defined in the NCIC Code Manual and to more fully describe SMT's which have been entered in SMT block. For example, an appendectomy scar, not being readily visible, would be described in the Miscellaneous block. A tattoo on right arm, shown as TAT R ARM in block, might be further described in Miscellaneous block as a rose tattoo on inside of lower right arm.
14. **NCIC Fingerprint Classification (FPC)** - Enter NCIC fingerprint classification.
15. **Other Identifying Number (MNU)** - Miscellaneous numbers may be entered with appropriate identifiers (prefixes). For first miscellaneous identifying number, use MNU block. When military service number is in fact Social Security Account Number, the number should be entered in both MNU and SOC blocks. Additional identifying numbers are placed in Additional Identifiers block. The identifier (prefix) should precede the number and be separated from the number by use of a hyphen.
16. **Social Security Number (SOC)** - Place subject's Social Security Account Number in this block.
17. **Operator's License Number** - Place subject's operator's license number in OLN block. Also show licensing state (OLS) and year license expires (OLY).
18. **Warrant Issued By-On-(DOW)** - Enter date warrant issued in DOW block. For juvenile offenders, enter the date of violation.
19. **Miscellaneous (MIS)** - Enter additional pertinent information in this block. If caution statement used, basis for statement must be set forth as first item in this block.
20. **License Plate and Vehicle Information** - Place information concerning license plate and/or vehicle known to be in the possession of subject in appropriate blocks under License Plate and Vehicle Information heading.
21. **Additional Identifiers** - Enter information concerning additional license plates (number, state, year expires, and where applicable, type); Social Security Numbers; operator's license number, state and year expires; vehicle information (VIN, VYR, VMA, VMO, VST, VCO); MNU's (see list in item 14 above); visible scars, marks, tattoos, and other characteristics; and dates of birth. Clearly identify what data is being set forth; e.g., Social Security # 423-56-3294; Michigan operator's license 234567, expires 1987; DOB's 4/5/32, 5/3/32; etc.
22. By making an Ill inquiry, the entering agency may become knowledgeable of additional DOBs, AKAs, and other significant information which may aid in the location and/or apprehension of wanted persons.
23. Changes and deletions should be so indicated in the appropriate blocks.



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FEDERAL BUREAU  
OF INVESTIGATION

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Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Director	
Special Agent in Charge	

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R 291531Z JUL 93

FM FBI NEW YORK (281A-NY-233047 SUB Q4) (P) (C-13)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] DC/CID.

SUBJECT: JOHN GOTTI; GAMBINO LCN; IDC; CID; RICO(A).

FOR THE INFORMATION OF HQ, ON JULY 22, 1993 FORMER NEW YORK CITY DETECTIVE WILLIAM PEIST APPEARED BEFORE UNITED STATES DISTRICT JUDGE I. LEO GLASSER, EASTERN DISTRICT OF NEW YORK (FDNY) FOR SENTENCING. PEIST HAD PLED GUILTY ON FEBRUARY 26, 1993 TO ONE (1) COUNT OF RICO; BRIBERY OF PUBLIC OFFICIAL AND OBSTRUCTION OF JUSTICE. PURSUANT TO A PLEA AGREEMENT, PEIST ALSO FORFEITED HIS NEW YORK CITY POLICE PENSION, VALUED IN EXCESS OF \$800,000, AS WELL AS TWELVE (12) WEAPONS.

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(note above)

281A-NY-233047-44

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PAGE TWO DE FBINY 0007 UNCLAS

JUDGE GLASSER SENTENCED PEIST TO EIGHTY-SEVEN (87) MONTHS OF INCARCERATION FOLLOWED BY THREE (3) YEARS OF SUPERVISED PROBATION AND A FIFTY DOLLAR (\$50) SPECIAL ASSESSMENT. PURSUANT TO PEIST'S AGREEMENT, THE GOVERNMENT COULD NOT OPPOSE PEIST SURRENDERING TO HIS DESIGNATED FACILITY AT THE APPROPRIATE TIME. IN LIGHT OF THIS, JUDGE GLASSER ORDERED THAT PEIST SURRENDER HIMSELF ON AUGUST 30, 1993 TO THE APPROPRIATE FACILITY.

THIS INVESTIGATION CONTINUES TO AWAIT THE SENTENCING OF GAMBINO CAPO JOE "BUTCH" CONRAD, AND ASSOCIATES GEORGE HELBIG AND PETER MAVIS.

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Adm. Serv.	
Crim. Inv.	
Ident.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Off. Cong. & Public Affs.	
Rec. Mgmt.	
Tech. Serv.	
Training	
Off. of Liaison & Int. Affs.	
Telephone Rm.	
Director's Office	

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RR RUCNFB

DE FBINY #0022 2151922

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FM FBI NEW YORK (281A-NY-233047 SUB Q31) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

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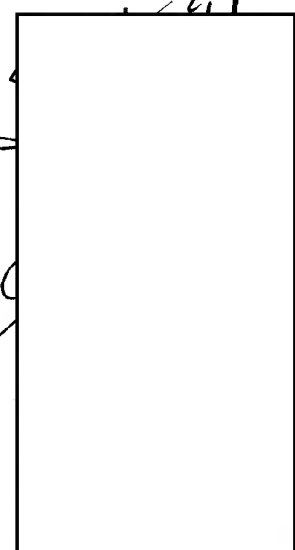
CITE: //3540:3613//

PASS: FBIHQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI: OC/DI - LCN - GAMBINO FAMILY.

FOR INFORMATION OF THE BUREAU, ON JULY 27, 1993, A STATUS CONFERENCE REGARDING GAMBINO LCN MEMBERS: JAMES FAILLA, DAN MAPINO, LOU ASTUTO, PHIL MAZZARA, DOM BORGHESE, AND ASSOCIATE JOSEPH WATTS WAS HELD BEFORE UNITED STATES DISTRICT COURT (USDC) JUDGE CHARLES P. SIFTON, EASTERN DISTRICT OF NEW YORK (EDNY), BROOKLYN, NY. THE ATTORNEY (CHARLES CARNESI) FOR PHIL MAZZARA ADVISED THE SCHEDULED TRIAL DATE OF JANUARY 3, 1994 WOULD CONFLICT WITH HIS CLIENT'S (JOE GAMBINO) TRIAL IN THE

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PAGE TWO DE FBINY 0022 UNCLAS

SOUTHERN DISTRICT OF NEW YORK (SDNY). THEREFORE, AFTER A  
LENGTHY DISCUSSION, JUDGE SIFTON RESCHEDULED CAPTIONED TRIAL  
FOR APRIL 5, 1994.

NEW YORK WILL KEEP FBTHQ APPRISED OF FUTURE DEVELOPMENTS  
OF CAPTIONED CASE.

BT

#0022

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## Memorandum



To : Director, FBI

Date 7/20/93

b7C

From: ADIC, NEW YORK (281A-NY-233047 Sub Q10) ATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject: CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name

[Redacted]

CT. State Police

Name of Agency

Connecticut State Police

b7C

Address of Agency (include Zip Code)

100 Washington Street, Hartford, CT 06106

BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other \_\_\_\_\_

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

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THOMAS GAMBINO

OC/DI - LCN - GAMBINO FAMILY

- 2 - Bureau (2-160) (See attached in Correspondence Unit)
- 1 - New York (67-1777)
- 1 - New York (281A-NY-233047 Sub Q10)

MJD:dam

(4)

[Redacted]

Enc.

In December 1990, THOMAS GAMBINO, Capo, GAMBINO LCN Family, was indicted on two counts alleging violations of Title 18, United States Code (USC), Section 1962(c), RICO, and 1962(d) (RICO CONSPIRACY). He was then arrested with JOHN GOTTI, the Boss of the GAMBINO LCN Family, SAMMY "THE BULL" GRAVANO, the Underboss and FRANK LOCASCIO, the Consigliere. Subsequently, by motion of the government, the trial against THOMAS GAMBINO was severed from the other subjects.

On August 4, 1992, a superseding indictment was filed against THOMAS GAMBINO and two others. This case concerned the Connecticut faction of the GAMBINO Family which was controlled by THOMAS GAMBINO and his crew. The GAMBINO's controlled almost all of the major gambling, bookmaking, numbers and loansharking activity in Southern Connecticut.

In December of 1989, ANTHONY MEGALE, a soldier in the GAMBINO LCN Family was arrested along with 21 of his associates for gambling and loansharking in Connecticut. They subsequently all pled guilty of these charges. The investigation in New York (NY) of THOMAS GAMBINO and others proved his control of MEGALE and his crew that ran those activities in Connecticut.

A voluminous amount of evidence which had been obtained thru the Connecticut investigation included material from the FEDERAL BUREAU OF INVESTIGATION (FBI) along with work done with the CONNECTICUT STATE POLICE.

THOMAS GAMBINO, the son of CARLO GAMBINO, the original head of the GAMBINO LCN Family had several of the most prominent and expensive trial and appeal attorneys working for him. In addition to this legal talent, the GAMBINO defense team hired several prominent investigators and also their own technical experts to work on this case.

The trial began on April 26, 1993 and ended on May 11. The jury after seven hours of deliberation returned a guilty verdict against THOMAS GAMBINO on all counts. GAMBINO is to be sentenced on July 22, 1993.

[REDACTED]

[REDACTED] and his unit worked very closely with the Connecticut FBI during this prolonged investigation which resulted in 22 convictions in 1990.

Much of the evidence obtained during this investigation in Connecticut was subsequently utilized during the trial of THOMAS GAMBINO in NY. [REDACTED] provided many hours of instruction on the mechanics of this case and helped the Federal

b7C

Prosecutors in preparation for that portion of the GAMBINO trial that involved Connecticut State violations.

During this time period, [redacted] travelled from Connecticut to Brooklyn, NY, on a number of occasions and helped in the preparation of court exhibits and also was to testify during this trial if it was necessary. [redacted] was described by the prosecutors as being an extremely professional law enforcement officer and was instrumental in obtaining and preserving much of the evidence that was used at the trial. b7C

## Memorandum



To : Director, FBI

Date 7/20/93

From: ADIC, NEW YORK (281A-NY-233047 Sub Q10)

ATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject: CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name  Rank (if applicable)

Residence Address (include Zip Code)  ZIP CODE

Connecticut State Police  
Address of Agency (include Zip Code)  b7C

100 Washington Street, Hartford, CT 06106 NAT'L DIR

BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."

☐ Other \_\_\_\_\_

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

THOMAS GAMBINO

OC/DI - LCN - GAMBINO FAMILY

2 - Bureau 2-1001  
1 - New York (67-1777)  
1 - New York (281A-NY-233047 Sub Q10)  
MDJ:dam  
(4)

1262	Voucher Unit

Enc.



In December, 1990, THOMAS GAMBINO, Capo, GAMBINO LCN Family, was indicted on two counts alleging violations of Title 18, United States Code (USC), Section 1962(c) (RICO) and 1962(d) (RICO Conspiracy). He was then arrested with JOHN GOTTI, the Boss of the GAMBINO LCN Family, SAMMY "THE BULL" GRAVANO, the Underboss and FRANK LOCASCIO, the Consigliere. Subsequently by motion of the government, the trial against THOMAS GAMBINO was severed from the other subjects.

On August 4, 1992, a superseding indictment was filed against THOMAS GAMBINO and two others. This case concerned the Connecticut faction of the GAMBINO Family which was controlled by THOMAS GAMBINO and his crew. The GAMBINO's controlled almost all of the major gambling, bookmaking, numbers and loansharking activity in Southern Connecticut.

In December of 1989, ANTHONY MEGALE, a soldier in the GAMBINO LCN Family, was arrested along with 21 of his associates for gambling and loansharking in Connecticut. They subsequently all pled guilty of these charges. The investigation in NY of THOMAS GAMBINO and others proved his control of MEGALE and his crew that ran those activities in Connecticut.

A voluminous amount of evidence which had been obtained thru the Connecticut investigation included material from the FEDERAL BUREAU OF INVESTIGATION (FBI), along with work done with the CONNECTICUT STATE POLICE.

THOMAS GAMBINO, the son of CARLO GAMBINO, the original head of the GAMBINO LCN Family had several of the most prominent and expensive trial and appeal attorneys working for him. In addition to this legal talent, the GAMBINO defense team hired several prominent investigators and also their own technical experts to work on this case.

The trial began on April 26, 1993 and ended on May 11. The jury after seven hours of deliberation returned a guilty verdict against THOMAS GAMBINO on all counts. GAMBINO is to be sentenced on July 22, 1993.

During the course of this investigation, [redacted] worked very closely with the FBI in Connecticut and provided invaluable assistance in the gambling portion of this case. In addition when getting ready for the trial of THOMAS GAMBINO in NY, [redacted] made himself available at every occasion to assist the Federal Prosecutors.

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Prior to the trial, [redacted] spent many hours explaining the Connecticut gambling statutes and the mechanics of their investigation and instructed the prosecutors on sports bookmaking and terms. [redacted]



b7C



## Memorandum



To : Director, FBI

Date 7/23/93

From : ADIC, NEW YORK (281A-NY-233047 Q4)

ATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject : CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name  Rank (if applicable)

Residence  Zip Code

Name of Agency

New York City Police Department

Address of Agency (include Zip Code) ONE POLICE PLAZA  
1 Police Plaza, NY, NY 10038

b7C

BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other \_\_\_\_\_

REMARKS: (Set forth below a clear, concise, justification specific, omit generalities. If applicable, in \_\_\_\_\_)

JOHN GOTTI

OC/DI - LCN - GAMBINO FAMILY

1 - Bureau (See attached in correspondence re Gotti)

1 - New York (67-1777)

1 - New York (281A-NY-233047 Sub Q4)

BFW:dam

(4)

b7C

Enc.

[REDACTED]

Subsequent investigation into this matter by the FBI and the Internal Affairs Division of the NEW YORK CITY POLICE DEPARTMENT (NYCPD) determined that one WILLIAM PEIST, a detective assigned to the elite NYCPD, Intelligence Division, was passing on confidential information to a cousin, who in turn would relay the data to HELBIG and subsequently to CORRAO. On many occasions, both HELBIG and CORRAO would brief the hierarchy of the family on the illegally obtained information. This conspiracy was ongoing from approximately January of 1987 until late November of 1991.

b7C

b3

On December 12, 1991, CORRAO, HELBIG, and PEIST were indicted by a Federal Grand Jury in the EDNY for RICO/OOJ (Obstruction of Justice) violations, with the indictment based not only on the previously mentioned recorded conversations, but also on the hard work of the Internal Affairs Division of the NYCPD and the FBI.

[REDACTED]

was assigned to the investigation into the PEIST case. As a tenacious, experienced and dedicated investigator, [REDACTED] spent immeasurable hours over almost a 2 year period pouring over records and other documentation in an effort to identify the police officer who was passing on sensitive information to organized crime. In addition, because of the sensitive nature of the situation, it was up to [REDACTED] to insure the integrity of the investigation in that no "leaks" would occur as a result of the inquiry. As a result of his efforts, PEIST and the others were eventually indicted, and much of the evidence that [REDACTED] and others had compiled was utilized in the trial of Family Boss JOHN GOTTI. [REDACTED]

[REDACTED]

As a result of the hard work of [REDACTED] Detective PEIST and all the others involved in the conspiracy pled guilty to various charges in the indictment, with PEIST subsequently sentenced to over 7 years in jail on July 22, 1993.

With the sentencing of PEIST and the others awaiting sentencing a damaging conduit for sensitive information between law enforcement and the mob has been eliminated, thereby hopefully re-establishing the integrity of law enforcement's effort against organized crime in NY. [REDACTED] should be commended for a job well done.

b7C

## Memorandum



To : Director, FBI

Date 7/23/93

ATT.: Research-Correspondence/Tours Section  
Public Affairs Office

From : ADIC, NEW YORK (281A-NY-233047 Q4)

Subject : CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name

Rank (if applicable)

Residence Address (include Zip Code)

Name of Agency

New York City Police Department

Address of Agency (include Zip Code)

1 Police Plaza, NY, NY 10038

b7C

## BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other \_\_\_\_\_

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

JOHN GOTTI  
OC/DI - LCN - GAMBINO FAMILY

1 - Bureau (1 cc detached in [illegible] [illegible])  
1 - New York (67-1777)  
1 - New York (281A-NY-233047 Sub Q4)  
BFW:dam  
(4)

Enc.

[REDACTED]

Subsequent investigation into this matter by the FBI and the Internal Affairs Division of the NEW YORK CITY POLICE DEPARTMENT (NYCPD) determined that one WILLIAM PEIST, a detective assigned to the elite NYCPD, Intelligence Division, was passing on confidential information to a cousin, who in turn would relay the data to HELBIG and subsequently to CORRAO. On many occasions, both HELBIG and CORRAO would brief the hierarchy of the family on the illegally obtained information. This conspiracy was ongoing from approximately January of 1987 until late November of 1991.

b3

b7C

On December 12, 1991, CORRAO, HELBIG, and PEIST were indicted by a Federal Grand Jury in the EDNY for RICO/OOJ (Obstruction of Justice) violations, with the indictment based not only on the previously mentioned recorded conversations, but also on the hard work of the Internal Affairs Division of the NYCPD and the FBI.

[REDACTED]

[REDACTED] was assigned to coordinate the investigation into the PEIST matter. As an experienced and dedicated administrator, [REDACTED] was tasked with the responsibility to oversee and determine the identity of the "rogue" officer. This involved an exhaustive process involving the review of thousands of records and files, while at the same time insuring the integrity of the investigation.

As the result of an almost 2 year investigation in conjunction with the documentation provided by the IAD, PEIST was identified as the individual who in fact was passing on the information to the GAMBINO Crime Family.

As a result of [REDACTED] efforts, much of the data uncovered by his unit became evidence in the successful prosecution of Family Boss JOHN GOTTI, with two RICO predicates being proven at trial based on this evidence. In addition, it was [REDACTED] ultimate responsibility to identify those other investigative matters which had been compromised as a result of PEIST's actions.

As a result of the hard work of [redacted]  
[redacted] Detective PEIST and all the others involved in the  
conspiracy pled guilty to various charges in the indictment, with  
PEIST subsequently sentenced to over 7 years in jail on July 22,  
1993. b7C

With the sentencing of PEIST and the others awaiting  
sentencing a damaging conduit for sensitive information between  
law enforcement and the mob has been eliminated, thereby  
hopefully re-establishing the integrity of law enforcement's  
effort against organized crime in NY. [redacted]  
should be commended for a job well done.



15 SEP 50 06 5

...the ...

3/11/12

P 151524Z SEP 95

TO DIRECTOR FBI/PRIORITY/

BT

CITE: //5350:RDM620.258//

PASS: IRU 1, IRB, CID.

SUBJECT: JOHN GOTTI; OC/DI-LCN-GAMBINO FAMILY; OO: NY (BQ).

RENYTEL DATED 9/8/95.

LEGAT ROME EMPATHIZES WITH THE PREDICAMENT NEW YORK FACES  
IN THE UPCOMING TRIAL; HOWEVER, BASED ON THE EXTREMELY LIMITED  
INFORMATION FURNISHED, IT WOULD BE UNREASONABLE TO CONDUCT THE  
INVESTIGATION REQUESTED IN REFERENCED TELETYPE.

b7C

BT

#0005

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NNNN

What was the request?

(06/01/1995)

**FEDERAL BUREAU OF INVESTIGATION**

419

**Precedence:** ROUTINE

**Date:** 10/30/1996

**To:** DIRECTOR, FBI  
(66-6116) (SUB 34)

**Attn:** SPECIAL PHOTOGRAPHIC  
UNIT, ROOM 3457

**From:** ADIC, NEW YORK (66-294)

**Contact:** SA [REDACTED] C-16/BQRA

b7C

*[Signature]* **Approved By:** [REDACTED]

**Drafted By:** [REDACTED]

**Case ID #:** 281A-NY-233047 (Pending) <sup>-1328</sup>

**Title:** JOHN GOTTI:  
OC-LCN-GAMBINO FAMILY  
OO:NY

*Rec'd  
11/15/96  
FACTORY*

**Synopsis:** Request for repair of photographic equipment.

**Enclosures:** One Nikon, Zoom Touch Camera S/N ~~4C33245~~ 4033245

**Details:** Camera was previously repaired for an electrical short. Currently the camera display is flashing off, and no features are functioning. Please repair or replace.

♦♦

*Rpc. batteries cks Ok wsd*

*281A-NY-233047-1328*

*[Signature]*  
3457

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 9

Page 4 ~ Duplicate

Page 5 ~ Duplicate

Page 6 ~ Duplicate

Page 94 ~ b7A, b7C

Page 96 ~ b7A, b7C

Page 97 ~ b3, b7A, b7C, b7D

Page 98 ~ b7A, b7C

Page 102 ~ b7C

Page 103 ~ b7C

FEDERAL BUREAU  
OF INVESTIGATION

13 JUL 93 20 10z

RECEIVED  
TELETYPE UNIT

0236 MRI 01169

RR RUCNFB

DE FBINY #0030 1941805

ZNR UUUUU

R 131804Z JUL 93

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

b7C

b7D

SUBJECT: JOHN GOTTI; RICO (A); OO:NY(8Q) (C-16).

REFERENCE TELETYPE TO NEW YORK, DATED MARCH 25, 1992.

ON APRIL 2, 1992, THE HIERARCHY OF THE GAMBINO LCN FAMILY, JOHN GOTTI, BOSS, AND FRANK LOCASCIO, THE CONSIGLIERE, WERE CONVICTED IN THE EASTERN DISTRICT OF NEW YORK (EDNY) ON RICO CHARGES WHICH INCLUDE AMONG OTHER THINGS, FIVE (5) HOMICIDES, RACKETEERING AND TAX EVASION. ON JUNE 23, 1992, BOTH SUBJECTS WERE SENTENCED TO LIFE IN JAIL WITHOUT PAROLE. ORAL ARGUMENTS FOR AN APPEAL ARE SCHEDULED FOR JUNE 17, 1993.

Please  
handle  
need to  
check that  
not  
exceed my  
Budget  
mildly O.K.  
in case  
this is  
OK

1 cel/3076

833-1-50  
T/C 7/14/93  
SA [redacted]  
FY 93 Expenditure  
\$17,197.

6-

PAGE TWO DE FBINY 0030 UNCLAS

[REDACTED]

b7C

b7D

INVESTIGATION REGARDING MAJOR CAPOS AND SOLDIERS WITHIN  
THE GAMBINO LCN FAMILY CONTINUES. [REDACTED]

[REDACTED]

[REDACTED]

A NUMBER OF LARGE MAJOR TRIALS ARE UPCOMING IN THE NEXT  
SIX (6) MONTHS. LONG TIME CAPO JOE "BUTCH" CORRAO IS SET TO  
GO TO TRIAL ON RICO, OBSTRUCTION OF JUSTICE (OOJ) CHARGES IN  
JULY 1993. THE INVESTIGATION INTO [REDACTED]

b7C

[REDACTED]

THE TRIAL FOR TWO (2) LONG TIME CAPOS, DANNY MARINO AND  
JIMMY "BROWN" FAILLA, ALONG WITH FOUR (4) OTHER MEMBERS OR

PAGE THREE DE FBINY 0030 UNCLAS

ASSOCIATES, JOSEPH WATTS, LOUIE "FATS" ASTUTO, PHILLY "DOGS" MAZZARA AND "FAT" DOM BORGHESI, IS SCHEDULED FOR JANUARY 1994, ON RICO, MURDER AND DDJ CHARGES.

THE TRIAL FOR MULTIPLE PERJURY CHARGES AGAINST JOHN GOTTI'S "ADOPTED SON", LEWIS KASMAN, IS SCHEDULED FOR AUGUST 1993. DECAVALCANTE BOSS JOHN RIGGI AND MEMBER VIRGIL ALESSI AND GENOVESE ASSOCIATE BARRY NICHOL ARE SLOTTED FOR TRIAL IN JULY 1993 FOR RICO, MURDER CONSPIRACY CHARGES IN THE PLOT TO KILL GAETANO "CORKY" VASTOLA.

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PAGE FOUR DE FBINY 0030 UNCLAS

ANTICIPATED EXPENSES FOR THE SIX (6) MONTHS ENDING NOVEMBER  
30, 1993 IS AS FOLLOWS:



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b7E  
b7D

FBIHQ IS REQUESTED TO PROVIDE

IN ORDER TO CONTINUE THIS INVESTIGATION.

BT

#0030

NNNN

DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

## TRANSMIT VIA:

☒ Teletype

DATE: 7/15/93

^PAGE 1 OF 2

## PRECEDENCE:

☐ Immediate☒ Priority☐ Routine

## CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☒ UNCLAS E F T O☐ UNCLAS

FM DIRECTOR FBI

TO FBI NEW YORK {281A-NY-233047}/PRIORITY/

BT

UNCLAS E F T O

CITE: //0661//

PASS: ASAC NORTH, CSSA

AND SSA

SUBJECT: JOHN GOTTI; OC/DI-LCN-GAMBINO FAMILY;

OO: NEW YORK.

RENYTEL TO FBIHQ DATED JULY 13, 1993.

b2

AUTHORITY IS HEREBY GRANTED FOR NEW YORK TO EXPEND AN

b7C

IN CAPTIONED CASE IN FISCAL YEAR {FY} 1993

b7D

b7E

NOTE: Copy Designations Are On The Last Page Of This Teletype!!!

Approved By

MRI/JUL

Transmitted

\*\*\*\*\* FOR COMM CENTER USE ONLY \*\*\*\*\*

ISN

036

10/19/1997  
JUL 15 1993



DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

^PAGE 2 UNCLAS E F T O



b2

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BT

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DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

PAGE 3

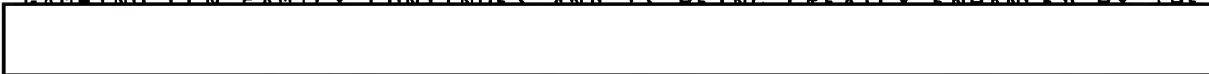
ADMINISTRATIVE NOTE/TICKLER COUNT:

TELETYPE TO NEW YORK  
RE: JOHN GOTTI

NOTE: THIS CASE FOCUSES ON THE RACKETEERING ACTIVITIES OF THE GAMBINO LA COSA NOSTRA (LCN) FAMILY HIERARCHY.

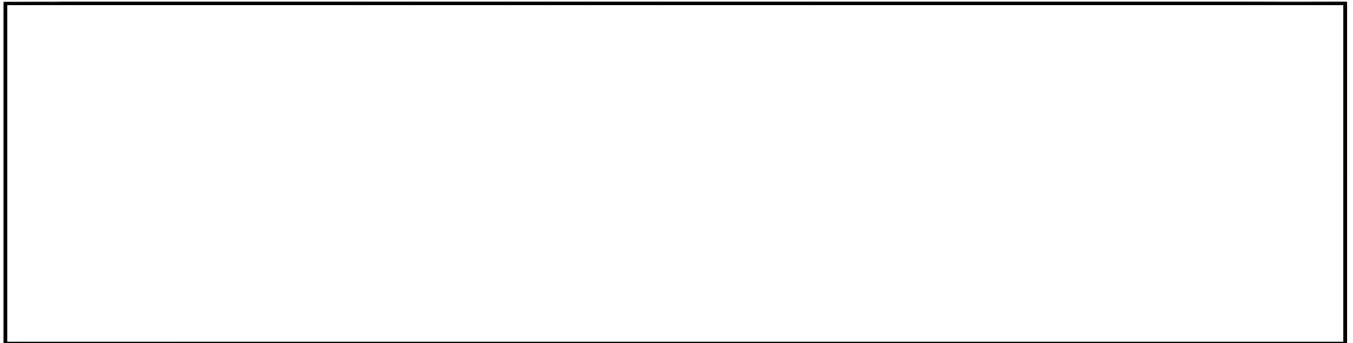
ON 4/2/92, GAMBINO LCN FAMILY BOSS, JOHN GOTTI AND CONSIGLIERE, FRANK LOCASCIO, WERE CONVICTED IN THE EASTERN DISTRICT OF NEW YORK ON RACKETEERING CHARGES WHICH INCLUDED FIVE MURDERS. ON 6/23/92, BOTH SUBJECTS WERE SENTENCED TO SERVE LIFE IN PRISON WITHOUT PAROLE. THEIR APPEALS ARE PENDING.

THE INVESTIGATION OF MAJOR CAPOS AND SOLDIERS WITHIN THE GAMBINO LCN FAMILY CONTINUES AND IS BEING GREATLY ENHANCED BY THE



TITLE III COVERAGE IS CONTEMPLATED.

THE RACKETEERING TRIALS OF SEVERAL, LONG-TIME GAMBINO LCN FAMILY CAPOS, SOLDIERS AND ASSOCIATES; THE BOSS AND A MEMBER OF THE DECAVALCANTE LCN FAMILY; AND A GENOVESE LCN FAMILY ASSOCIATE, ARE SCHEDULED TO BEGIN WITHIN THE NEXT SIX MONTHS.



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DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

PAGE 4

Drafted By: ~~KBT~~ KBT:SEL/177 Room/TL #: 3076 Phone No:

COPY DESIGNATIONS:

1 -  
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TELETYPE  
15 OCT 93 17 32  
FEDERAL BUREAU  
OF INVESTIGATION

0162 MPI 00700

PP RUCNFB

DE FBINY 00014 2861434

ZNR 000000

P 151433Z OCT 93

FM FBI NEW YORK (281A-NY-233047) (P) (C-16)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ SUP SSA [REDACTED], DC/DI.

SUBJECT: JOHN GOTTI; DC/DI - LCN GAMBINO FAMILY; OO:NY(BQ).

FOR THE INFORMATION OF FBIHQ, ON OCTOBER 8, 1993, THE UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT RENDERED THEIR DECISION IN THE MATTER OF THE APPEAL OF JOHN GOTTI (GAMBINO BOSS) AND FRANK LOCASCIO (GAMBINO CONSIGLIERE). IN AN UNANIMOUS DECISION, THE COURT UPHELD THE CONVICTIONS OF GOTTI AND LOCASCIO, WITH RESPECT TO ALL ASPECTS OF THEIR APPEAL.

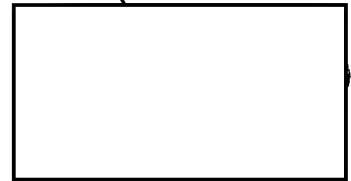
IN THEIR DECISION, THE CIRCUIT STRONGLY SUPPORTED THE

15 OCT 93 17 32

b7C

U.S. DEPT. OF JUSTICE  
Telephone Rm.  
Director's Office

31276



Handwritten signature or initials.

PAGE TWO DE FBINY 0014 UNCLAS

LOWER COURT'S RULINGS WITH RESPECT TO THE DISQUALIFICATION OF DEFENSE COUNSEL FOR GUTTI AND LOCASCIO. SPECIFICALLY, THE CIRCUIT AGGRESSIVELY CONCLUDED THAT CUTLER'S CONDUCT IN THIS MATTER WAS UNETHICAL AND THAT HE HAD STEPPED OVER THE BOUNDS OF ATTORNEY-CLIENT RELATIONSHIPS. IN FACT, THE COURT'S WRITING WAS SUCH AS TO WARN OTHER DEFENSE COUNSEL THAT SUCH BEHAVIOR SHOULD NOT BE AND WILL NOT BE TOLERATED BY THE COURTS.

THE COURT ALSO WROTE AS TO THE OVERWHELMING EVIDENCE PRESENTED BY THE GOVERNMENT AGAINST BOTH GOTTI, LOCASCIO AND ORGANIZED CRIME AS A WHOLE. THE COURT SPECIFICALLY CITED THE EVIDENCE PRESENTED FROM THE APARTMENT TAPES AT THE RAVENITE, AS WELL AS THE OVERWHELMING TESTIMONY OF SALVATORE GRAVANO.

THE COURT ALSO RE-ESTABLISHED AND SOLIDIFIED THE THEORY OF A "PRESENCE WITH A PURPOSE" VERSUS "MERE PRESENCE" AS MATTERS OF COMPLICITY FOR GUILT. SPECIFICALLY, LOCASCIO'S DEFENSE WAS THAT HE WAS MERELY PRESENT FOR ALL OF THE APARTMENT CONVERSATIONS, BUT SAID VERY LITTLE.

BT

#0014

NNNN

## Memorandum



To : Director, FBI

Date 9/14/93

From : ADIC, NEW YORK (281A-233047 Q35)

ATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject : CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name <u>GEOFFREY S. MEARNES</u>	Rank (if applicable) AUSA
Residence Address (include Zip Code)	
Name of Agency DOJ-USA's Office, EDNY	Name of Head of Agency
Address of Agency (include Zip Code) 225 Cadman Plaza East, Brooklyn, NY 11201	

## BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☐ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☒ Other Successful prosecution of major organized crime case.

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

b7C

JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY

- ② - Bureau (ice detached in Correspondence Unit)
- 1 - New York (67-1777)
- 1 - New York (281A-NY-233047 Sub Q35)
- PQ:dam
- (4)

5248	Reading Room
1262	Voucher
3076	

Let to Mearns  
undated (by me 11/1/93)

Enc.

Director signed &amp; dated 11/1/93

Enclosed for the Bureau are the following facts related to the conviction of former JOHN GOTTI juror GEORGE PAPE on Obstruction of Justice (OOJ) charges, as it pertains to GEOFFREY S. MEARNs, Assistant United States Attorney (AUSA), United States Attorney's Office, EASTERN DISTRICT OF NEW YORK (EDNY).

It is requested by the NY Division that the Director consider the following facts in recognition and appreciation of AUSA MEARNs' tremendous accomplishments on receiving a conviction in this matter. The following is a brief synopsis of the case:

On 3/13/87, GAMBINO LCN Boss JOHN GOTTI and six other defendants were acquitted of Federal racketeering charges in the EDNY. Following the verdict, New York (NY) sources indicated that one of the jurors had been compromised.

[REDACTED] b7C

[REDACTED] stated that one of the jurors seated on the trial had been bribed. [REDACTED] b7D

[REDACTED]

Based on this information, NY Division initiated an OOJ investigation with respect to anonymous juror number eleven, GEORGE PAPE. [REDACTED]

[REDACTED] AUSA MEARNs was assigned responsibility for the matter by his office. Following AUSA MEARNs' presentation to the Grand Jury, PAPE was indicted on February 7, 1992, on charges of OOJ and conspiracy, in violation of Title 18, USC, Sections 1503, 371 and 2. An arrest warrant was issued by the United States District Court (USDC) in the EDNY. On February 23, 1992, GEORGE PAPE was arrested without incident by FBI agents at his home in East Norwich, Long Island, NY. BOSCO RADONJICH was also indicted on similar charges and is currently a fugitive residing in Yugoslavia.

From the outset, the case lacked several crucial elements which would jeopardize the government's position. Namely, the money could be traced to PAPE's bank accounts or other expenditures; BOSCO RADONJICH, the key link between PAPE and GOTTI, was a fugitive. The nature of the investigation and prosecution was very unique and delicate. It entailed unsealing the names of the previously anonymous jurors, interviewing them and reconstructing the entire jury selection process, which involved over 400 prospective jurors. Though all former jurors

were reluctant to cooperate, AUSA MEARNS persuaded one of them to testify at trial.

MEARNS also had to deal with the intense NY media focus that follows JOHN GOTTI and any high profile organized crime case.

In the course of trial preparation, MEARNS was required to travel away from NY, his wife, and newborn child, meanwhile maintaining his already heavy case load.

At trial, MEARN's presentation, from opening to closing statements, was widely praised by all. His direct examination of CW GRAVANO was powerful and succinct. GRAVANO himself stated how impressed he was with MEARN's performance, in and out of the courtroom. PAPE was found guilty following brief deliberations.

Subsequent to the jury's verdict, MEARNS has handled all the appeals and oral arguments, up until July 1993, when the verdict was affirmed by the Appellate Court.

The importance of this case cannot be overestimated in terms of its value in terms of combatting organized crime, making a statement that OOJ and jury tampering will not be tolerated. This also goes to dilute issues raised on JOHN GOTTI's current appeal from his 1992 conviction; as well as, vindicating the EDNY on a case where tampering had long been suspected, but never proved.



12 JAN 1994 29Z

43

Sup. Dir.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Intell.	
Lab.	
Legal Coun.	
Off. Cong. & Public Affs.	
Rec. Mgmt.	
Tech. Serv.	
Training	
Off. of the Inspector General	

b7C

0444 MRI 01574

PP RUCNFB

DE FBINY #0078 0112149

ZNR UUUUU

P 112149Z JAN 94

FM FBI NEW YORK (281A-NY-233047 SUB Q5) (P) (C-16/BQ)

TO DIRECTOR FBI (281A-233047)/PRIORITY/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] OC/DI.

SUBJECT: JOHN GOTTI; OC/DE - GAMBINO LCN; OO:NY(BQ (C-16).

ON FRIDAY JANUARY 7, 1994, CHIEF JUDGE THOMAS PLATT, EASTERN DISTRICT OF NEW YORK (EDNY) FOUND GAMBINO FAMILY ATTORNEY BRUCE CUTLER GUILTY OF VIOLATING RULE 7, PROHIBITING PUBLIC STATEMENTS AIMED AT TAINTING A JURY. CUTLER FACES A MAXIMUM SENTENCE OF SIX MONTHS AND A \$5,000 FINE.

THE CHARGES STEM FROM CUTLER'S NUMEROUS STATEMENTS MADE BOTH PRETRIAL AND MID-TRIAL TO THE MEDIA ABOUT HIS PERCEIVED UNFAIRNESS OF THE JOHN GOTTI TRIAL PROCEEDINGS. AFTER

20312 21 11/10/94

PAGE TWO DE FBINY 0078 UNCLAS

MULTIPLE WARNINGS, JUDGE I. LEO GLASSER HELD CUTLER IN  
CRIMINAL CONTEMPT OF COURT.

NO SENTENCING DATE HAS BEEN SET.

BT

#0078

NNNN

ADIC, New York (66A-NY-1472)  
(281A-NY-233047)

1/28/94

Director, FBI

DAMAGES TO BUCAR [REDACTED]  
1992 OLDSMOBILE DELTA 88,  
OPERATED BY SSA [REDACTED]  
2/25-7/27/92  
BAA (A)  
OO: NEW YORK (66A-NY-1472)

b2

b7C

JOHN GOTTI;  
OC/DI-LCN-GAMBINO FAMILY (281A-NY-233047)

Reference New York airtel to FBIHQ dated 1/19/94.

b7E

A review of records maintained by the Automotive  
Management Unit (AMU), FBIHQ, discloses that Bucar [REDACTED]

[REDACTED]  
[REDACTED] all expenses regarding repairs  
must come from the appropriate case funding. For damages under  
\$2,500, procurement authority may be obtained from either  
[REDACTED] Property Unit, New York Office.

ORIGINAL FILED IN 66-17012-15

FINANCE  
DIVISION

Dep. Dir. \_\_\_\_\_ 3 - New York (1 - 66A-NY-1472)  
ADD Adm. \_\_\_\_\_ (2 - 281A-NY-233047)  
ADD Inv. \_\_\_\_\_

Asst. Dir.: 66-HQ-2058-34

Adm. Servs. \_\_\_\_\_ 1 - AMU, Room 6863

Crim. Inv. \_\_\_\_\_

Ident. \_\_\_\_\_ HRC:kly (6)

Insp. \_\_\_\_\_

Intell. \_\_\_\_\_

Lab. \_\_\_\_\_

Legal Coun. \_\_\_\_\_

Rec. Mgnt. \_\_\_\_\_

Tech. Servs. \_\_\_\_\_

Training \_\_\_\_\_

Cong. Affs. Off. \_\_\_\_\_

Off. of EEO \_\_\_\_\_

Off. Liaison &  
Int. Affs. \_\_\_\_\_

Off. of Public Affs. \_\_\_\_\_

Telephone Rm. \_\_\_\_\_

Director's Sec'y \_\_\_\_\_

MAIL ROOM ☒

## Memorandum



To : Director, FBI

Date 2/24/94

From : *[Signature]* DADIC, NEW YORKATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject : CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name	Rank (if applicable)
GEOFFREY MEARNS	AUSA
Residence Address (include Zip Code)	

Name of Agency	Name of Head of Agency
USA's Office, Eastern District of New York	USA ZACHARY CARTER
Address of Agency (include Zip Code)	
225 Cadman Plaza East, Brooklyn, NY 11201	

## BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other \_\_\_\_\_

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY

*281A-NY-233047-60*

2 - Bureau  
1 - New York (67-1777)  
1 - New York (281A-NY-233047 Sub Q32)  
JBM:dam  
(4)

*Quantico*

Enc.

In November of 1993, a trial commenced in the EASTERN DISTRICT OF NEW YORK (EDNY) in which ROBERT BISACCIA, a Captain in the GAMBINO LCN Family and ORAZIO STANTINI, a soldier with the same organized crime family were prosecuted in violation of Title 18, United States Code (USC), Section 1952B. Both individuals were charged with murder and conspiracy to commit murder, and both were part of JOHN GOTTI's infamous criminal reign which abruptly ended in December of 1990 with the arrest of GOTTI and the family hierarchy.

GEOFFREY MEARNS is an Assistant United States Attorney (AUSA) in the EDNY, and was assigned as the lead prosecutor in the aforementioned trial. SALVATORE GRAVANO, also known as (aka) Sammy The Bull, former Underboss and Consigliere in the Family, was the main prosecution witness, due to his planning and participation in the assassination. It is interesting to point out that GRAVANO in two prior trials and in a number of debriefings had initially failed to recall STANTINI's participation in the plot. When the defense attorneys mounted an attack on GRAVANO in relation to this oversight, Mr. MEARNS was indefatigable in protecting GRAVANO's credibility and integrity.

Finally, at the time of closing arguments during the trial, Mr. MEARNS in his rebuttal proved to be outstanding, leaving no doubt in the jury's mind as to the guilt of both defendants.

During this time, Mr. MEARNS was additionally overseeing several other prosecutions and as a direct result of his tenacity and tireless efforts, both defendants were found guilty of the assassination.

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FEDERAL BUREAU  
OF INVESTIGATION

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DE FBINY #0037 0982035

ZNR UUUUU

R 082032Z APR 94

FM FBI NEW YORK (281A-NY-233047 Q31) (P) (C-16/BQRA)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

SECTION, ONE OF TWO SECTIONS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] LCN/ITALIAN OC/LABOR UNIT;  
ROOM 3076.

SUBJECT: JOHN GOTTI; OC/DI - LCN - GAMBINO FAMILY; OO:NY(BQ).

ON APRIL 4, 1994, BEFORE UNITED STATES DISTRICT COURT  
JUDGE CHARLES P. SIFTON, EASTERN DISTRICT OF NEW YORK (EDNY),  
GAMBINO LCN CAPTAIN JAMES FAILLA, GAMBINO LCN CAPTAIN DANIEL  
MARINO, GAMBINO LCN CAPTAIN LOUIS ASTUTO, GAMBINO LCN SOLDIER  
PHILLIP MAZZARA, AND GAMBINO LCN SOLDIER DOMINICK BORGHESE  
PLED GUILTY TO COUNT TWO (2) OF THE INDICTMENT WHICH CHARGED

1751  
376  
85

Mr. Tolson	
Mr. DeLoach	
Mr. Mohr	
Mr. Bishop	
Mr. Casper	
Mr. Callahan	
Mr. Conrad	
Mr. Felt	
Mr. Gale	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Mr. Holmes	
Miss Gandy	

3076  
[REDACTED]  
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1007  
One Note on  
DeC/Al/Gamb.

(E-NOTE 0:1:1) [REDACTED] NOTES/ FAILLA. PLE

PAGE TWO DE FBINY 0037 UNCLAS

THEM WITH CONSPIRING TO MURDER THOMAS SPINELLI. THE GUILTY PLEAS WERE RESULTED FROM A PLEA AGREEMENT THE DEFENDANTS SIGNED WITH THE GOVERNMENT WHEREBY EACH OF THEM AGREED TO A SENTENCE OF EIGHTY-FOUR (84) MONTHS IMPRISONMENT. ALL OF THE DEFENDANTS ADMITTED TO JUDGE SIFTON THEY CONSPIRED TO MURDER SPINELLI. THE DEFENDANTS WILL COMMENCE SERVING THEIR SENTENCES ON SEPTEMBER 9, 1994.

THE LONE DEFENDANT WHO DID NOT AGREE TO PLEAD GUILTY WAS JOSEPH WATTS. THE JUDGE SCHEDULED A HEARING FOR JUNE 14, 1994 TO DETERMINE WHEN HIS TRIAL WOULD COMMENCE. HIS ATTORNEY (F. LEE BAILEY) ADVISED JUDGE SIFTON HIS CLIENT WOULD PROBABLY ENMESH THE ATTORNEYS OF THE OTHER DEFENDANTS ON HIS (WATTS) DEFENSE. HOWEVER, OVERTURES HAVE BEEN MADE REGARDING A POSSIBLE PLEA AGREEMENT.

b3

THE FOLLOWING WILL BE A BRIEF SYNOPSIS OF THE CASE AS IT PERTAINED TO THE SPINELLI HOMICIDE:



PAGE THREE DE FBINY 0037 UNCLAS

b3  
b7C  
b7D



PAGE FOUR DE FBINY 0037 UNCLAS

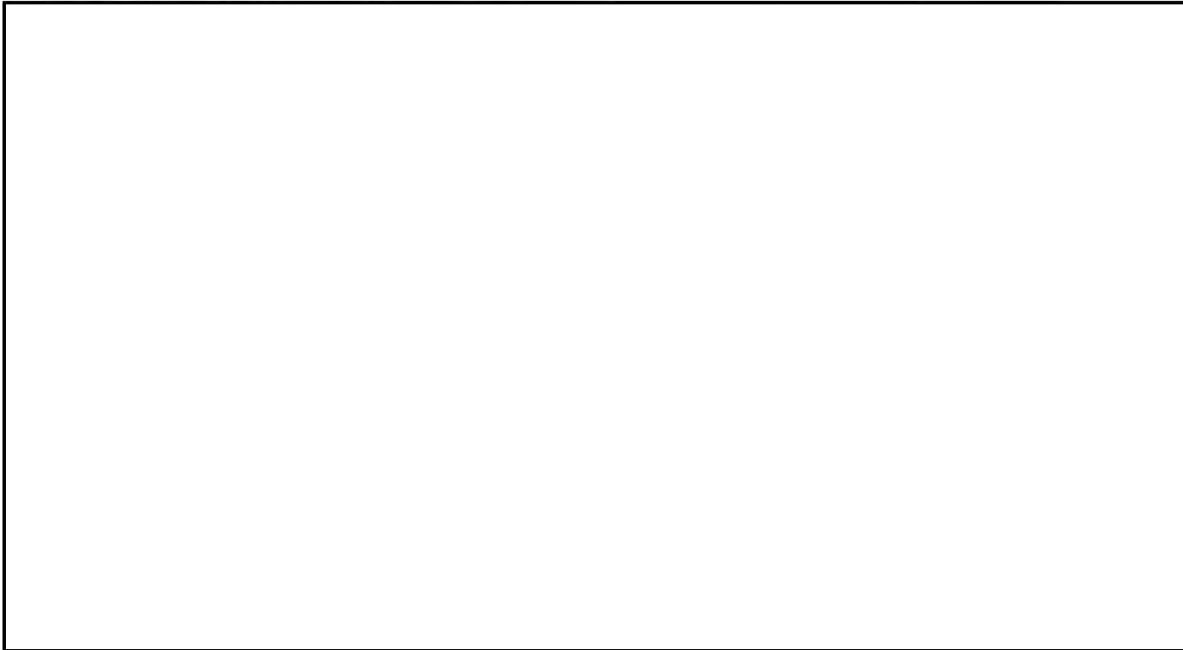
b7C

b7D

[REDACTED] WITH HIS IMPENDING  
IMPRISONMENT, IT IS ANTICIPATED THIS WILL GREATLY REDUCE THE  
MOB'S INFLUENCE OF THE TRASH INDUSTRY IN NEW YORK CITY.

[REDACTED]

PAGE FIVE DE FBINY 0037 UNCLAS



b7C

[REDACTED] [REDACTED] [REDACTED] HAD THE GUILE,  
LEADERSHIP, AND CHARISMA TO BECOME THE NEXT BOSS OF THE  
GAMBINO FAMILY.

b7C



PAGE SIX DE FBINY 0037 UNCLAS

[REDACTED] HAS BEEN ACTIVE IN MURDER,  
NARCOTICS TRAFFICKING AND GAMBLING.

b7C

b7D

[REDACTED]  
WAS INVOLVED IN MURDER, LOANSHARKING, EXTORTION, AND GAMBLING.

[REDACTED]  
THIS CASE HAS DECIMATED TWO (2) STRONG CREWS FROM  
BROOKLYN WHICH HAVE BEEN THE "BACKBONE" OF THE GAMBINO FAMILY  
SINCE THE 1960'S. [REDACTED]

BT

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#0037

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RR RUCNFB

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ZNR UUUUU

R 082032Z APR 94

FM FBI NEW YORK (281A-NY-233047 Q31) (P) (C-16/BQRA)

TO DIRECTOR FBI/ROUTINE/

BT

b7C

UNCLAS

b5

SECTION TWO OF TWO SECTIONS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] LCN/ITALIAN OC/LABOR UNIT;  
ROOM 3076.

SUBJECT: JOHN GOTTI; OC/DI - LCN - GAMBINO FAMILY; OO:NY(BQ).

TEXT CONTINUES:

<div data-bbox="643 1413 1117 1465" data-label="Text"><p>[REDACTED]</p></div>
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ON JUNE 14, 1994, JUDGE CHARLES P. SIFTON WILL SET THE  
TRIAL DATE FOR JOSEPH WATTS. NEW YORK WILL KEEP FBIHQ

PAGE TWO OF FBINY 0038 UNCLAS

APPRISED OF FUTURE DEVELOPMENTS REGARDING TRIAL OF WATTS.

BT

#0038

NNNN

# Memorandum



510  
JF  
2/23/94

To : Director, FBI

Date 2/23/94

From : *W. J. Popp*  
DADIC, NEW YORK

ATT.: Research-Correspondence/Tours Section  
Public Affairs Office

Subject : CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name	Rank (if applicable)
KAREN POPP	AUSA
Residence Address (include Zip Code)	
Name of Agency	Name of Head of Agency
USA's Office, EDNY ✓	USA ZACHERY CARTER ✓
Address of Agency (include Zip Code)	
225 Cadman Plaza East, Brooklyn, NY 11201 ✓	

BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other \_\_\_\_\_

REMARKS: (Set forth below a clear, concise, justification for re specific, omit generalities. If applicable, include F

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3076	<div style="border: 1px solid black; width: 100px; height: 20px;"></div> b7C

JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY  
281A-NY-233047

*281A-NY-233047-71 A*

- ② - Bureau (*see detached in Correspondence Unit*)
- 1 - New York (67-1777)
- 1 - New York (281A-NY-233047 Sub Q32)
- JBM:dsm
- (4)

Let. to: KAREN POPP  
4/13/94  
JB: jbm

Enc.

In November of 1993, ROBERT BISACCIA, a Captain in the GAMBINO LCN Family and ORAZIO STANTINI, a soldier within the same family were prosecuted in the EASTERN DISTRICT OF NEW YORK (EDNY) in violation of Title 18, United States Code (USC), Section 1952(B) on charges of murdering one FRANCESCO OLIVERI. Both of these individuals were a part of JOHN GOTTI's infamous reign within the family, which abruptly ended in December of 1990 with the arrest of GOTTI and his hierarchy.

KAREN POPP is an Assistant United States Attorney (AUSA) in the EDNY, and was assigned to the prosecution team. One of Ms. POPP's responsibilities was to prepare witness SALVATORE GRAVANO, former Consigliere and Underboss of the Family to testify against his former confederates in open court. GRAVANO had never worked with Ms. POPP, and was somewhat reluctant to prepare for trial with someone he did not feel comfortable with. However, as an experienced, dedicated and skilled prosecutor, Ms. POPP was able to quickly gain GRAVANO's confidence, and the professional relationship between witness and prosecutor became a reality.

Additionally, Ms. POPP was tasked with debriefing a number of witnesses from the Sicilian community for trial testimony. A number of these witnesses spoke a limited amount of English, and they were put into position of testifying in open court against friends and close relatives. As a result of Ms. POPP's unrelenting patience and persuasion, these witnesses proved to be both extremely effective and credible. As a direct result of the efforts of Ms. POPP, both defendants were found guilty of the murder charges against them in late November of 1993.

## Memorandum



To : Director, FBI

Date 2/24/94

From : DADIC, NEW YORK

ATT.: Research-Correspondence/Tours Section  
Public Affairs OfficeSubject : CORRESPONDENCE MATTERS  
RECOMMENDATION FOR LETTER FROM DIRECTOR

This form may be utilized where applicable in conjunction with Bureau letter to Albany and all offices dated 11-7-73 captioned "Correspondence Matters."

Name GEOFFREY MEARNES	Rank (if applicable) AUSA
Residence Address (include Zip Code)	

Name of Agency USA's Office, Eastern District of New York	Name of Head of Agency USA ZACHARY CARTER
Address of Agency (include Zip Code) 225 Cadman Plaza East, Brooklyn, NY 11201	

## BASIS FOR RECOMMENDATION: (Amplify under "Remarks.")

- ☐ Promotion (Indicate from \_\_\_\_\_ to \_\_\_\_\_)
- ☐ Serious illness (Indicate where confined; if hospital, how long confinement will be.)
- ☐ Death (Indicate next of kin, widow, if any, and children, if any.)
- ☐ Death in family (Indicate relationship.)
- ☐ Editorial or feature article (Indicate whether complimentary or derogatory; also indicate editor, or newspaperman, to be written to, with address and Zip Code.)
- ☒ Appreciation for assistance in Bureau case. Identify case by caption under "Remarks."
- ☐ Other \_\_\_\_\_

b7C

REMARKS: (Set forth below a clear, concise, justification for recommendation. Be specific, omit generalities. If applicable, include Bureau file number.)

JOHN GOTTI;  
OC/DI - LCN - GAMBINO FAMILY

3076	
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- 2 - Bureau (cc detached in Correspondence Unit)
- 1 - New York (67-1777)
- 1 - New York (281A-NY-233047 Sub Q32)
- JBM:dsm
- (4)

Enc.

67-1777-194

OK H/A  
LW:100  
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OK 2/13  
FBI/DOJ



In November of 1993, a trial commenced in the EASTERN DISTRICT OF NEW YORK (EDNY) in which ROBERT BISACCIA, a Captain in the GAMBINO LCN Family and ORAZIO STANTINI, a soldier with the same organized crime family were prosecuted in violation of Title 18, United States Code (USC), Section 1952B. Both individuals were charged with murder and conspiracy to commit murder, and both were part of JOHN GOTTI's infamous criminal reign which abruptly ended in December of 1990 with the arrest of GOTTI and the family hierarchy.

GEOFFREY MEARNs is an Assistant United States Attorney (AUSA) in the EDNY, and was assigned as the lead prosecutor in the aforementioned trial. SALVATORE GRAVANO, also known as (aka) Sammy The Bull, former Underboss and Consigliere in the Family, was the main prosecution witness, due to his planning and participation in the assassination. It is interesting to point out that GRAVANO in two prior trials and in a number of debriefings had initially failed to recall STANTINI's participation in the plot. When the defense attorneys mounted an attack on GRAVANO in relation to this oversight, Mr. MEARNs was indefatigable in protecting GRAVANO's credibility and integrity.

Finally, at the time of closing arguments during the trial, Mr. MEARNs in his rebuttal proved to be outstanding, leaving no doubt in the jury's mind as to the guilt of both defendants.

During this time, Mr. MEARNs was additionally overseeing several other prosecutions and as a direct result of his tenacity and tireless efforts, both defendants were found guilty of the assassination.

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FM FBI NEW YORK (281A-NY-233047 SUB Q22) (P) (C-16/BQRA)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED], ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; OC/DI - GAMBINO LCN; OO:NY(BQ) (C-16).

ON JUNE 10, 1994, BRUCE CUTLER WAS SENTENCED BEFORE JUDGE PLATT IN THE EASTERN DISTRICT OF NEW YORK (EDNY) FOR VIOLATING LOCAL RULE 7 PROHIBITING SPEAKING TO THE MEDIA. CUTLER HAD BEEN FOUND GUILTY OF VIOLATING JUDGE I. LEO GLASSER'S ORDERS, GIVEN ON THREE (3) SEPARATE OCCASIONS, NOT TO TALK TO THE MEDIA ABOUT THE IMPENDING JOHN GOTTI TRIAL. CUTLER HAD BEEN PROHIBITED FROM REPRESENTING GOTTI BY GLASSER PRIOR TO THE STATEMENTS ON THE GROUNDS THAT CUTLER WAS CONSIDERED "HOUSE

281A-NY-233047-72

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PAGE TWO DE FBINY 0014 UNCLAS

COUNSEL" TO THE GAMBINO LCN FAMILY AND WAS A POTENTIAL WITNESS IN THE UPCOMING TRIAL.

NEVERTHELESS, CUTLER CONTINUED HIS MEDIA CAMPAIGN WHICH INCLUDED NUMEROUS OUTRAGEOUS STATEMENTS, INCLUDING THE FAMOUS "THE GOVERNMENT HAS THROWN THE CONSTITUTION OUT THE WINDOW TO GET JOHN GOTTI" STATEMENT. AT THAT TIME CUTLER REITERATED HIS "LOVE AND DEVOTION" TO JOHN GOTTI AND PROFESSED TO BE HIS FRIEND FOREVER.

JUDGE PLATT SENTENCED CUTLER TO THREE (3) YEARS PROBATION AND PROHIBITED HIM FROM PRACTICING LAW WITHIN THE EDNY FOR A PERIOD OF 180 DAYS. IN ADDITION, CUTLER WAS SENTENCED TO NINETY (90) DAYS HOUSE ARREST AND TWO HUNDRED (200) HOURS OF COMMUNITY SERVICE IN A NON LEGAL CAPACITY FOR EACH YEAR THAT HE IS ON PROBATION. A FINE OF \$5,000.00 WAS IMPOSED. THE JUDGE ORDERED A STAY OF THE SENTENCE UNTIL ALL APPEALS HAVE BEEN EXHAUSTED.

BT

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FM FBI NEW YORK (281A-NY-233047) (P) (C-16/BQRA)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR [REDACTED] ORGANIZED CRIME DIVISION.

SUBJECT: JOHN GOTTI; GC/DI - GAMBINO LCN; OO:NY(BQ).

ON MAY 20, 1994, LEWIS KASMAN PLED GUILTY TO ONE (1) COUNT OF PERJURY BEFORE JUDGE I. LEO GLASSER, IN THE EASTERN DISTRICT OF NEW YORK (EDNY). THE CHARGES STEM FROM KASMAN'S TESTIMONY BEFORE THE GRAND JURY ON THREE (3) OCCASIONS IN 1990.

281A-NY-233047-73

KASMAN WAS QUESTIONED AT LENGTH REGARDING HIS ASSOCIATION WITH JOHN GOTTI AND OTHER GAMBINO FAMILY MEMBERS, AND MORE SPECIFICALLY ABOUT THE ORGANIZATION AND OPERATION OF SCORPIO

C-3042  
C-5015

*[Handwritten signature]*

PAGE TWO DE FBINY 0025 UNCLAS

MARKETING, THE SHAM GARMENT CENTER COMPANY WHICH HAD JOHN GOTTI AS ITS PRESIDENT AND CAPO JACKIE "NOSE" D'AMICO AS ITS LEADING SALESMAN. KASMAN SET UP THIS COMPANY TO GIVE GOTTI A "LEGITIMATE" SOURCE OF INCOME.

KASMAN'S INDICTMENT HAD FIVE (5) COUNTS OF PERJURY AND ONE (1) COUNT OF OBSTRUCTION OF JUSTICE. THE PLEA STATES THAT KASMAN LIED TO THE GRAND JURY WHEN HE STATED THAT HE ONLY KNEW OF THE TERMS CAPO, BOSS AND CONSIGLIERE FROM THE NEWSPAPERS. KASMAN FACES FIFTEEN (15) TO TWENTY-ONE (21) MONTHS IN JAIL. SENTENCING IS SET FOR AUGUST 25, 1994.

BT

#0025

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25 SEP 94

FEDERAL BUREAU  
OF INVESTIGATION

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FM FBI NEW YORK (281A-NY-233047 Q21) (P) (C-16/BQRA)

TO DIRECTOR FBI (183-9043)/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION. [REDACTED]

SUBJECT: JOHN GOTTI; OC/DI-LCN-GAMING FAMILY; OO:NY/BQ;

(C-16).

RE: NY TELETYPE TO FBIHQ OF JUNE 22, 1994.

ON SEPTEMBER 9, 1994, ROBERT A. SASSO, FORMER PRESIDENT  
OF TEAMSTERS LOCAL UNION 282, THE BUILDING MATERIALS LOCAL,  
WAS SENTENCED BEFORE CHIEF JUSTICE THOMAS C. PLATT OF THE  
EASTERN DISTRICT OF NEW YORK FOLLOWING A PRIOR PLEA OF GUILTY  
TO RICO CONSPIRACY CHARGES. JUDGE PLATT DENIED THE  
GOVERNMENT'S MOTION FOR AN UPWARD DEPARTURE FROM THE

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Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

281A-NY-233047-76

Gaming  
Risks

PAGE TWO DE FBINY 0013 UNCLAS

SENTENCING GUIDELINES. HE SENTENCED SASSO TO SERVE 41 MONTHS INCARCERATION; 3 YEARS PROBATION, A FINE OF \$7,500 AND ORDERED SASSO TO PAY AN ADDITIONAL FINE OF \$61,172 TO REIMBURSE THE GOVERNMENT FOR THE COST OF HIS INCARCERATION.

THE EDNY CONTINUES TO NEGOTIATE WITH THE FIVE NAMED DEFENDANTS IN THIS CASE TO RESOLVE THE CIVIL RICO ACTION AGAINST THEM. THE GOVERNMENT IS SEEKING SIX FIGURE SETTLEMENTS FROM THREE OF THE DEFENDANTS; SASSO, MICHAEL CARBONE, AND JOSEPH MATARAZZO. IT IS ANTICIPATED THAT MUCH OF THIS MONEY WILL BE USED TO HELP FUND A GOVERNMENT TRUSTEESHIP, IF THE GOVERNMENT IS SUCCESSFUL IN HAVING A TRUSTEE NAMED BY THE JUDGE.

THE EDNY CONTINUES TO NEGOTIATE WITH THE INTERNATIONAL BROTHERHOOD OF TEAMSTERS (IBT) TO RESOLVE THE CIVIL RICO SUIT REGARDING THE IMPLEMENTATION OF A TRUSTEESHIP. IT IS ANTICIPATED THAT AN AGREEMENT WILL BE REACHED THAT CREATES A DUAL TRUSTEESHIP WITH BOTH THE IBT AND THE GOVERNMENT TAKING JOINT CONTROL OF THE LOCAL.

NEW YORK WILL CONTINUE TO KEEP FBIHQ APPRISED OF SIGNIFICANT EVENTS IN THIS MATTER.

BT

PAGE THREE DE FBINY 0013 UNCLAS

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PAGE TWO DE FBIHQ 0016 UNCLAS

JUDGE GLASSER STATED IN A RATHER EXTENSIVE COMMENTARY DURING THE PROCEEDING THAT THERE ARE SOMETIMES INCONSISTENT SENTENCES FROM CRIME TO CRIME WHICH ARE IMPOSED UNDER THE GUIDELINES. HE STATED THAT PERJURERS LIKE KASMAN ARE THE FORTUNATE/UNFORTUNATE BENEFACTORS, AS GLASSER'S SENTENCE, HAD IT NOT BEEN RESTRICTED BY THE GUIDELINES, WOULD HAVE HIM SERVING A MUCH LONGER TIME IN JAIL.

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FM FBI NEW YORK (281A-NY-233047) (P) (C-16/BQRA)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME SECTION.

SUBJECT: JOHN GOTTI; OC/DI - LCN - GAMBINO FAMILY; OG:NY(BQ),  
(C-16).

REFERENCE NEW YORK TELETYPE, DATED MARCH 10, 1994.

THE FOLLOWING ACCOMPLISHMENTS HAVE BEEN REALIZED IN  
CAPTIONED CASE SINCE REFERENCED NEW YORK TELETYPE:

- 1 ON JUNE 15, 1994, ACTING CAPTAIN PAUL GRAZIANO AND  
SOLDIER ANTHONY VINCUILLO PLED GUILTY IN THE EASTERN  
DISTRICT OF NEW YORK (EDNY) FOR THE CONSPIRACY TO  
MURDER LOUIS DI BONO. GRAZIANO AGREED TO SERVE A TEN

OC/DOJ #2 Approval for 6mo rental  
10/1/94 - 4/1/95 (100)  
forwarded to [REDACTED] for processing  
9/29/94 (60)

Summary note  
to Potts

PAGE TWO DE FBINY 0026 UNCLAS

(10) YEAR TERM, WHILE VINCUILLO PLED TO A SEVEN (7) YEAR SENTENCE. LATER, ON JUNE 20, 1994, GAMBINO CAPO PASQUALE CONTE ALSO PLED GUILTY FOR THE CONSPIRACY TO MURDER. HE WILL RECEIVE A SEVEN AND A HALF (7 1/2) YEAR SENTENCE ON SEPTEMBER 14, 1994;

2 ON AUGUST 16, 1994, GAMBINO CAPOS JAMES FAILLA AND DANNY MARINO AND GAMBINO SOLDIERS DOMINIC BORGHESE AND PHILIP MAZZARA WERE SENTENCED IN THE EDNY FOR THE CONSPIRACY TO MURDER THOMAS SPINELLI. ALL FOUR (4) MEMBERS OF THE GAMBINO LCN FAMILY RECEIVED A SEVEN (7) YEAR SENTENCE. ALL FOUR (4) WILL REPORT TO VARIOUS FEDERAL INSTITUTIONS ON SEPTEMBER 9, 1994;

3 ON JUNE 10, 1994, ATTORNEY BRUCE CUTLER WAS SENTENCED AT THE EDNY FOR VIOLATION OF RULE 7. HE RECEIVED A SENTENCE OF NINETY (90) DAYS HOUSE ARREST, THREE (3) YEARS PROBATION. ALSO HIS LICENSE TO PRACTICE LAW WILL BE SUSPENDED FOR 180 DAYS IN THE EDNY. CUTLER IS APPEALING THIS VERY HARSH SENTENCE BY THE JUDGE;

4 ON JUNE 21, 1994, A CIVIL RICO COMPLAINT WAS FILED IN THE EDNY. NAMED AS DEFENDANTS WERE TEAMSTERS LOCAL 282, THE LOCAL'S EXECUTIVE BOARD, AND FIVE (5) FORMER OFFICERS TO

PAGE THREE DE F: INY 0026 UNCLAS

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INCLUDE ROBERT SASSO AND MICHAEL CARBONE. IT SHOULD BE NOTED THAT SASSO AND CARBONE HAVE PREVIOUSLY PLED GUILTY IN THIS MATTER AND WILL BE SENTENCED IN THE NEXT THIRTY (30) DAYS.

CAPTIONED CASE IS NOW BEING TARGETED AGAINST [REDACTED]

[REDACTED]

RULING ADMINISTRATION OF THE GAMBINO LCN FAMILY. THIS ADMINISTRATION IS REFERRED TO BY THE FAMILY AS THE COMMITTEE

[REDACTED]

[REDACTED] THE COMMITTEE RECEIVES SOME INPUT FROM JOHN GOTTI, SR., BUT FOR THE MOST PART HAS COMPLETE CONTROL OF THE GAMBINO LCN FAMILY.

THE ADMINISTRATION OF THE GAMBINO LCN FAMILY HAS BECOME EXTREMELY PARANOID OF LAW ENFORCEMENT SURVEILLANCES, BOTH AUDIO AND VISUAL. IN THE LAST THREE (3) YEARS, THEY'VE CLOSELY FOLLOWED THE VARIOUS TRIALS IN THE EDNY, DURING WHICH THE GOVERNMENT PLAYED NUMEROUS SURVEILLANCE VIDEOS AND EXTREMELY INCRIMINATING AUDIO TAPES AGAINST JOHN GOTTI AND OTHER MEMBERS OF THE FAMILY. THEY LEARNED "THE HARD WAY" NOT

PAGE FOUR DE FBINY 0026 UNCLAS

TO CONGREGATE AT A PUBLIC PLACE OR DISCUSS ANY CRIMINAL  
ACTIVITIES IN A PLACE ACCESSIBLE TO LAW ENFORCEMENT. AS A  
RESULT, THE FAMILY HAS "GONE UNDERGROUND". THEY ARE STILL  
CONDUCTING ILLEGAL ACTIVITIES, BUT THEY ARE BEING EXTREMELY  
SURREPTITIOUS IN THEIR MEETINGS.

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PAGE FIVE DE FBINY 0026 UNCLAS

[REDACTED]

CURRENTLY, ONE (1) RENTAL VEHICLE IS IN USE TO SUPPORT A VARIETY OF SURVEILLANCES IN THESE MATTERS, [REDACTED] AUTHORIZATION FOR THIS VEHICLE EXPIRES ON SEPTEMBER 30, 1994. IT IS ANTICIPATED THAT THIS VEHICLE WILL BE NEEDED FOR AN ADDITIONAL SIX (6) MONTHS TO FURTHER THE SURVEILLANCES DESCRIBED ABOVE.

[REDACTED]

b2  
b7C  
b7D  
b7E

AS A RESULT OF THE ABOVE, IT IS REQUESTED THAT AUTHORITY BE GRANTED TO RENT ONE (1) VEHICLE FOR AN ADDITIONAL SIX (6) MONTHS THROUGH MARCH 31, 1995.

DADIC, NEW YORK HAS REVIEWED THIS MATTER AND CONCURS IN

PAGE SIX DE FBINY 0026 UNCLAS

THIS REQUEST.

BT

#0026

NNNN

FBI

## TRANSMIT VIA:

☐ Teletype  
☐ Facsimile  
☒ AIRTEL

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☐ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☐ UNCLAS

Date 12/6/94

b7C

TO : DIRECTOR, FBI (ATTN: [redacted])  
 EXECUTIVE AGENCIES SUBUNIT, ROOM 4965, FBIHQ

FROM : DADIC, NEW YORK (281A-NY-233047)

SUBJECT : [redacted]  
 IMMIGRATION AND NATURALIZATION SERVICE  
 (INS) NAME CHECK REQUEST

Re Bureau letter to NY, dated 10/18/94.

For the information of the Bureau, the NYO has been discussing this case with [redacted] US INS, Room 14-110, 26 Federal Plaza, NYC. She has been provided with certain documents and details of the investigation by the NY FBI Office.

*1cc to INS/NYC  
 on 12/14/94  
 4/ENSO/BSL*

*1-123*  
 2 - Bureau (Enc. 5)  
 1 - New York (281A-NY-233047 Sub Q10)  
 1 - New York (281A-NY-232892)  
 MJD:dam  
 (5)

Approved: *[Signature]*

Transmitted

(Number) (Time)

Per

*3076*





U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

95-25 Queens Boulevard  
Rego Park, New York 11374

December 6, 1994



b7C



[Redacted] In that case, Joseph Gambino and his partner Carlo Conti were convicted in the U.S. Federal Court in the Southern District of New York after a four week trial. They were originally charged with 22 counts which included the beating of an undercover agent from the Federal Bureau of Investigation (FBI). The undercover agent was running a private sanitation firm located in the Bronx area of New York and was in competition against a sanitation firm that Gambino and Conti were involved with. Gambino and Conti were convicted on multiple counts in July 1977 and sentenced to the federal penitentiary. During their trial Gambino was represented by famed attorney the late Roy Cohn.

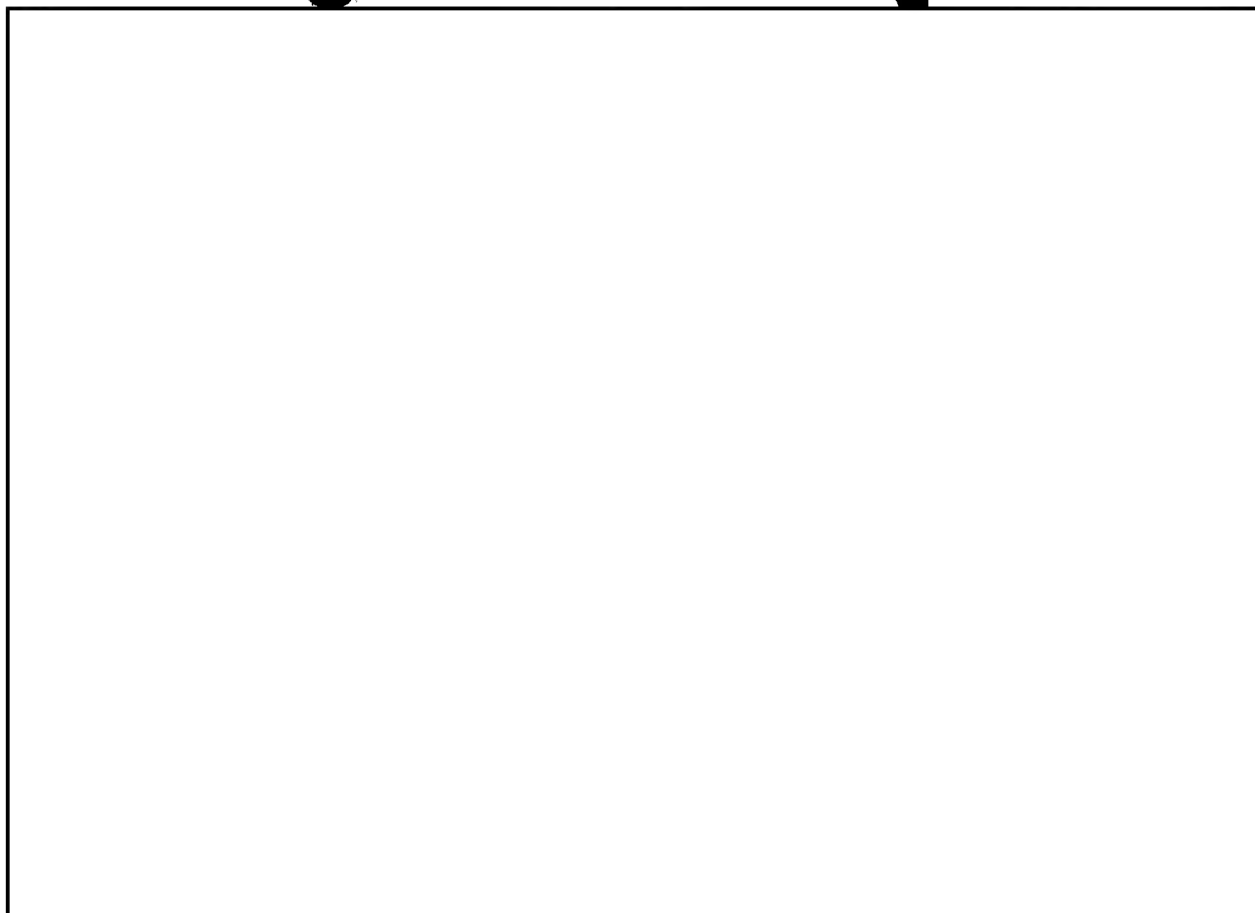
In that case, Gambino was sentenced to ten years on the various counts, which included racketeering, extortion, assault and battery and also income tax violations. [Redacted]



5 - Bureau  
1 - New York (281A-NY-232892)  
MD:mbh  
(7)

*Ex p. 11/19/94, 1/5*

**ENCLOSURE**



Subsequent investigation in Connecticut in 1989 resulted in the conviction of Anthony Megale, a "soldier" in the Gambino LCN Family. Megale and 21 others from Connecticut and New York were arrested and charged with gambling and loan sharking activities in Connecticut. [REDACTED]

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[REDACTED]

During the investigation of John Gotti, the boss of the Gambino LCN Family after the murder of Paul Castellano, it was observed that [REDACTED] met on a regular basis with John Gotti and others in the "administration" of the Gambino LCN Family. [REDACTED] was observed on numerous occasions during the Gotti investigation meeting John Gotti and numerous other "capos" and members of not only the Gambino, but other New York organized crime families, at the [REDACTED]

[REDACTED]

During the trial of John Gotti, boss of the Gambino LCN Family and Frank Locascio, consigliere of this same family, there was testimony by Salvatore "Sammy the Bull" Gravano, former

underboss of the Gambino LCN Family who pleaded guilty and agreed to cooperate with the government. Gravano testified that Joseph Gambino was a "soldier" in the Gambino LCN Family and had currently been a "soldier" in the crew of Thomas Gambino. In addition to Gravano's testimony other "made" members of organized crime who have cooperated with the government have testified that Joseph Gambino has been an member of organized crime for many years.

On August 4, 1992 Thomas Gambino, "capo," Joseph Gambino and Philip "Skinny Phil" Loscalzo, "soldiers" in the Gambino LCN Family were arrested on a three count indictment which involved racketeering charges. This had been a superseding indictment as originally Thomas Gambino had been indicted along with John Gotti, Salvatore Gravano and Frank Locascio in 1990. The case against Thomas Gambino was severed from the trial with Gotti et al. In the case in August 1992 the charges were being engaged in illicit gambling and loansharking activities in Connecticut.

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After numerous continuances the trial against Thomas Gambino, Joseph Gambino and Phil Loscalzo was to begin on April 26, 1993. During this time, Phil Loscalzo suffered a severe stroke and subsequently passed away. The trial began on April 26, 1993 and ended on May 11, 1993. After seven hours of deliberation the jury returned a guilty verdict against Thomas Gambino on all counts. The jury returned a not guilty verdict for Joseph Gambino.

FEDERAL BUREAU OF INVESTIGATION  
FOIPA  
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OF INVESTIGATION

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OF INVESTIGATION

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DE ROM #0004 2701618

ZNR UUUUU

P 271614Z SEP 95

FM LEGAT ROME (281A-NY-233047) (P)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK (281A-NY-233047)/PRIORITY/

BT

UNCLAS

CITE: //5560:RO660.270//

PASS: IRU 1, IR8, CID.

SUBJECT: JOHN GOTTI; OC/DI-LCN-GAMBINO FAMILY; OO: NY.

RENYTELCALL TO LEGAT ROME OF 9/12/95.

ON 9/13/95, [REDACTED]

NO RECORD IDENTIFIABLE WITH [REDACTED]

ON 9/14/95, [REDACTED]

DEPARTMENT OF JUSTICE (DOJ)

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1-D

Mike

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Dep. Dir.	_____
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Asst. Dir.:	_____
Adm. Serv.	_____
Crim. Inv.	_____
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Legal Coun.	_____
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Telephone Rm.	_____
Director's Office	_____

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C-7458

PAGE TWO DE ROM 0004 UNCLAS

OFFICE, AMERICAN EMBASSY, ROME, ADVISED THEY DO NOT HAVE ANY  
INFORMATION ON THE EXTRADITION OF [REDACTED] TO ITALY. THE  
ONLY TIME THE DOJ OFFICE AT THE EMBASSY WOULD NOT BE INVOLVED  
IN AN EXTRADITION PROCEEDING IS WHEN EXTRADITION IS WAIVED.

b7C

INVESTIGATION AT ROME CONTINUING.

BT

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DE FBINY #0017 0721946

ZNR UUUUU

R 121944Z MAR 96

FM FBI NEW YORK (281A-NY-233047 Q19) (P) (C-16/BQRA)

b7C

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR SSA [REDACTED] ORGANIZED CRIME UNIT.

SUBJECT: JOHN GOTTI; OC/DI - LCN - GAMBINO FAMILY; OO:NY(BQ).

REFERENCE NEW YORK OFFICE (NYO) TELETYPE TO FBIHQ, DATED  
JUNE 27, 1995; NYO TELETYPE DATED OCTOBER 11, 1995.

IN MAY OF 1990, NYO WAS AWARE OF A MURDER AT [REDACTED]

[REDACTED] CAR SERVICE LOCATED AT 318 EAST

11TH STREET, MANHATTAN. THE HOMICIDE WAS COMMITTED ON THE

OF FEBRUARY 9, 1990. [REDACTED] RADIO DISPATCHER, HARMON

FUCHS, ALSO KNOWN AS (AKA) "RUSTY", WAS SHOT NUMEROUS TIMES IN

THE HEAD AND BODY.

*Walt W*



PAGE TWO DE FBINY 0017 UNCLAS

THE HOMICIDE WAS A MYSTERY AND UNSOLVED FOR YEARS WITH NO CREDIBLE WITNESSES OR SOLID INFORMATION SHEDDING LIGHT ON THE FACTS ABOUT THE MURDER.

HOWEVER, IN 1994, THE FEDERAL BUREAU OF INVESTIGATION (FBI) RECEIVED A BREAK IN THE 1990 MURDER CASE. IN NOVEMBER 1994, GAMBINO LCN ASSOCIATE GUY ZAPPULLA BEGAN TO COOPERATE WITH THE NEW YORK CITY POLICE DEPARTMENT (NYCPD) AND THE FBI GAMBINO LCN SQUAD. ZAPPULLA BECAME A COOPERATING WITNESS (CW) FOR THE FBI.

ZAPPULLA, ALONG WITH ANTONIO PERSICHETTI, ALSO KNOWN AS (AKA) "TONY THE NOSE", AN FBI CW AND GAMBINO LCN ASSOCIATE COMMITTED A MURDER WITH NORMAN DUPONT ON THE NIGHT THAT JOHN GOTTI, THE BOSS OF THE GAMBINO LCN FAMILY, WAS ACQUITTED ON HIS NEW YORK STATE RACKETEERING CHARGES, FEBRUARY 9, 1990. FEELING INVINCIBLE BY HAVING HIS CRIME BOSS WIN IN A NEW YORK STATE CRIMINAL PROSECUTION AND BECOMING DRUNK FROM CELEBRATING GOTTI'S VICTORY, DUPONT SUMMONED ZAPPULLA AND PERSICHETTI TO SEND A MESSAGE TO JOSEPH FABOZZI. FABOZZI WAS CONSTANTLY BEHIND IN PAYING DUPONT SHYLOCK MONIES. WHEN FABOZZI REFUSED TO PAY DUPONT ANYMORE, THE FORMER CARETAKER OF THE RAVENITE SOCIAL CLUB WANTED TO LEAVE HIS "CALLING CARD" AT FABOZZI'S

PAGE THREE DE FBINY 0017 UNCLAS

CAR SERVICE BUSINESS, LOCATED IN LOWER MANHATTAN.

ON THE NIGHT OF FEBRUARY 9, 1990, DUPONT, ZAPPULLA DROVE TO FABOZZI'S CAR SERVICE. DUPONT WAS UPSET AND LOOKING TO COLLECT FROM FABOZZI. IN A FIT OF RAGE, DUPONT SHOT THE CAR SERVICE DISPATCHER, HARMON FUCHS, AKA "RUSTY". BY KILLING AN INNOCENT VICTIM, DUPONT SENT HIS MESSAGE TO FABOZZI NOT TO BE LATE IN PAYING THE GAMBINO LCN ASSOCIATE.

UNKNOWN TO ZAPPULLA, DURING HIS COOPERATION WITH THE NYCPD AND THE FBI, ANTHONY PERSICETTI, AKA "TONY THE NOSE", CONTACTED THE GAMBINO LCN SQUAD, C-16 AND WANTED TO COOPERATE WITH LAW ENFORCEMENT BECAUSE HE WAS INCARCERATED FOR FEDERAL WEAPON AND NARCOTIC CRIMINAL CHARGES. BASED ON ZAPPULLA AND PERSICETTI'S INFORMATION, DUPONT WAS INDICTED ON FEBRUARY 7, 1995 BY A GRAND JURY IMPANELLED BY THE EASTERN DISTRICT OF NEW YORK (EDNY).

DUPONT WENT FOR TRIAL IN OCTOBER 1995 IN THE EDNY FOR MURDER IN AID OF RACKETEERING, TITLE 18, UNITED STATES CODE (USC) SECTION 1959; INTERFERENCE WITH COMMERCE BY THREATS OF VIOLENCE (HOBBS ACT), TITLE 18, USC, SECTION 1951 AND UNAUTHORIZED USE OF A FIREARM, TITLE 18, USC, SECTION 924(1),

PAGE FOUR DE FBIN 0017 UNCLAS

TWO (2) SEPARATE COUNTS. ZAPPULLA AND PERSCHIETTI TESTIFIED AGAINST DUPONT FOR THE FUCHS MURDER.

WITHIN AN HOUR AND HALF, THE JURY FOUND DUPONT GUILTY OF ALL CHARGES. ON MARCH 5, 1996, DUPONT WAS SENTENCED BY THE UNITED STATES DISTRICT JUDGE RAYMOND DEARIE TO LIFE WITHOUT PAROLE AND THIRTY THREE (33) YEARS CONSECUTIVE SENTENCES.

ZAPPULLA'S COOPERATION WAS EXTREMELY HELPFUL IN THE PROSECUTION OF DUPONT. [REDACTED]

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PAGE FIVE DE FBIN 0017 UNCLAS

PLED GUILTY TO FRAUD AND RELATED ACTIVITY IN CONNECTION WITH  
ACCESS DEVICES, TITLE 18, USC, SECTION 1029. SPATOLA RECEIVED  
FIVE (5) YEARS FEDERAL PROBATION.

ON APRIL 6, 1995, ZAPPULA PLED GUILTY TO NARCOTICS  
TRAFFICKING, EXTORTION VIOLATIONS AND MURDER IN AID OF  
RACKETEERING, TITLE 18, USC, SECTION 1959 (A) (2) AND 2 AND  
3551.

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ON MARCH 3, 1996, ZAPPULLA, A CW, WAS SENTENCED BY UNITED  
STATES DISTRICT JUDGE RAYMOND DEARIE OF THE EDNY TO THIRTY  
THREE (33) MONTHS OF INCARCERATION AND FIVE (5) SUPERVISION BY  
THE UNITED STATES PROBATION DEPARTMENT.

PERSCHIETTI PLED GUILTY IN MARCH 1995 FOR FEDERAL  
NARCOTIC, EXTORTION AND MURDER IN AID OF RACKETEERING CHARGES.

PERSICHETTI [REDACTED] IS  
WAITING SENTENCING.

FD 515'S TO FOLLOW.

BT

#0017

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0173 MRI 01262

RR RUCNFB

DE FBINY #0028 0572238

ZNR UUUUU

R 262236Z FEB 96

FM FBI NEW YORK (281A-NY-233047) (P) (C-17/BQRA)

TO DIRECTOR FBI/ROUTINE/

BT

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UNCLAS

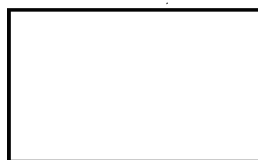
CITE: //3540:3613//

PASS: HQ FOR [REDACTED] ORGANIZED CRIME SECTION, GAMBINO LCN;  
FAMILY.

SUBJECT: JOHN GOTTI; OC/DI - GAMBINO LCN FAMILY; OO:NY(BQ).

REFERENCE NEW YORK TELETYPE TO FBIHQ, DATED DECEMBER 18,  
1995.

ON FEBRUARY 15, 1996, JOSEPH WATTS PLED GUILTY TO ONE  
COUNT OF RICO, ADMITTING IN OPEN COURT THAT HE WAS THE PERSON  
WHO BURIED THE BODY OF GAMBINO LCN SOLDIER TOMMY "SPARROW"  
SPINELLI, WHO WAS TESTIFYING TRUTHFULLY BEFORE A FEDERAL GRAND  
JURY (FGJ).



PAGE TWO DE FBINY 0028 UNCLAS

WATTS FACES A MAXIMUM OF SIX (6) YEARS IMPRISONMENT FOR THIS PLEA. HE WAS FACING A POSSIBLE LIFE IMPRISONMENT FOR HIS PARTICIPATION IN THE MURDER OF GAMBINO LCN BOSS PAUL CASTELLANO AND CAPO THOMAS BILOTTI IN 1985, HIS PARTICIPATION IN THE MURDER OF SPINELLI AND THE CONSPIRACY TO MURDER FRED WEISS, ILLEGALLY WIRETAPPING SAMMY "BULL" GRAVANO'S WIFE TELEPHONE AFTER GRAVANO BECAME A COOPERATING WITNESS (CW), LOANSHARKING AND GAMBLING. IN THE COMPLAINT FILED IN NOVEMBER 1995, WATTS WAS CHARGED WITH EARNING MORE THAN \$25,000.00 IN CASH A WEEK FOR NINE (9) YEARS ON HIS LOANSHARKING BOOK, WITH GAMBINO SOLDIER NOW CW "FAT DOM" BORGHESE COLLECTING THE CASH FOR WATTS.

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WATTS WAS REPRESENTED BY F. LEE BAILEY [REDACTED]

b7C

[REDACTED] MOST OF THE MOTIONS FILED IN THE CASE, INCLUDING A MOTION TO DISMISS, WERE SEALED. IN OPEN COURT BAILEY DESCRIBED THIS AS A "MOST UNUSUAL CASE".

[REDACTED]

PAGE THREE DE FBINY 0028 UNCLAS

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[REDACTED]

[REDACTED] HOWEVER, BUAGENTS WERE LEFT STARING INTO A BIG EMPTY HOLE WHEN THEY ATTEMPTED TO DIG UP THE BODY FROM THIS SECOND BURIAL SITE IN LATE NOVEMBER, 1995. IT IS SPECULATED THAT WATTS MOVED THE BODIES A SECOND TIME TO A THIRD UNKNOWN LOCATION.

b7C

THE FIVE (5) OTHER DEFENDANTS IN THIS CASE, INCLUDING "FAT DDM" BORGHESE, PLED GUILTY TO THE MURDER OF SPINELLI IN APRIL 1994 AND RECEIVED SEVEN (7) YEAR SENTENCES. [REDACTED]

[REDACTED]

JUDGE CHARLES SIFTON IS SCHEDULED TO MAKE A DETERMINATION ON WHETHER TO ACCEPT THE PLEA AT AN APRIL 25, 1996 HEARING.

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P 312119Z MAY 96

FM FBI NEW YORK (281A-NY-233047) (P) (C-16/BQRA)

b7C

TO DIRECTOR FBI/PRIORITY/

LEGAT OTTAWA (80-OT-7400)/PRIORITY/

BT

UNCLAS

CITE: //3540:3613//

PASS: HQ FOR CID, DOS 2, UNIT CHIEF [REDACTED] IRB;

IRU-1; SSA [REDACTED]

SUBJECT: CRIMINAL INTELLIGENCE SERVICE CANADA (CISC);

TRADITIONAL ORGANIZED CRIME WORKSHOP, OTTAWA, ONTARIO, CANADA;

JUNE 6 - 7, 1996; FOREIGN POLICE COOPERATION; DO: OTTAWA.

REFERENCE LEGAT, OTTAWA TELETYPE, DATED MARCH 13, 1996;

281A-NY-233047-1307

NEW YORK (BROOKLYN/QUEENS) TELETYPE DATED MARCH 25, 1996;

APRIL 12, 1996 TELCONVERSATIONS BETWEEN ALAT [REDACTED]

AND ASAC DONALD V. NORTH AND SPECIAL AGENT (SA) [REDACTED]

4  
[REDACTED]

PAGE TWO DE FBINY 0033 UNCLAS

[ ] BQMRA; MAY 28 AND 30, 1996; TELECONVERSATIONS BETWEEN  
ALAT [ ] AND SA [ ]

VIA REFERENCED, LEGAT, OTTAWA ADVISED THAT THE CISC WILL  
BE HOLDING ITS ANNUAL ORGANIZED CRIME WORKSHOP ON CAPTIONED  
DATES AND HAD REQUESTED THAT SA [ ] APPEAR AS THE KEYNOTE  
GUEST SPEAKER AND MAKE A PRESENTATION ON THE JOHN GOTTI  
INVESTIGATION ON JUNE 7, 1996. NEW YORK HAD DECLINED APPROVAL  
FOR SA [ ] TRAVEL TO OTTAWA DUE TO BUDGETARY CONSTRAINTS.  
HOWEVER, CISC ADVISED LEGAT, OTTAWA IT WOULD PROVIDE AIRLINE  
TICKETS AS REQUESTED BY NEW YORK. THEREUPON, ON APRIL 12,  
1996, SA [ ] RECEIVED VERBAL APPROVAL TO TRAVEL BY ASAC  
NORTH.

ACCORDINGLY, AS COMMUNICATED VIA REFERENCED TELCALLS TO  
ALAT [ ], SA [ ] WILL TRAVEL TO OTTAWA ON JUNE 6,  
1996, VIA AIR CANADA FLIGHT 323, DEPARTING NEWARK AIRPORT 3:00  
PM, ARRIVING OTTAWA 4:15 PM. ACCOMMODATIONS HAVE BEEN  
ARRANGED BY LEGAT, OTTAWA. SA [ ] WILL DEPART OTTAWA,  
ENROUTE NEWARK AIRPORT, JUNE 7, 1996, AIR CANADA FLIGHT 324,  
DEPARTING 5:10 PM. LEGAT, OTTAWA IS REQUESTED TO ADVISE  
APPROPRIATE CISC REPRESENTATIVE OF THE ABOVE.

ALAT [ ] ADVISED COUNTRY CLEARANCE HAS BEEN

PAGE THREE DE FBINY 0033 UNCLAS

OBTAINED FOR SA [REDACTED] NEW YORK IS APPRECIATIVE OF COURTESIES

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EXTENDED BY ALAT [REDACTED] FOR SA [REDACTED]

BT

#0033

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